

# Binary Trees & Binary Search Trees

(data structures for the dictionary ADT)

# Outline

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- ▶ Binary tree terminology
- ▶ Tree traversals: preorder, inorder and postorder
- ▶ Dictionary and binary search tree
- ▶ Binary search tree operations
  - ▶ Search
  - ▶ min and max
  - ▶ Successor
  - ▶ Insertion
  - ▶ Deletion
- ▶ Tree balancing issue

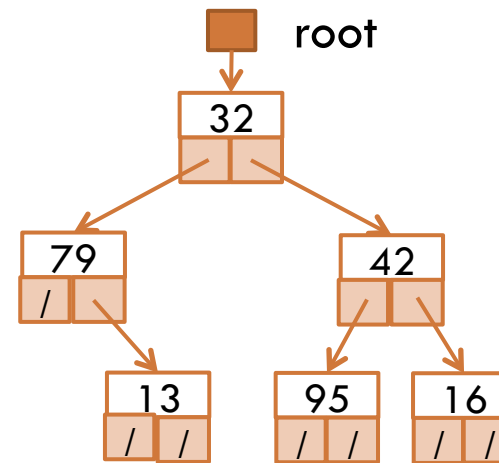
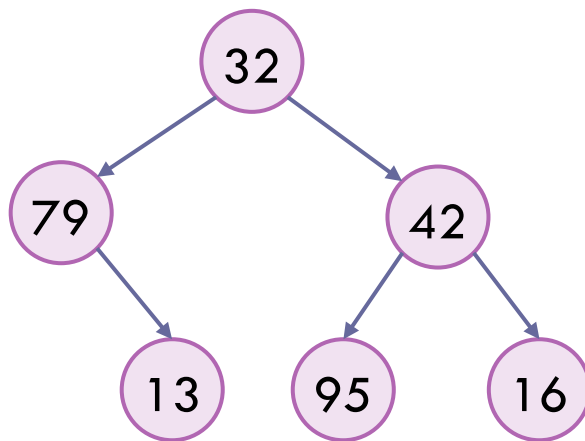
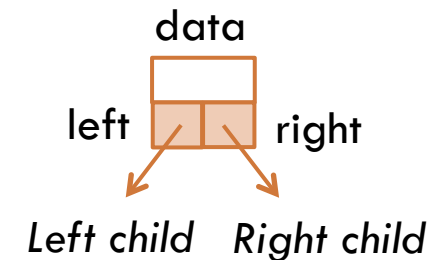
# Binary Tree Terminology

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- ▶ Go to the supplementary notes

# Linked Representation of Binary Trees

- ▶ The degree of a node is the number of children it has. The degree of a tree is the maximum of its element degree.
  - ▶ In a binary tree, the tree degree is two
- ▶ Each node has two links
  - ▶ one to the left child of the node
  - ▶ one to the right child of the node
  - ▶ if no child node exists for a node, the link is set to NULL

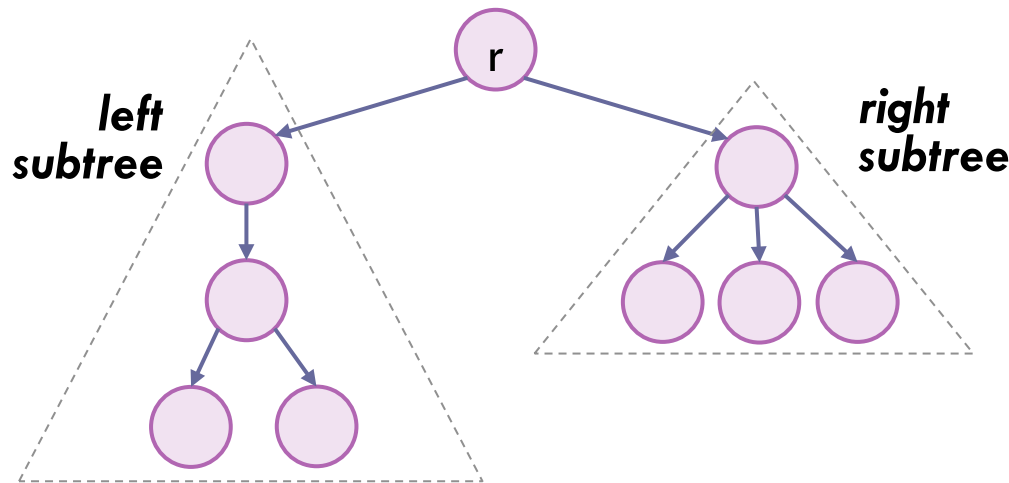


# Binary Trees as Recursive Data Structures

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- ▶ A binary tree is either empty ... ← Anchor
- or
- ▶ Consists of a node called the root
- ▶ Root points to two disjoint binary (sub)trees  
left and right (sub)tree

} Inductive step



## Tree Traversal is Also Recursive (Preorder example)

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If the binary tree is empty then  
do nothing

← Anchor

Else

N: Visit the root, process data

L: Traverse the left subtree

R: Traverse the right subtree

} Inductive/Recursive step

# 3 Types of Tree Traversal

▶ If the pointer to the node is not NULL:

▶ *Preorder*: **Node**, Left subtree, Right subtree

▶ *Inorder*: Left subtree, **Node**, Right subtree

▶ *Postorder*: Left subtree, Right subtree, **Node**

} Inductive/Recursive step

```
template<class T>
void BinaryTree<T>::PreOrder(
    void(*Visit)(BinaryTreeNode<T> *u),
    BinaryTreeNode<T> *t)
{
    // Preorder traversal.
    if (t) {Visit(t);
        PreOrder(Visit, t->LeftChild);
        PreOrder(Visit, t->RightChild);
    }
}
```

```
template <class T>
void BinaryTree<T>::InOrder(
    void(*Visit)(BinaryTreeNode<T> *u),
    BinaryTreeNode<T> *t)
{
    // Inorder traversal.
    if (t) {InOrder(Visit, t->LeftChild);
        Visit(t);
        InOrder(Visit, t->RightChild);
    }
}

template <class T>
void BinaryTree<T>::PostOrder(
    void(*Visit)(BinaryTreeNode<T> *u),
    BinaryTreeNode<T> *t)
{
    // Postorder traversal.
    if (t) {PostOrder(Visit, t->LeftChild);
        PostOrder(Visit, t->RightChild);
        Visit(t);
    }
}
```

# Traversal Order

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- ▶ Given expression

**A - B \* C + D**

- ▶ Child node: operand

- ▶ Parent node: corresponding operator

- ▶ Inorder traversal: infix expression

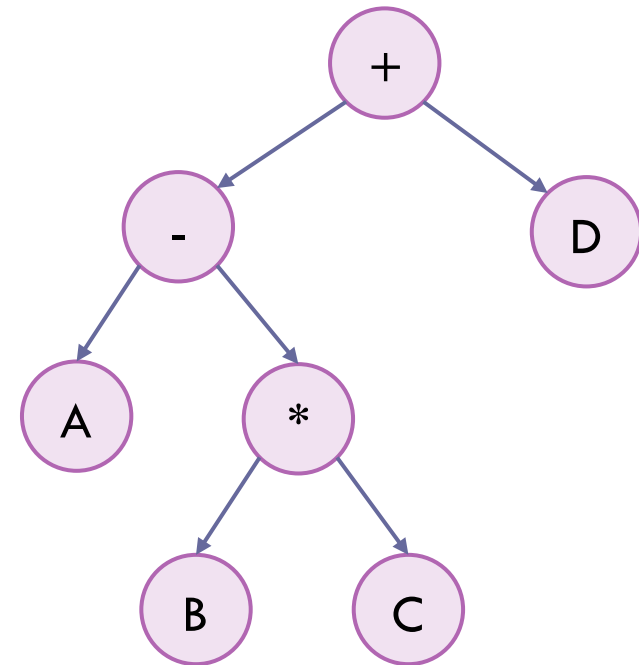
**A - B \* C + D**

- ▶ Preorder traversal: prefix expression

**+ - A \* B C D**

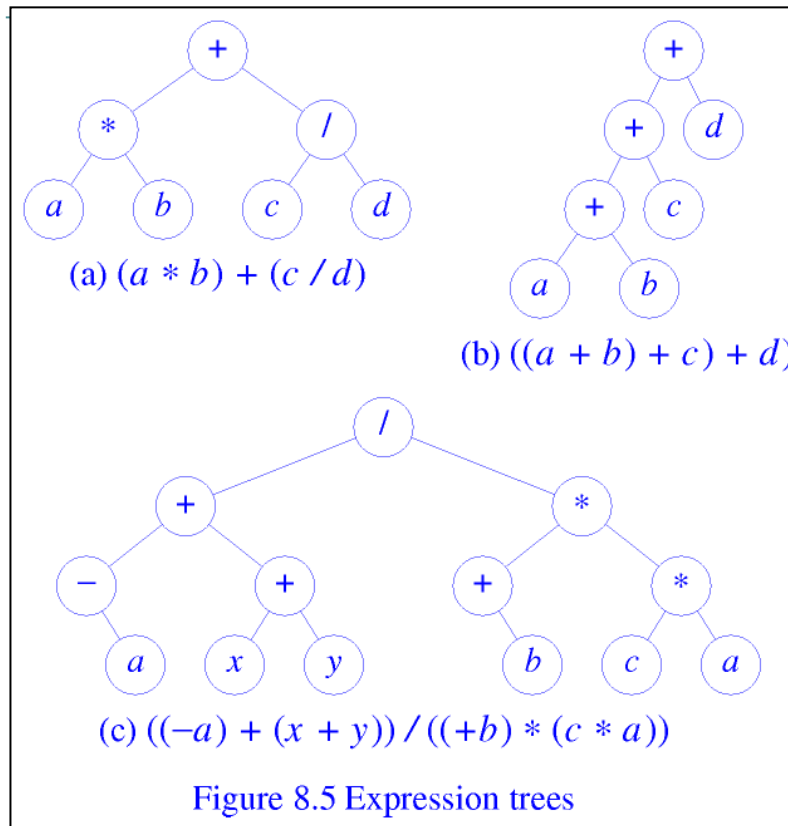
- ▶ Postorder traversal: postfix or RPN expression

**A B C \* - D +**





# Preorder, Inorder and Postorder Traversals



Preorder  $+*ab/cd \quad +++abcd \quad /+-a+xy*+b*ca$   
 Inorder  $a*b+c/d \quad a+b+c+d \quad -a+x+y/+b*c*a$   
 Postorder  $ab*cd/+ \quad ab+c+d+ \quad a-xy++b+ca**/$   
 (a) (b) (c)

Figure 8.11 Elements of a binary tree listed in pre-, in-, and postorder

# A Faster Way for Tree Traversal

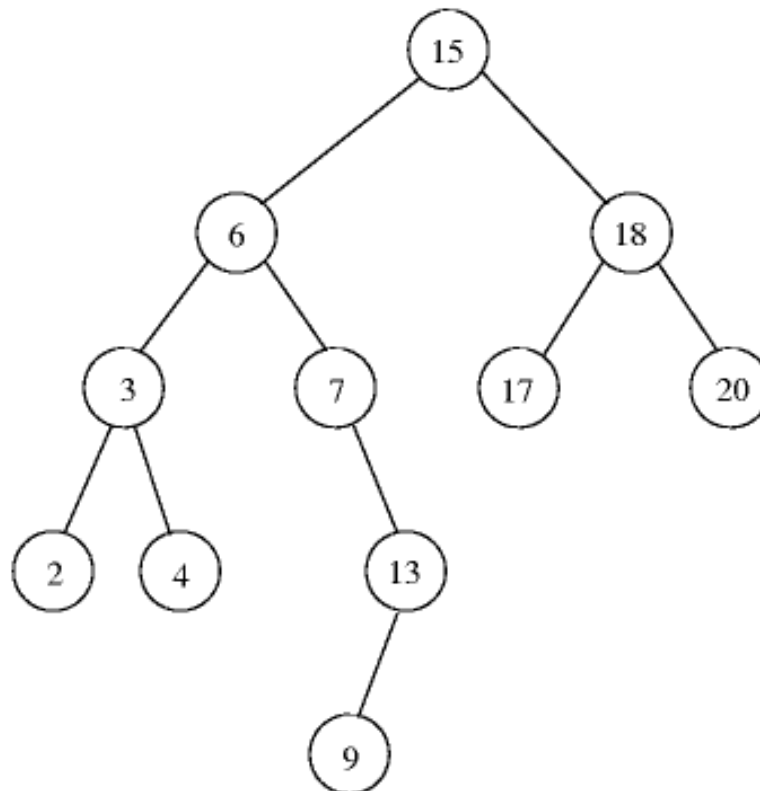
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- ▶ You may eye-ball the solution without using recursion.
- ▶ First emanating from each node a “hook.” Trace from left to right an outer envelop of the tree starting from the root. Whenever you touch a hook, you print out the node.
- ▶ **Preorder:**
  - ▶ put the hook to the left of the node
- ▶ **Inorder:**
  - ▶ put the hook vertically down at the node
- ▶ **Postorder:**
  - ▶ put the hook to the right of the node

## Another Example (This is a Search Tree)

---

- ▶ Inorder (Left, Visit, Right): 2, 3, 4, 6, 7, 9, 13, 15, 17, 18, 20
- ▶ Preorder (Visit, Left, Right): 15, 6, 3, 2, 4, 7, 13, 9, 18, 17, 20
- ▶ Postorder (Left, Right, Visit): 2, 4, 3, 9, 13, 7, 6, 17, 20, 18, 15



# Output Fully Parenthesized Infix Form

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```
template <class T>
void Infix(BinaryTreeNode<T> *t)
{ // Output infix form of expression.
  if (t) {
    cout << '(';
    Infix(t->LeftChild); // left operand
    cout << t->data;     // operator
    Infix(t->RightChild); // right operand
    cout << ')';
  }
}
```

```
+
/ \ returns ((a)+(b))
a  b
```

# Infix to Prefix (Pre-order Expressions)

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- ▶ Infix = In-order expression

1. Infix to postfix

2. postfix to build an expression tree

1. Push operands into a stack

2. If an operator is encountered, create a binary node with the operator as the root, push once as right child, push the 2<sup>nd</sup> time as left child, and push the complete tree into the stack

3. With the expression tree, traverse in preorder manner

- ▶ Parent-left-right

# Binary Search Tree

# Linear Search on a Sorted Sequence

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- ▶ Collection of *ordered* data items to be searched is organized in a list

$x_1, x_2, \dots, x_n$

- ▶ Assume  $==$  and  $<$  operators defined for the type
- ▶ Linear search begins with item 1
  - ▶ continue through the list until target found
  - ▶ or reach end of list

# Linear Search: Vector Based

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```
template <typename t>
void LinearSearch (const vector<t> &v, const t &item,
                  boolean &found, int &loc)
{
    found = false;  loc = 0;
    for ( ; ; )
    {
        if (found || loc == v.size())
            return;
        if (item == v[loc])
            found = true;
        else
            loc++;
    }
}
```



# Binary Search: Vector Based

---

```
template <typename t>
void LinearSearch (const vector<t> &v, const t &item,
                  boolean &found, int &loc)
{
    found = false;
    int first = 0;
    last = v.size() - 1;
    for ( ; ; )
    {
        if (found || first > last) return;
        loc = (first + last) / 2;
        if (item < v[loc])
            last = loc - 1;
        else if (item > v[loc])
            first = loc + 1;
        else
            /* item == v[loc] */
            found = true;
    }
}
```

May be replaced by recursive codes with additional function parameters first and last

# Binary Search

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- ▶ Outperforms a linear search (infinitely faster asymptotically)
- ▶ Disadvantage:
  - ▶ Requires a sequential storage
  - ▶ Not appropriate for linked lists (Why?)
- ▶ It is possible to use a linked structure which can be searched in a binary-like manner
  - ▶ Binary tree

# Dictionary

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- ▶ A dictionary is a collection of elements
- ▶ Each element has a field called key
- ▶ No two elements have the same key value

```
AbstractDataType Dictionary {
instances
    collection of elements with distinct keys
Operations
    Create (): create an empty dictionary
    Search (k,x): return element with key k in x;
                return false if the operation
                fails, true if it succeeds
    Insert (x): insert x into the dictionary
    Delete (k,x): delete element with key k and
                return it in x
}
```

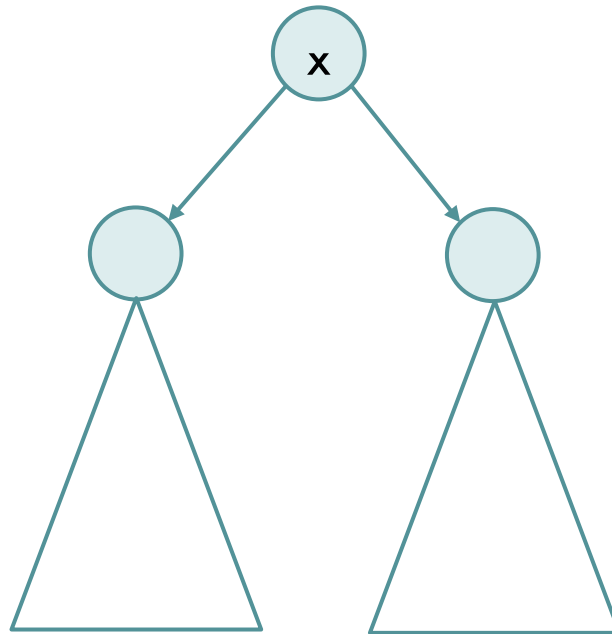
# Binary Search Tree (BST)

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- ▶ Collection of data elements in a binary tree structure
- ▶ Stores keys in the nodes of the binary tree in a way so that searching, insertion and deletion can be done efficiently
- ▶ Every element has a key (or value) and no two elements have the same key (all keys are distinct)
- ▶ The keys (if any) in the left subtree of the root are smaller than the key in the root
- ▶ The keys (if any) in the right subtree of the root are larger than the key in the root
- ▶ The left and right subtrees of the root are also binary search trees

# Binary Search Tree

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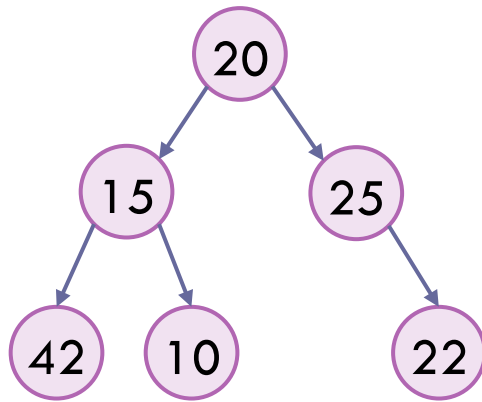
for any node  $y$  in this subtree  
 $\text{key}(y) < \text{key}(x)$

for any node  $z$  in this subtree  
 $\text{key}(z) > \text{key}(x)$

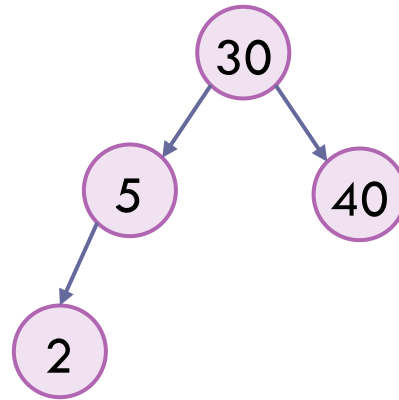
# Examples of BST

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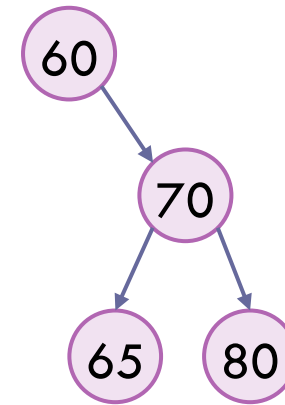
- ▶ For each node  $x$ ,  
values in left subtree  $\leq$  value in  $x \leq$  value in right subtree
- ▶ a) is NOT a search tree, b) and c) are search trees



(a)



(b)

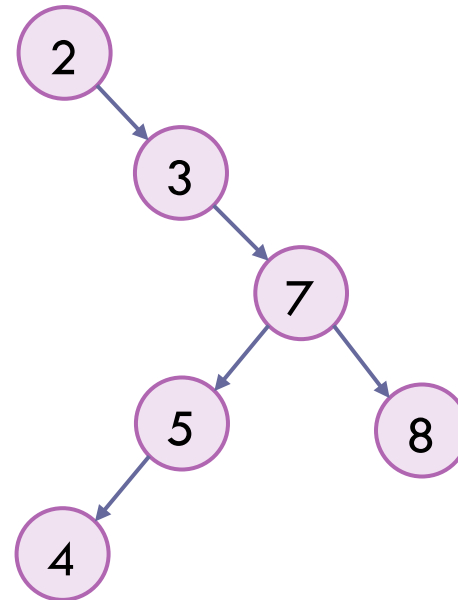
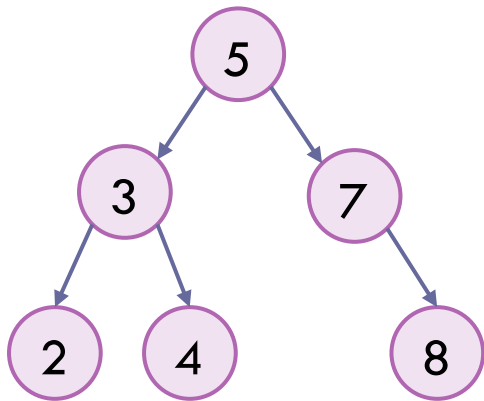


(c)

# Binary Search Tree Property

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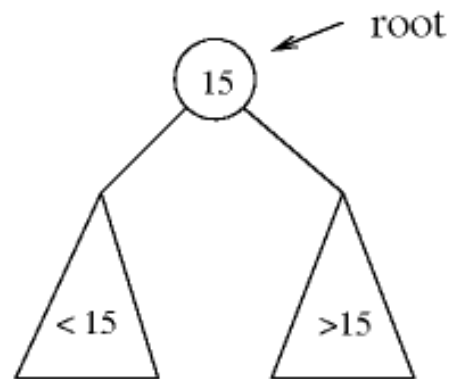
- ▶ Two binary search trees representing the same set



# Sorting: Inorder Traversal for a Search Tree

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- ▶ Print out the keys in sorted order



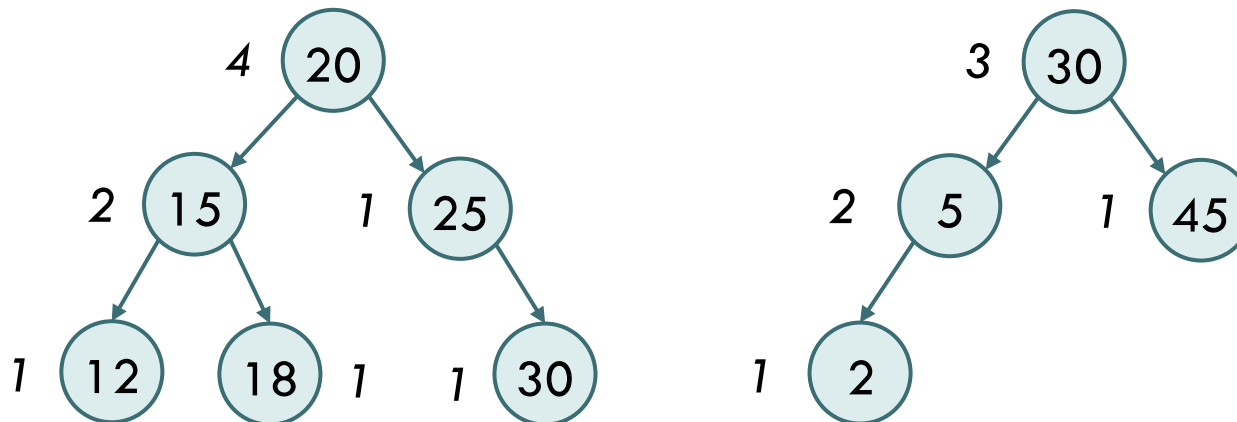
- ▶ A simple strategy is to
  1. print out all keys in left subtree in sorted order;
  2. print 15;
  3. print out all keys in right subtree in sorted order;



# Indexed Binary Search Tree

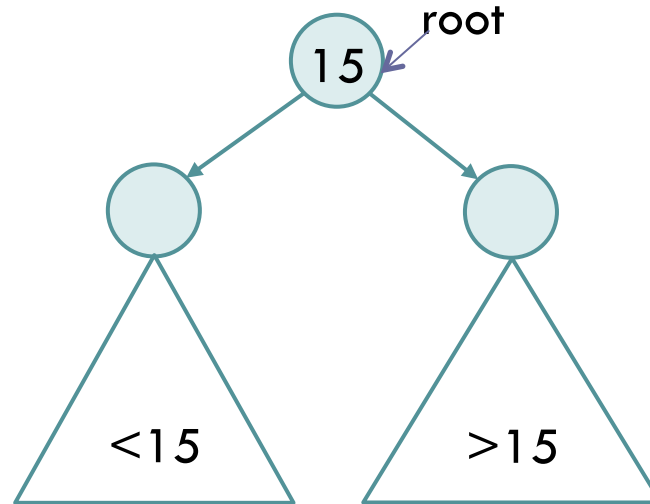
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- ▶ Derived from binary search tree by adding another field *LeftSize* to each tree node
- ▶ *LeftSize* gives the number of elements in the node's left subtree plus one
- ▶ An example (the number inside a node is the element key, while that outside is the value of *LeftSize*)
- ▶ It is the rank of the node for the search tree rooted at that node (rank is the position in the sorted order)
  - ▶ Can be used to figure out the rank of the node in the tree



# Tree Search

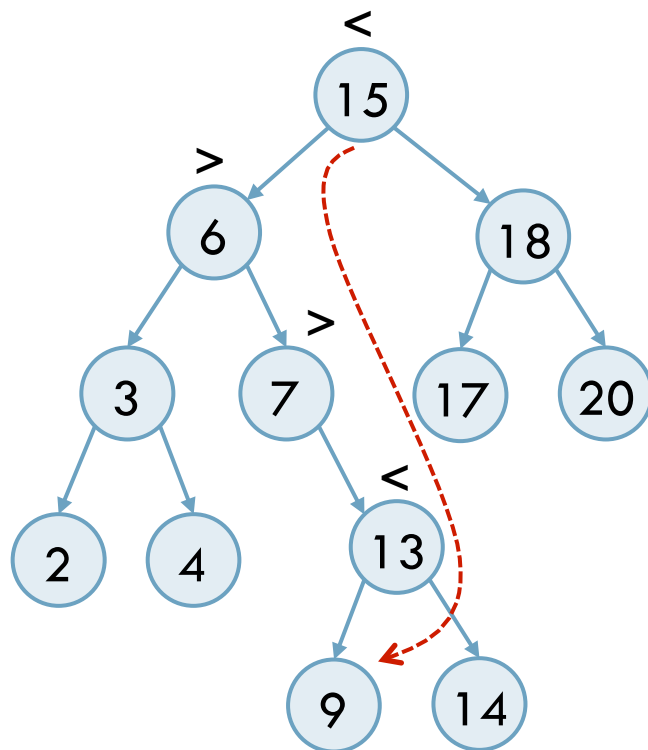
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- ▶ If we are searching for 15, then we are done
- ▶ If we are searching for a key  $< 15$ , then we should search for it in the left subtree
- ▶ If we are searching for a key  $> 15$ , then we should search for it in the right subtree

# An Example

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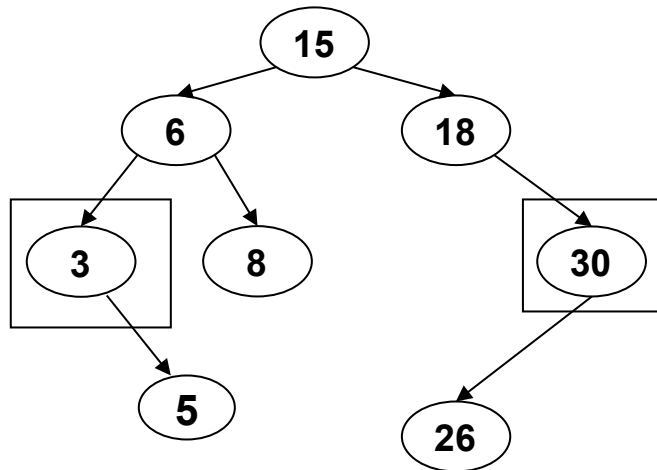
Search for 9:

1. compare 9:15 (the root), go to left subtree;
2. compare 9:6, go to right subtree;
3. compare 9:7, go to right subtree;
4. compare 9:13, go to left subtree;
5. compare 9:9, found it!

# Find Min and Max

Time Complexity  
Worse case?  
Height of tree,  
which can be the  
total number of  
nodes if tree is  
not balanced!

Minimum element  
is always the  
left-most node.



Maximum element  
is always the  
right-most node.

## Algorithm *Minimum(x)*

**Input:**  $x$  is the root.

**Output:** the node containing the minimum key.

1. **while**  $\text{left}(x) \neq \text{NULL}$
2.     **do**  $x := \text{left}(x)$ ;
3. **return**  $x$ ;

## Algorithm *Maximum(x)*

**Input:**  $x$  is the root.

**Output:** the node containing the maximum key.

1. **while**  $\text{right}(x) \neq \text{NULL}$
2.     **do**  $x := \text{right}(x)$ ;
3. **return**  $x$ ;

# Successor

---

The successor of a node  $x$  is defined as:

- ▶ The node  $y$ , whose  $\text{key}(y)$  is the successor of  $\text{key}(x)$  in sorted order

sorted order of this tree. (2,3,4,6,7,9,13,15,17,18,20)

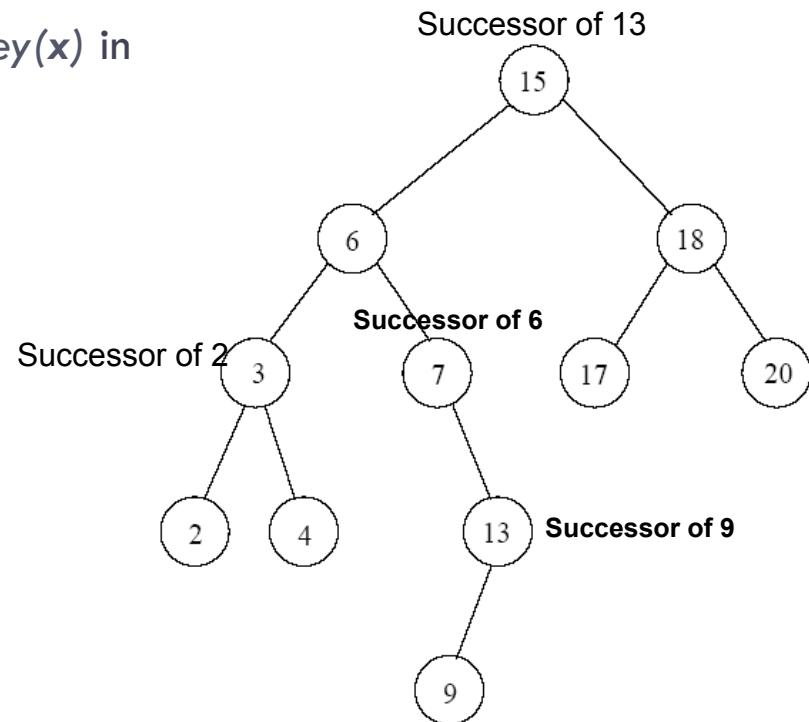
Some examples:

Which node is the successor of 2?

Which node is the successor of 9?

Which node is the successor of 13?

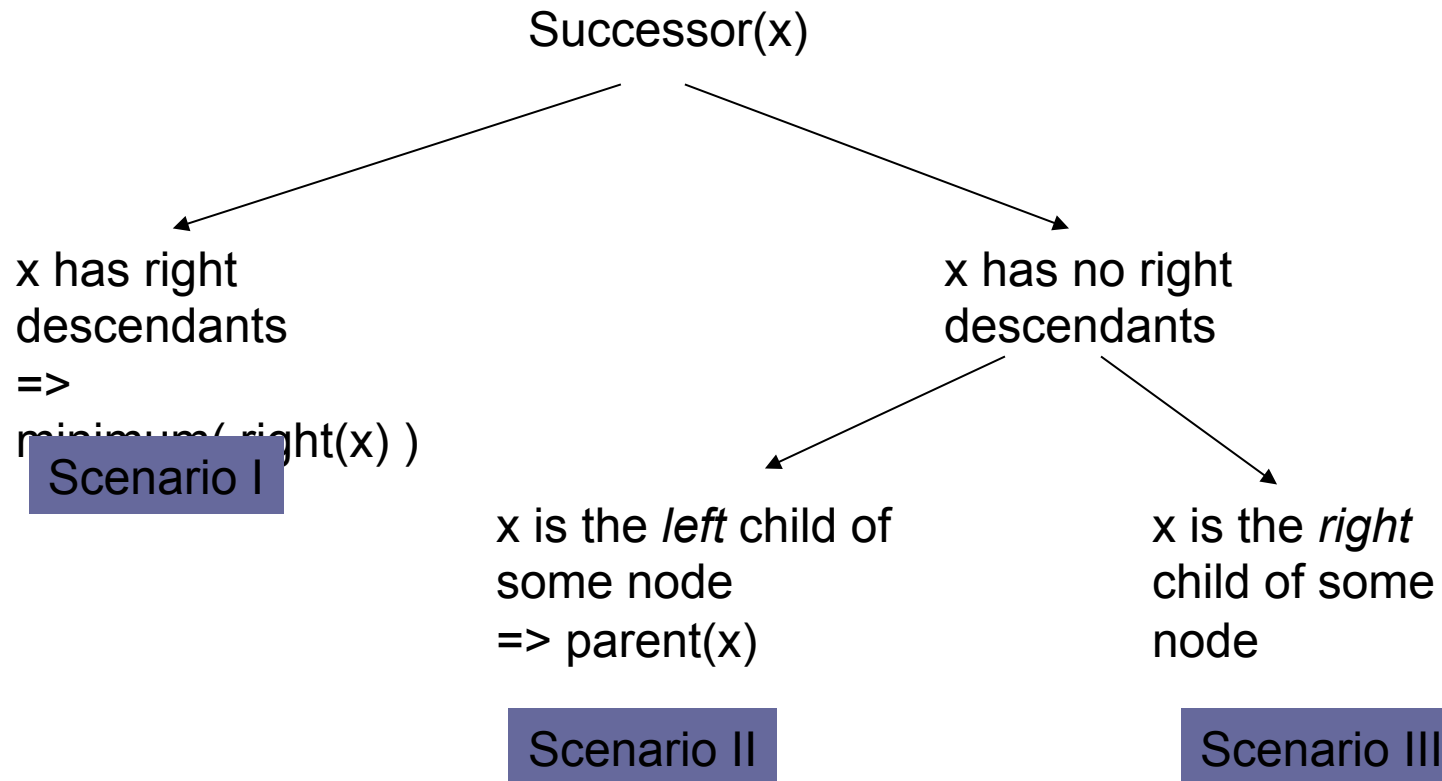
Which node is the successor of 20? Null



# Finding Successor:

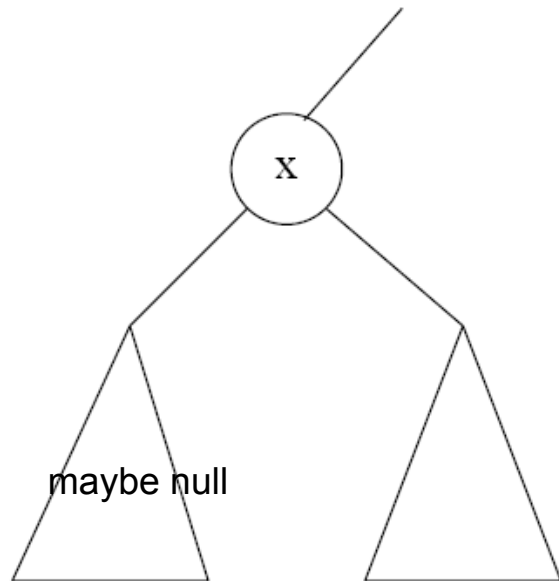
## Three Scenarios to Determine Successor

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# Scenario I: Node $x$ Has a Right Subtree

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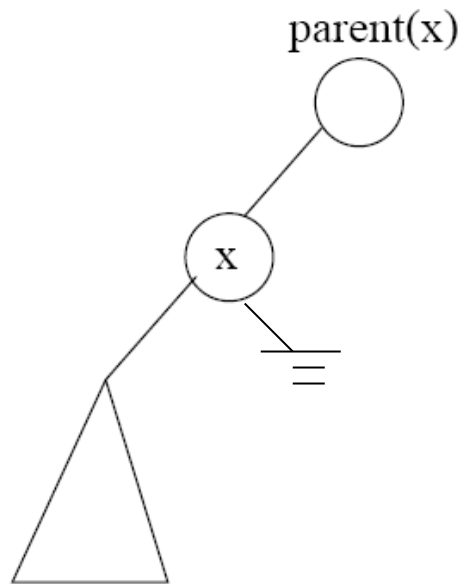
Scenario I

By definition of BST, all items greater than  $x$  are in this right sub-tree.

Successor is the minimum( right(  $x$  ) )

# Scenario II: Node $x$ Has No Right Subtree and $x$ is the Left Child of Parent ( $x$ )

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Scenario II

Successor is parent(  $x$  )

Why? The successor is the node whose key would appear in the next sorted order.

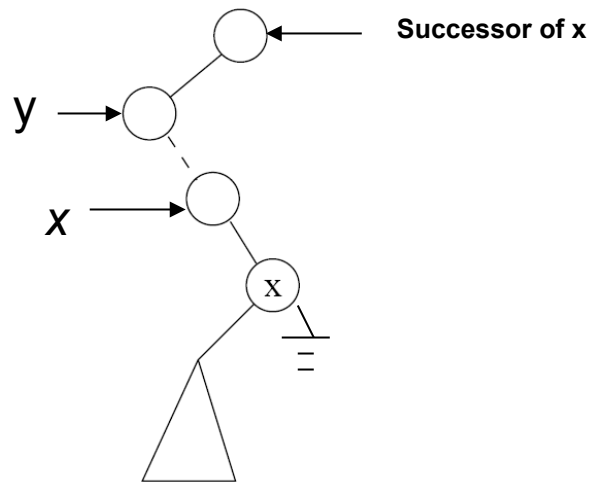
Think about traversal in-order. Who would be the successor of  $x$ ?

The parent of  $x$ !



## Scenario III: Node $x$ Has No Right Subtree and Is Not a Left-Child of an Immediate Parent

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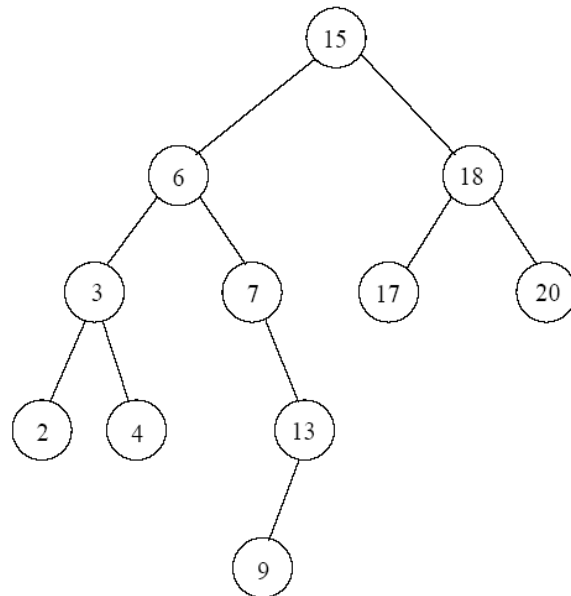
Scenario III

Keep moving up the tree until you find a parent which branches from the left().

Stated in Pseudo code.

```
 $y := \text{parent}(x);$   
while  $y \neq \text{NULL}$  and  $x = \text{right}(y)$   
  do  $x := y;$   
       $y := \text{parent}(y);$ 
```

# Successor Pseudo-Codes



Verify this code with this tree.

Find successor of

3 → 4

9 → 13

13 → 15

18 → 20

Note that parent( root ) = NULL

**Algorithm** *Successor(x)*

**Input:**  $x$  is the input node.

1. **if** right( $x$ )  $\neq$  NULL
2.     **then return** Minimum(right( $x$ )); ← Scenario I
3.     **else**
4.          $y :=$  parent( $x$ ); ← Scenario II
5.         **while**  $y \neq$  NULL and  $x =$  right( $y$ )
6.             **do**  $x := y$ ;
7.              $y :=$  parent( $y$ ); } Scenario III
8.     **return**  $y$ ;

# Problem

---

- ▶ If we use a “doubly linked” tree, finding parent is easy.

```
class Node
{
    int data;
    Node *left;
    Node *right;
    Node *parent;
};
```

- ▶ But usually, we implement the tree using only pointers to the left and right node. 😞 So, finding the parent is tricky.

```
class Node
{
    int data;
    Node *left;
    Node *right;
};
```

For this implementation we need to use a Stack.

# Use a Stack to Find Successor

---

**Algorithm** *Successor*( $r, x$ )

**Input:**  $r$  is the root of the tree and  $x$  is the node.

1. initialize an empty stack  $S$ ;
2. **while**  $\text{key}(r) \neq \text{key}(x)$
3.     **do**  $\text{push}(S, r)$ ;
4.     **if**  $\text{key}(x) < \text{key}(r)$
5.         **then**  $r := \text{left}(r)$ ;
6.     **else**  $r := \text{right}(r)$ ;

---

7. **if**  $\text{right}(x) \neq \text{NULL}$
8.     **then return** *Minimum*( $\text{right}(x)$ );
9.     **else**
10.         **if**  $S$  is empty
11.             **then return** NULL;
12.         **else**
13.              $y := \text{pop}(S)$ ;
14.             **while**  $y \neq \text{NULL}$  and  $x = \text{right}(y)$
15.                 **do**  $x := y$  ;
16.                 **if**  $S$  is empty
17.                     **then**  $y := \text{NULL}$ ;
18.                     **else**  $y := \text{pop}(S)$ ;
19.             **return**  $y$ ;

## PART I

Initialize an empty Stack  $s$ .

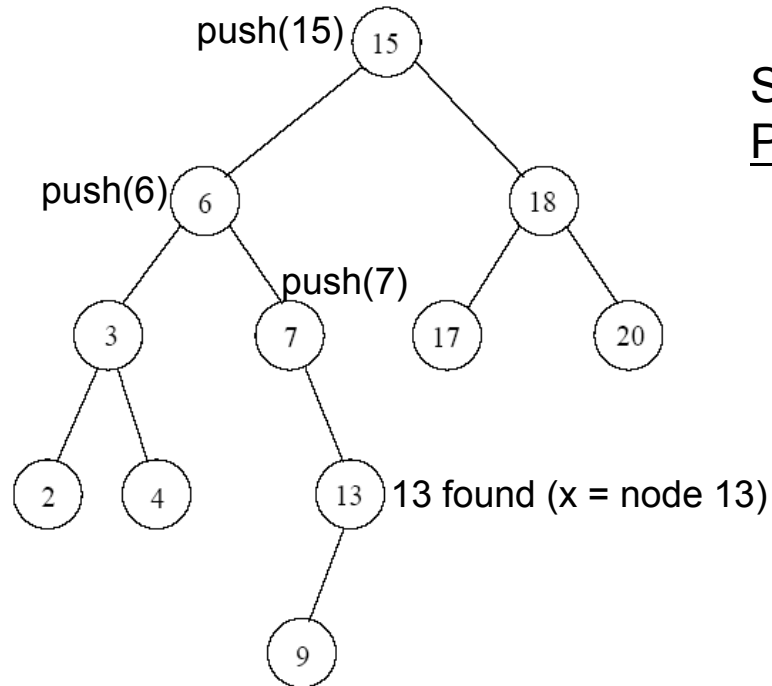
Start at the root node, and traverse the tree until we find the node  $x$ . Push all visited nodes onto the stack.

## PART II

Once node  $x$  is found, find successor using 3 scenarios mentioned before.

Parent nodes are found by popping the stack!

# An Example



Successor(root, 13)

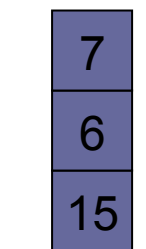
Part I

Traverse tree from root to find 13  
order -> 15, 6, 7, 13

**Algorithm** *Successor*( $r, x$ )

**Input:**  $r$  is the root of the tree and  $x$  is the node.

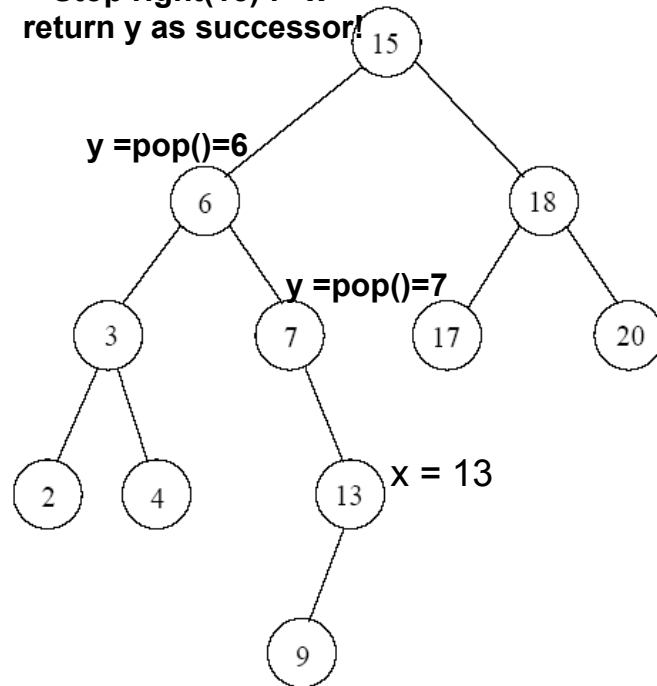
1. initialize an empty stack  $S$ ;
2. **while**  $\text{key}(r) \neq \text{key}(x)$
3.     **do**  $\text{push}(S, r)$ ;
4.     **if**  $\text{key}(x) < \text{key}(r)$
5.         **then**  $r := \text{left}(r)$ ;
6.         **else**  $r := \text{right}(r)$ ;



Stack  $s$

# Example

`y = pop()=15`  
`->Stop right(15) != x`  
`return y as successor!`



```

7. if right(x) ≠ NULL
8.   then return Minimum(right(x));
9.   else
10.    if S is empty
11.    then return NULL;
12.    else

```

```

13.     y := pop(S);
14.     while y ≠ NULL and x = right(y)
15.     do x := y ;
16.     if S is empty
17.     then y := NULL;
18.     else y := pop(S);
19.     return y;

```

Successor(root, 13)

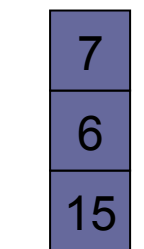
Part II

Find Parent (Scenario III)

```

y=s.pop()
while y!=NULL and x=right(y)
  x = y;
  if s.isempty()
    y=NULL
  else
    y=s.pop()
loop
return y

```



Stack s

# Another Approach

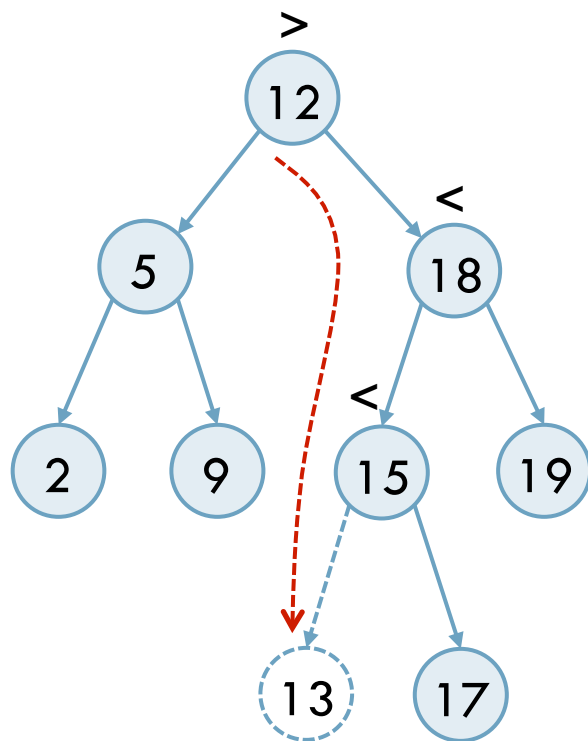
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- ▶ **Observe that:**
  - ▶  $x$  must be in the left branch of its successor  $y$ , because it is smaller in value
  - ▶ To get to  $x$  from  $\text{left}(y)$ , we have the case that we always traverse right, i.e., the value is increasing beyond  $\text{left}(y)$ .
  - ▶ If we plot the values from  $y$  to  $x$  against the nodes visited, it is hence of a “V” shape, starting from  $y$ , dropping to some low value, and then increasing gradually to  $x$  (a value below  $y$ )
- ▶ **Using stack storing the path from the root to  $x$ , we hence can detect the right turn in the reverse path simply as follows:**
  - ▶ Keep popping the stack until the key is higher than the value  $x$ . This must be its successor.

```
while (!s.empty()) {
    y = s.pop();
    if( y > x)
        return y; // the successor
}
return NULL; // empty stack; successor not found
```

# Insertion

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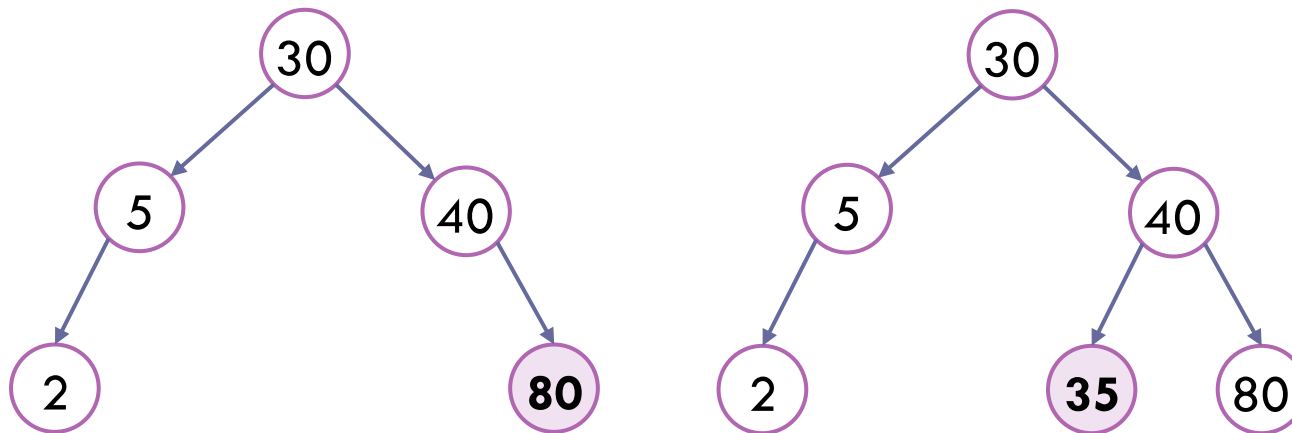
- ▶ Insert a new key into the binary search tree
- ▶ The new key is always inserted as a new leaf
- ▶ Example: Insert 13 ...



# Insertion: Another Example

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- ▶ First add 80 into an existing tree
- ▶ Then add 35 into it



# Inserting into a BST (1/2)

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```
template<class E, class K>
BSTree<E,K>& BSTree<E,K>::Insert(const E& e)
{
    // Insert e if not duplicate.
    BinaryTreeNode<E> *p = this->root, // search pointer
                      *pp = 0; // parent of p
    // find place to insert
    while (p) {
        // examine p->data
        pp = p;
        // move p to a child
        if (e < p->data) p = p->LeftChild;
        else if (e > p->data) p = p->RightChild;
        else throw BadInput(); // duplicate
    }
}
```

May be replaced  
by recursive codes  
with an additional  
function parameter  
of binary tree node  
pointer

## Inserting into a BST (2/2)

---

```
// get a node for e and attach to pp
BinaryTreeNode<E> *r = new BinaryTreeNode<E> (e);

if (root) {
    // tree not empty
    if (e < pp->data) pp->LeftChild = r;
    else pp->RightChild = r;
}
else // insertion into empty tree
    root = r;

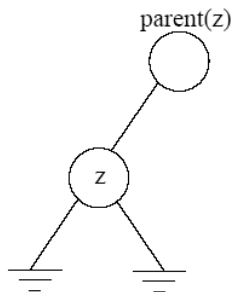
return *this;
}
```

# BST Deletion: Delete Node $z$ from Tree

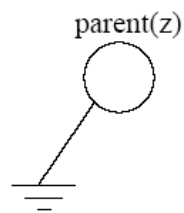
## Three cases for deletion

Case I

Node  $z$  is a leaf

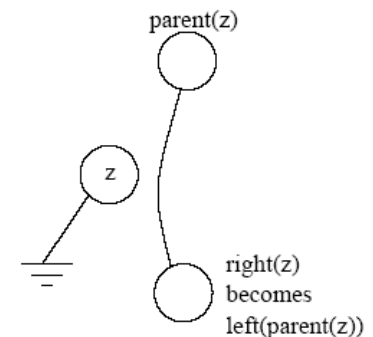
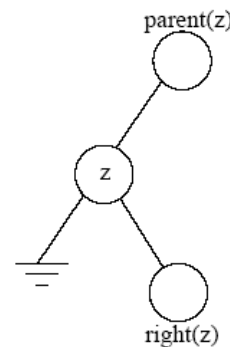


Set  $z$  parent's pointer to  $z$  to NULL



Case II

Node  $z$  has exactly 1 (left or right) child



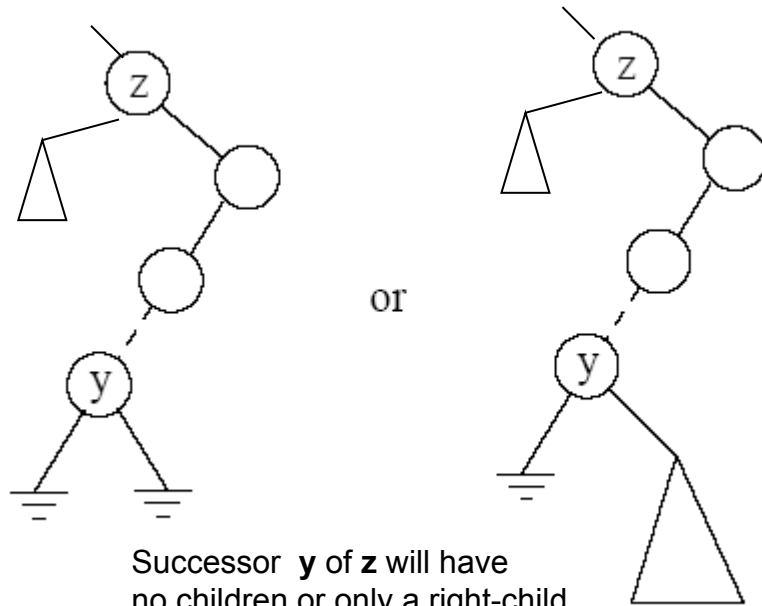
Modify appropriate  $\text{parent}(z)$  to point to  $z$ 's child (Parent adoption)

# Case III: Node z Has 2 Children

## Step 1.

Find successor  $y$  of 'z' (i.e.  $y = \text{successor}(z)$ )

Since  $z$  has 2 children, successor is  $y = \text{minimum}(\text{right}(z))$



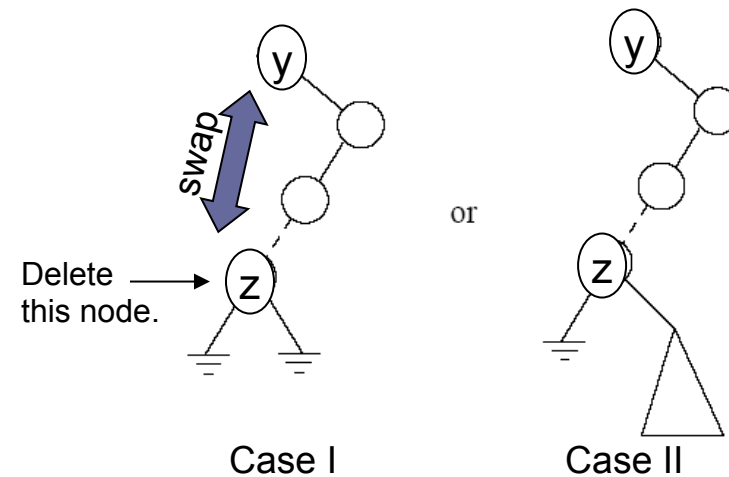
Why? Look at the definition of  $\text{minimum}(\dots)$

COMP2012H (BST)

## Step 2.

Swap keys of  $z$  and  $y$ .

Now delete node  $y$  (which now has value  $z$ )!  
This *deletion* is either case I or II.



(deletion of node "z" is always going to be Case I or II)

## Special Case:

# Deleting the Root with 1 Child Descendant

---

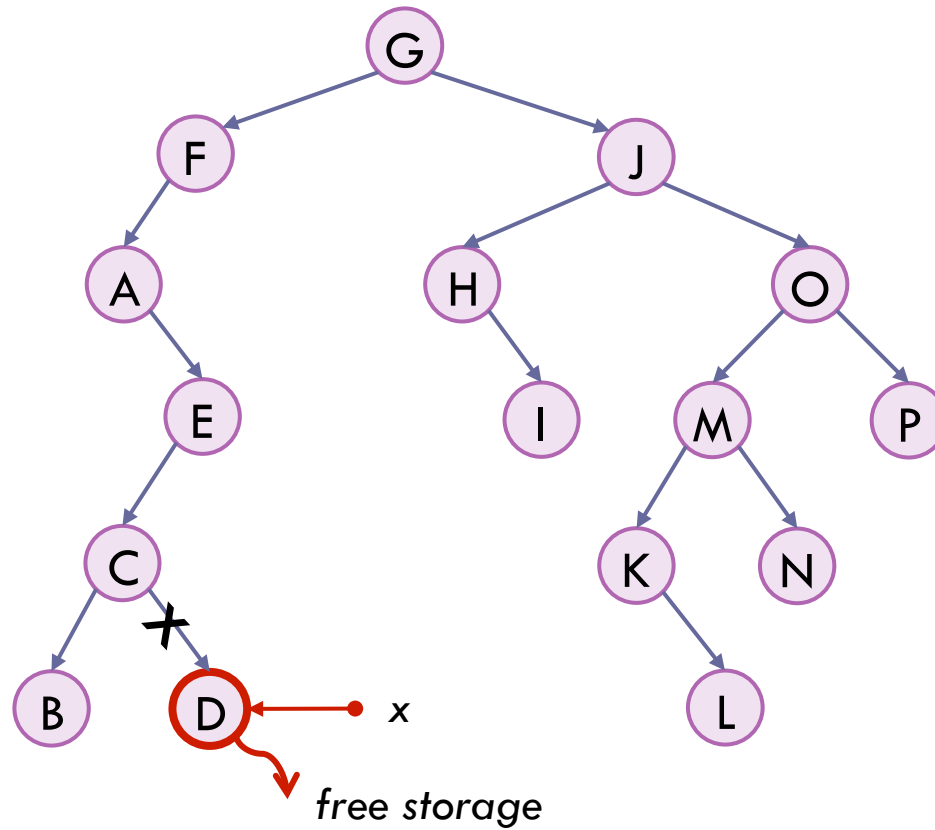
- ▶ Move the root to the child

# A Deletion Example

---

Three possible cases to delete a node  $x$  from a BST

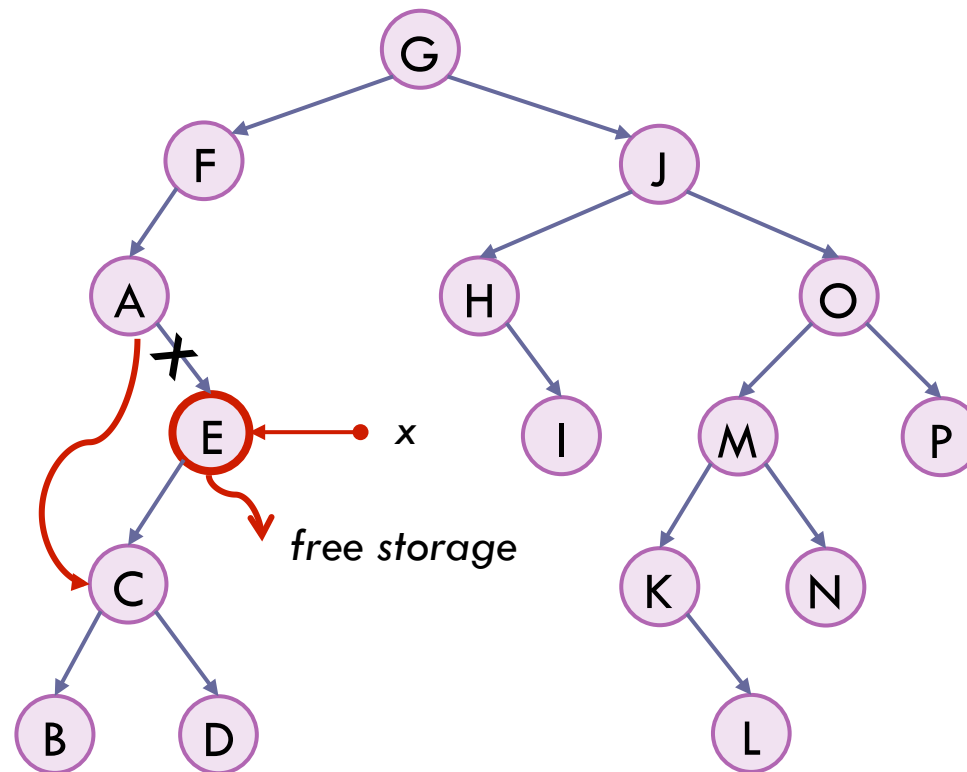
1. The node  $x$  is a leaf



# A Deletion Example (Cont.)

---

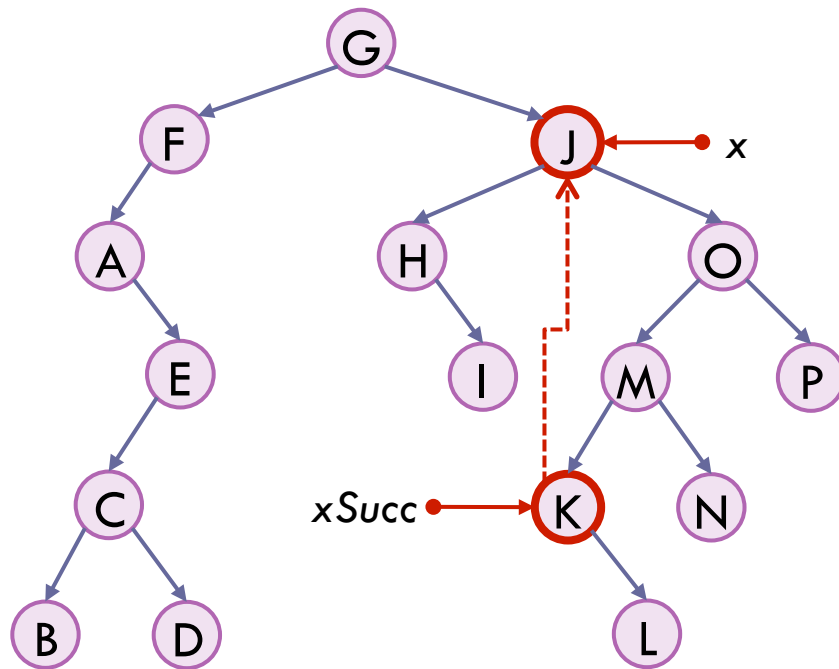
2. The node  $x$  has one child



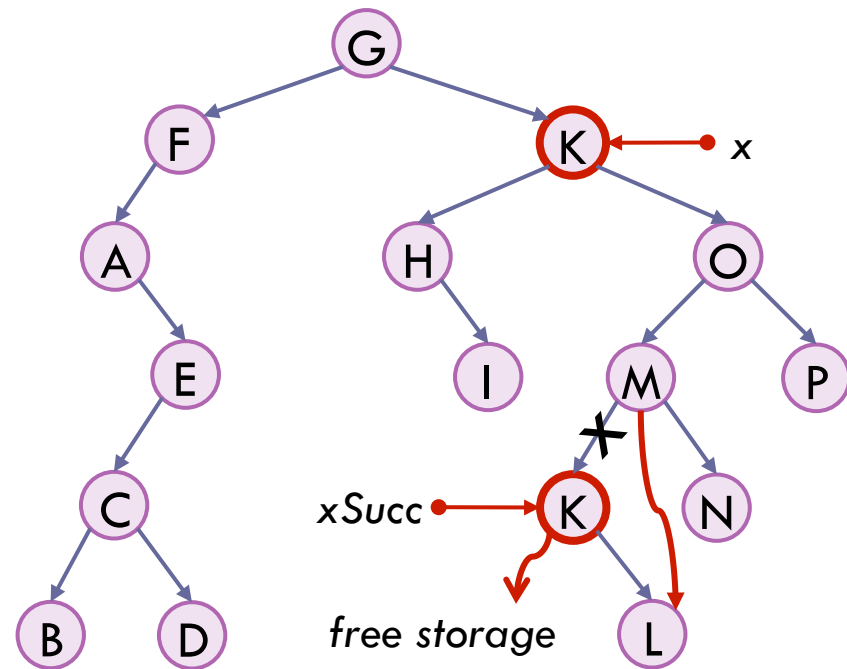


# A Deletion Example (Cont.)

3.  $x$  has two children



**i) Replace contents of  $x$  with inorder successor (smallest value in the right subtree)**

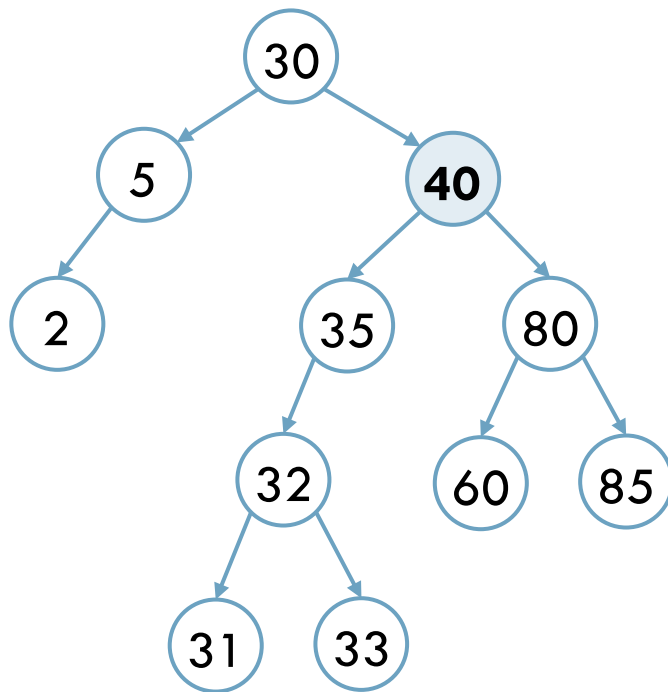


**ii) Delete node pointed to by  $xSucc$  as described for cases 1 and 2**

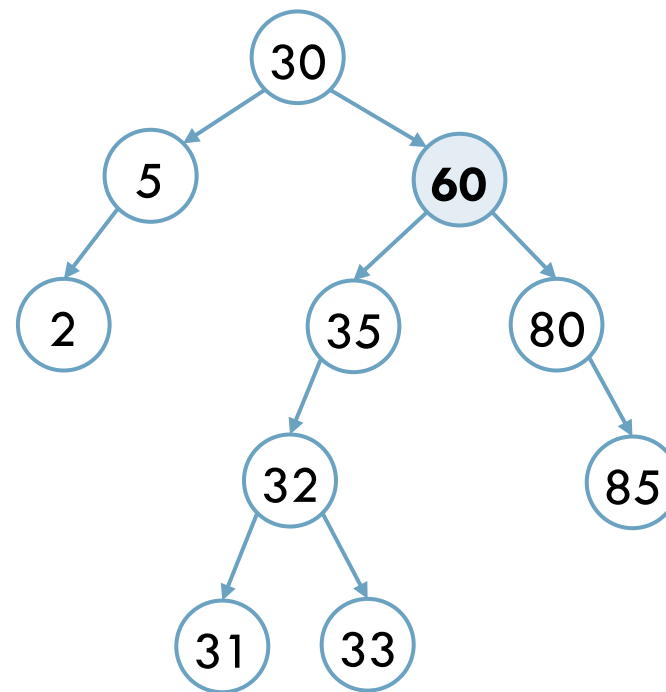
# Another Deletion Example

---

- ▶ Removing 40 from (a) results in (b) using the smallest element in the right subtree (i.e., the successor)



(a)

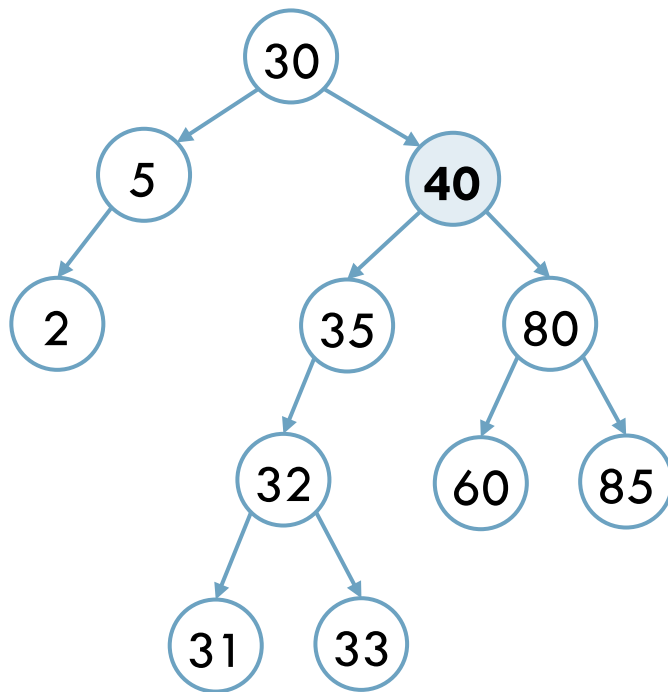


(b)

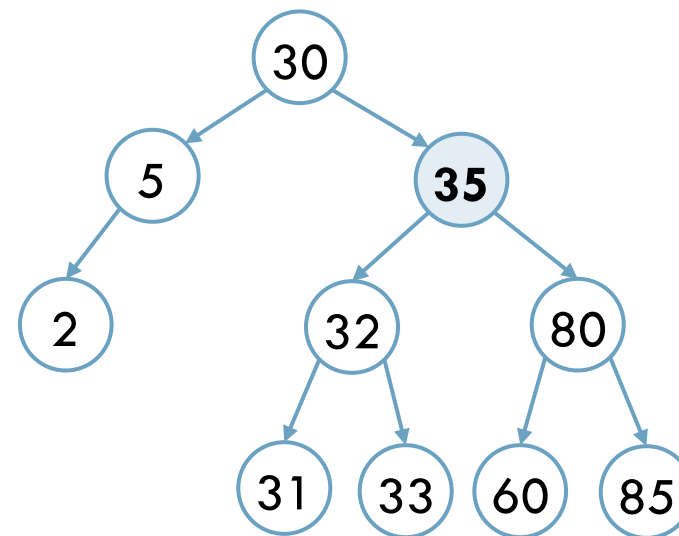
## Another Deletion Example (Cont.)

---

- ▶ Removing 40 from (a) results in (c) using the largest element in the left subtree (i.e., the predecessor)



(a)

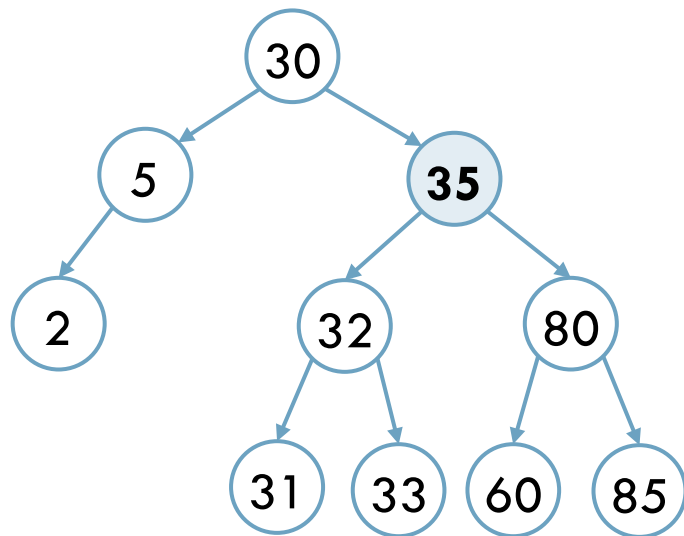


(c)

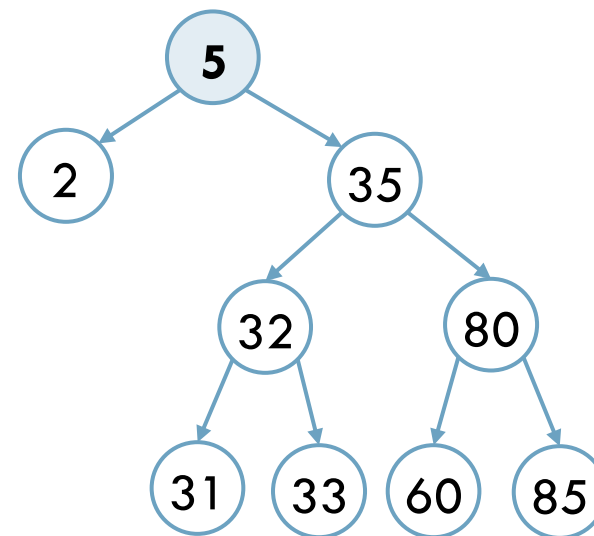
## Another Deletion Example (Cont.)

---

- ▶ Removing 30 from (c), we may replace the element with either 5 (predecessor) or 31 (successor). If we choose 5, then (d) results.



(c)



(d)

## Deletion Code (1/4)

---

- ▶ First Element Search, and then Convert Case III, if any, to Case I or II

```
template<class E, class K>
BSTree<E,K>& BSTree<E,K>::Delete(const K& k, E& e)
{
    // Delete element with key k and put it in e.
    // set p to point to node with key k (to be deleted)
    BinaryTreeNode<E> *p = root, // search pointer
                      *pp = 0; // parent of p
    while (p && p->data != k){
        // move to a child of p
        pp = p;
        if (k < p->data) p = p->LeftChild;
        else p = p->RightChild;
    }
}
```

## Deletion Code (2/4)

---

```
if (!p) throw BadInput(); // no element with key k

e = p->data; // save element to delete

// restructure tree
// handle case when p has two children
if (p->LeftChild && p->RightChild) {
    // two children convert to zero or one child case
    // find predecessor, i.e., the largest element
    in // left subtree of p
    BinaryTreeNode<E> *s = p->LeftChild,
    *ps = p; // parent of s
    while (s->RightChild) {
        // move to larger element
        ps = s;
        s = s->RightChild;
    }
}
```

## Deletion Code (3/4)

---

```
    // move from s to p
    p->data = s->data;
    p = s;    // move/reposition pointers for deletion
    pp = ps;
}

// p now has at most one child
// save child pointer to c for adoption
BinaryTreeNode<E> *c;
if (p->LeftChild) c = p->LeftChild;
else c = p->RightChild; // may be NULL

// deleting p
if (p == root) root = c; // a special case: delete root
else {
    // is p left or right child of pp?
    if (p == pp->LeftChild) pp->LeftChild = c; //adoption
    else pp->RightChild = c;
}
```

## Deletion Code (4/4)

---

```
delete p;  
  
return *this;  
}
```



# Implementation: ADT of Binary Search Tree (BST)

---

- ▶ Construct an empty BST
- ▶ Determine if BST is empty
- ▶ Search BST for given item
- ▶ Insert a new item in the BST
  - ▶ Need to maintain the BST property
- ▶ Delete an item from the BST
  - ▶ Need to maintain the BST property
- ▶ Traverse the BST
  - ▶ Visit each node exactly once
  - ▶ The inorder traversal visits the nodes in ascending order

# ADT of a BST

---

AbstractDataType BSTree {

instances

binary trees, each node has an element with a key field; all keys are distinct; keys in the left subtree of any node are smaller than the key in the node; those in the right subtree are larger.

operations

Create(): create an empty binary search tree

Search(k, e): return in e the element/record with key k  
return false if the operation fails,  
return true if it succeeds

Insert(e): insert element e into the search tree

Delete(k, e): delete the element with key k and  
return it in e

Ascend(): output all elements in ascending order of  
key

}

# A Simple Implementation without Inheritance

---

## ► tree\_codes (BST.h and treetester.cpp)

```
template <typename DataType>
class BST
{
public:
    // ... member functions supporting BST operations
private:
    /**** Binary node class ***/
    class BinNode
    {
public:
        DataType data;
        BinNode * left;
        BinNode * right;

        // ... BinNode constructors

    }; // end of class BinNode declaration

    typedef BinNode *BinNodePointer;

    // ... Auxiliary/Utility functions supporting member functions

    /**** Data Members ***/
    BinNodePointer myRoot; // the root of the binary search tree
}; // end of class template declaration
```

# Another Implementation with Inheritance, function pointers, and exception handling

---

## ▶ tree2\_codes

- ▶ Binary search tree is derived from binary tree
- ▶ E is the record, and K is the key
- ▶ bst.h:

```
template<class E, class K>
class BSTree : public BinaryTree<E> {
public:
    bool Search(const K& k, E& e) const;
    BSTree<E,K>& Insert(const E& e);
    BSTree<E,K>& InsertVisit
        (const E& e, void(*visit)(E& u));
    BSTree<E,K>& Delete(const K& k, E& e);
    void Ascend() {InOutput();}
};
```

# Skeleton of tree2\_codes

---

- ▶ **btnode.h: the node structure to be used in a binary tree**

```
template <class T>
class BinaryTreeNode {
    //... friend functions
public:
    // ... constructors
private:
    T data;    // data is a record
    BinaryTreeNode<T> *LeftChild, // left subtree
                    *RightChild; // right subtree
};
```

- ▶ **binary.h: binary tree**

```
template<class T>
class BinaryTree {
    //... some friend functions
public:
    //... member functions and note the use of
    // function pointers
private:
    BinaryTreeNode<T> *root; // pointer to root
    //helper/utility functions and static functions
};
```

# Code Implementation (tree2\_codes)

## ▶ bst.h

```
template<class E, class K>
bool BSTree<E,K>::Search(const K& k, E &e) const
{
    // Search for element that matches k.
    // pointer p starts at the root and moves through
    // the tree looking for an element with key k
    BinaryTreeNode<E> *p = this->root;
    while (p) // examine p->data
        if (k < p->data) p = p->LeftChild; //implicit cast
        else if (k > p->data) p = p->RightChild;
        else { // found element
            e = p->data; // copy the record to e
            return true;}
    return false;
}
```

} May be replaced  
by recursive codes

## ▶ datatype.h: DataType is to be used in the binary node with field data

```
#ifndef DataType_
#define DataType_

class DataType {
    friend ostream& operator<<(ostream&, DataType);
public:
    operator int() const {return key;} // implicit cast to obtain key
    int key; // element key, maybe hashed from ID
    char ID; // element identifier
};

ostream& operator<<(ostream& out, DataType x)
{out << x.key << ' ' << x.ID << ' '; return out;}

#endif
```

# Time Complexity of Binary Search Trees

---

- ▶ Find(x)  $O(\text{height of tree})$
- ▶ Min(x)  $O(\text{height of tree})$
- ▶ Max(x)  $O(\text{height of tree})$
- ▶ Insert(x)  $O(\text{height of tree})$
- ▶ Delete(x)  $O(\text{height of tree})$
- ▶ Traverse  $O(N)$

# Binary Search Trees

---

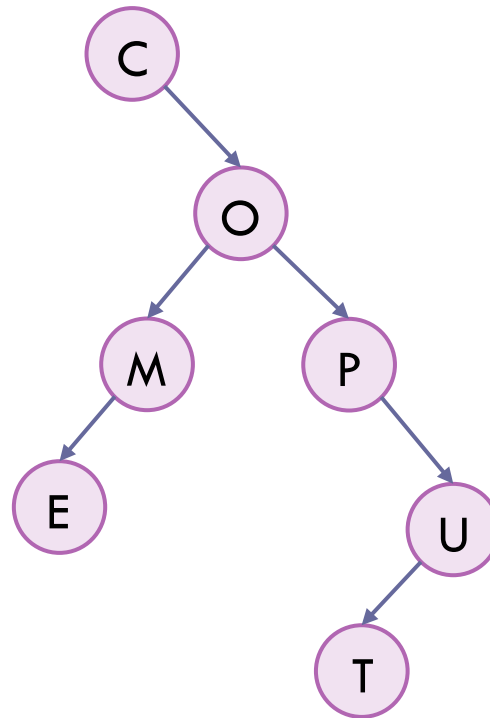
- ▶ **Problem**
  - ▶ How can we predict the height of the tree?
- ▶ Many trees of different shapes can be composed of the same data
- ▶ How to control the tree shape?



# Problem of Lopsidedness

---

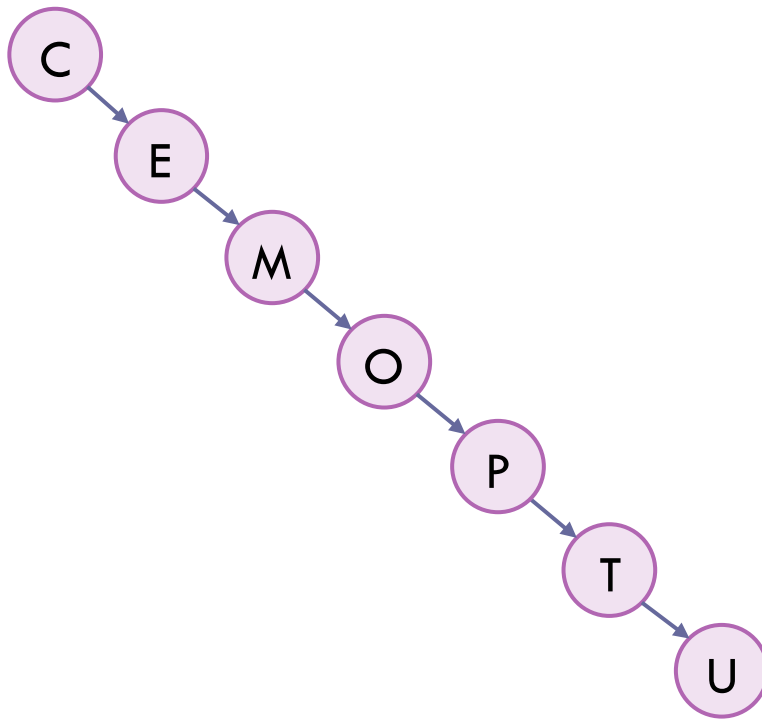
- ▶ Trees can be unbalanced
- ▶ Not all nodes have exactly 2 child nodes



# Problem of Lopsidedness

---

- ▶ Trees can be totally lopsided
- ▶ Suppose each node has a right child only
- ▶ Degenerates into a linked list

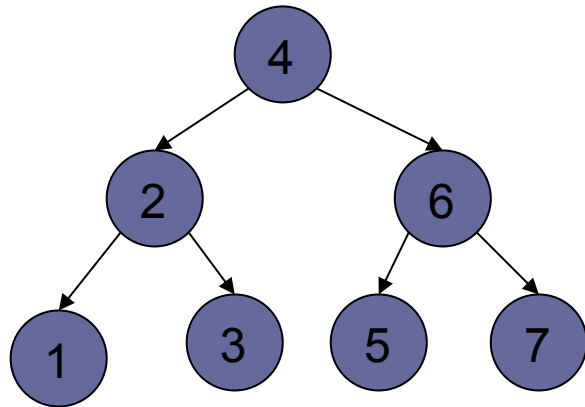


**Processing time affected  
by "shape" of tree**

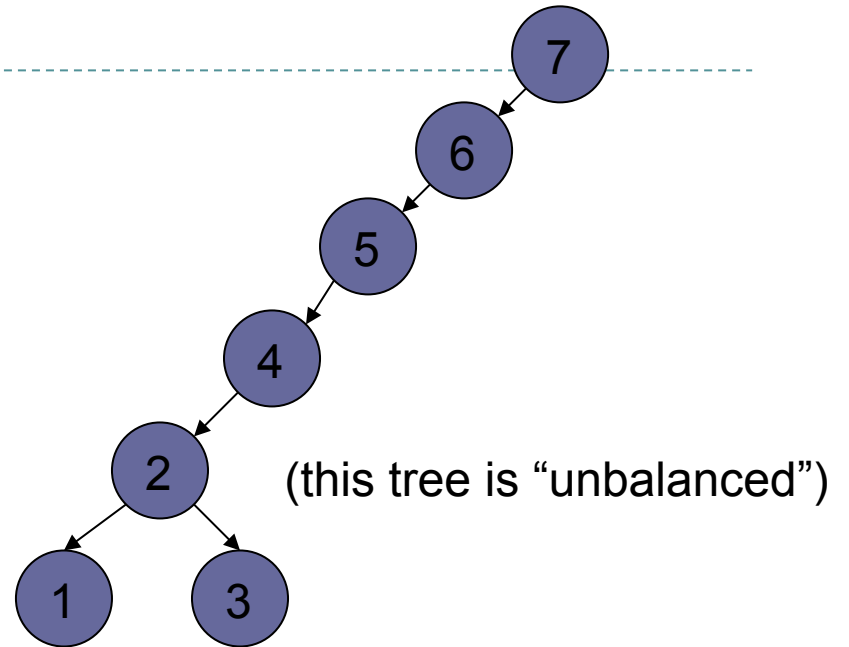
# Binary Search Tree

---

(we say this tree is “balanced”)



Tree 1  
Same data as Tree 2



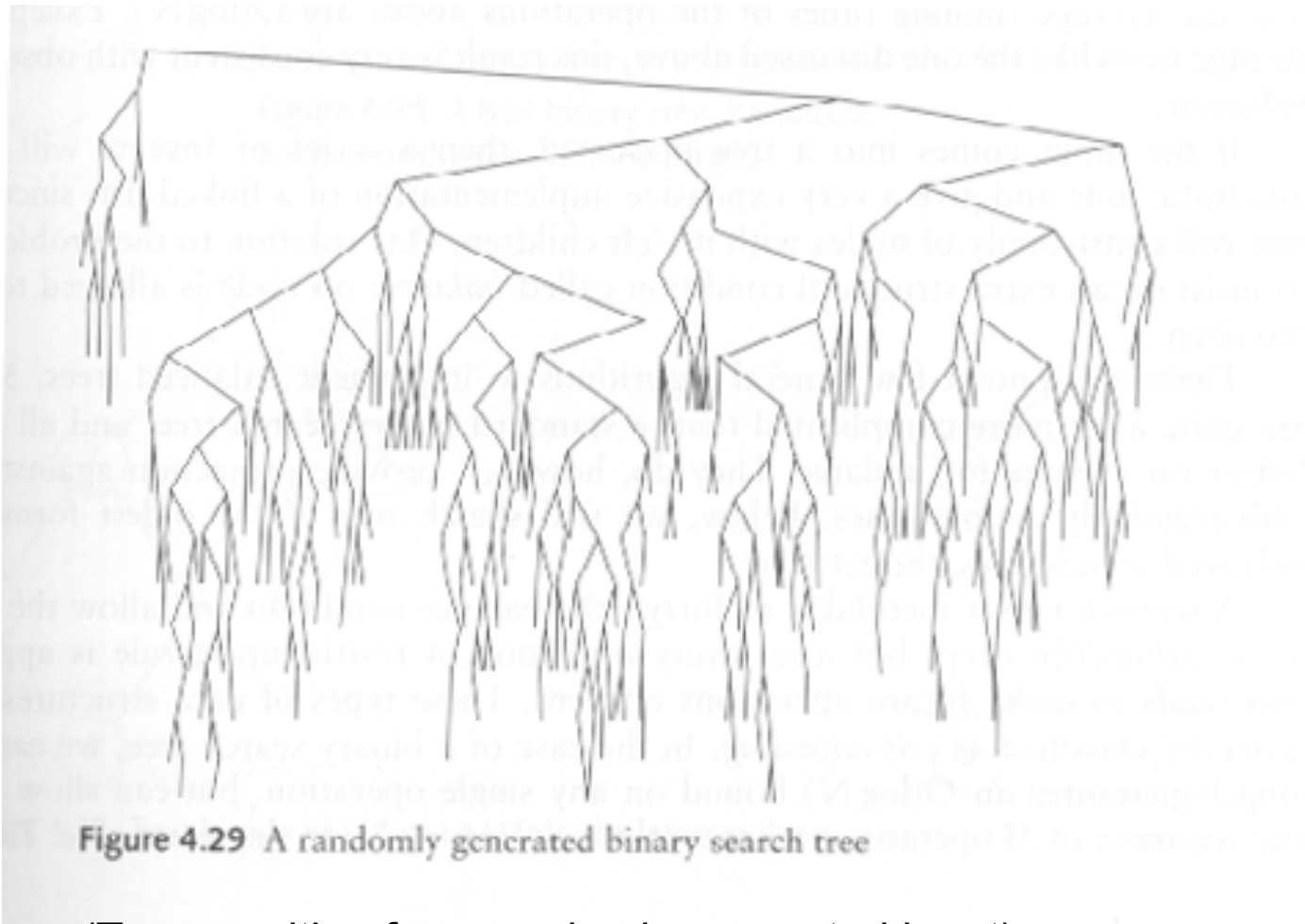
(this tree is “unbalanced”)

Tree 2  
Same data as Tree 1

Which tree would you prefer to use?

# Tree Examples

---

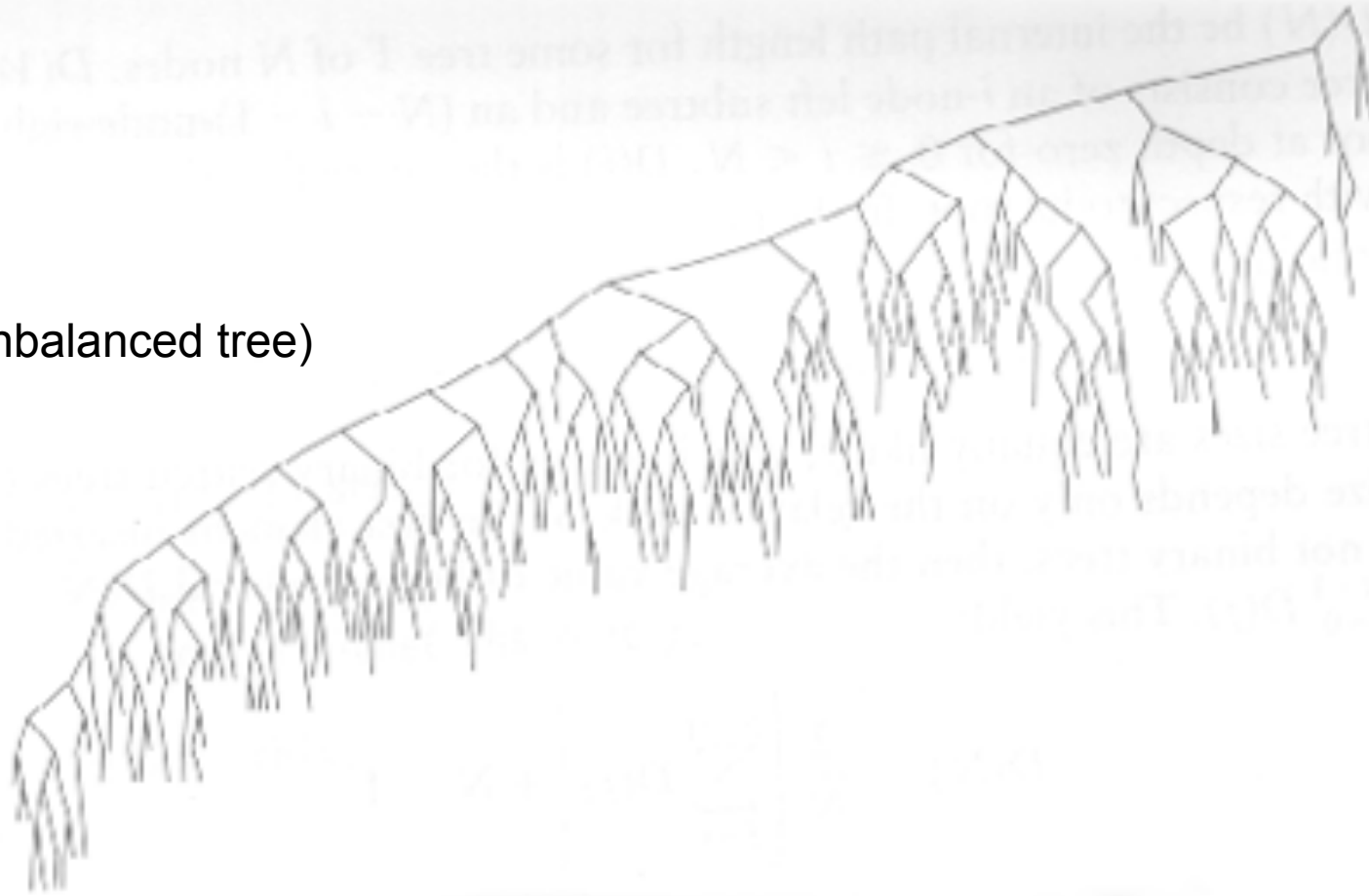


(Tree resulting from randomly generated input)

# Tree Examples

---

(Unbalanced tree)



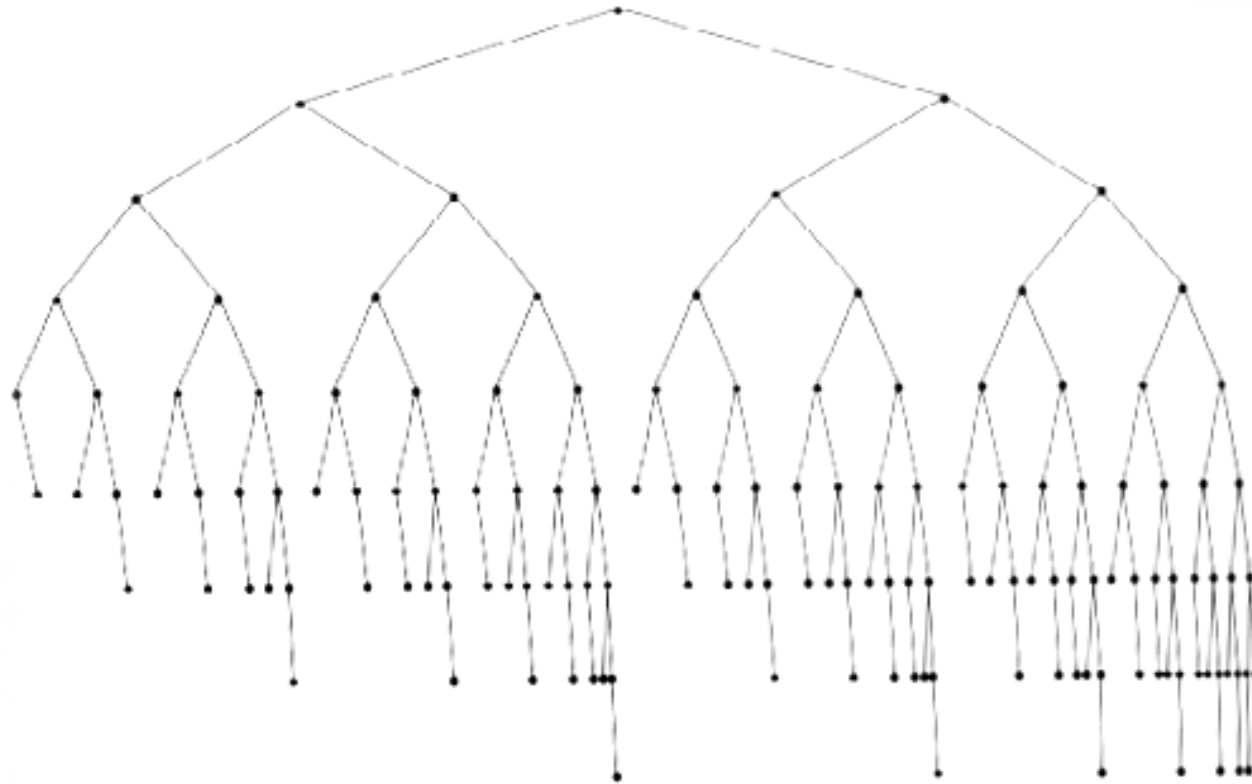
# How Fast is Sorting Using BST?

---

- ▶  $n$  numbers ( $n$  large) are to be sorted by first constructing a binary tree and then read them in inorder manner
- ▶ **Bad case: the input is more or less sorted**
  - ▶ A rather “linear” tree is constructed
  - ▶ Total steps in constructing a binary tree:  $1 + 2 + \dots + n = n(n+1)/2 \sim n^2$
  - ▶ Total steps in traversing the tree:  $n$
  - ▶ Total  $\sim n^2$
- ▶ **Best case: the binary tree is constructed in a balanced manner**
  - ▶ Depth after adding  $i$  numbers:  $\log(i)$
  - ▶ Total steps in constructing a binary tree:  $\log 1 + \log 2 + \log 3 + \log 4 + \dots + \log n < \log n + \log n + \dots + \log n = n \log n$
  - ▶ Total steps in traversing the tree:  $n$
  - ▶ Total  $\sim n \log n$ , much faster
- ▶ It turns out that one cannot sort  $n$  numbers faster than  $n \log n$
- ▶ For any arbitrary input, one can indeed construct a rather balanced binary tree with some extra steps in insertion and deletion
  - ▶ E.g., An AVL tree

# An AVL Tree → A Rather Balanced Tree for Efficient BST Operations (See Animation)

---



(Balanced Tree . . This is actually a very good tree called AVL tree)