

Chapter 2: Application Layer (last revised 3/3/05)

Chapter goals:

- ❑ conceptual + implementation aspects of network application protocols
 - client server paradigm
 - service models
- ❑ learn about protocols by examining popular application-level protocols

More chapter goals

- ❑ specific protocols:
 - http
 - ftp
 - smtp
 - pop
 - dns
- ❑ programming network applications
 - socket programming

Chapter 2 outline

- ❑ 2.1 Principles of network applications
- ❑ 2.2 Web and HTTP
- ❑ 2.3 FTP
- ❑ 2.4 Electronic Mail
 - SMTP, POP3, IMAP
- ❑ 2.5 DNS
- ❑ 2.6 P2P File Sharing
- ❑ 2.7 Socket programming with TCP
- ❑ 2.8 Socket programming with UDP
- ❑ 2.9 Building a Web server
- ❑ 2.10 Content distribution
 - Content distribution networks vs. Web Caching (7.5)

Some network apps

- ❑ E-mail
- ❑ Web
- ❑ Instant messaging
- ❑ Remote login
- ❑ P2P file sharing
- ❑ Multi-user network games
- ❑ Streaming stored video clips
- ❑ Internet telephone
- ❑ Real-time video conference
- ❑ Massive parallel computing

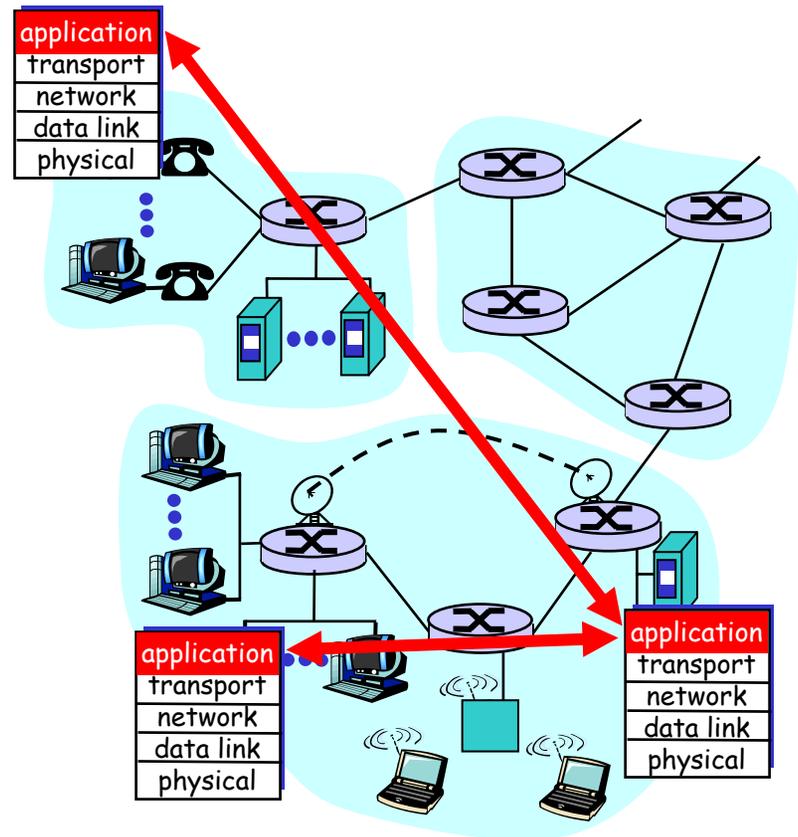
Creating a network app

Write programs that

- run on different end systems and
- communicate over a network.
- e.g., Web: Web server software communicates with browser software

No software written for devices in network core

- Network core devices do not function at app layer
- This design allows for rapid app development



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Application architectures

- Client-server
- Peer-to-peer (P2P)
- Hybrid of client-server and P2P

Assumption: End users can communicate end-to-end using a lower-level (transport layer) protocol (TCP or UDP)

Client-server architecture

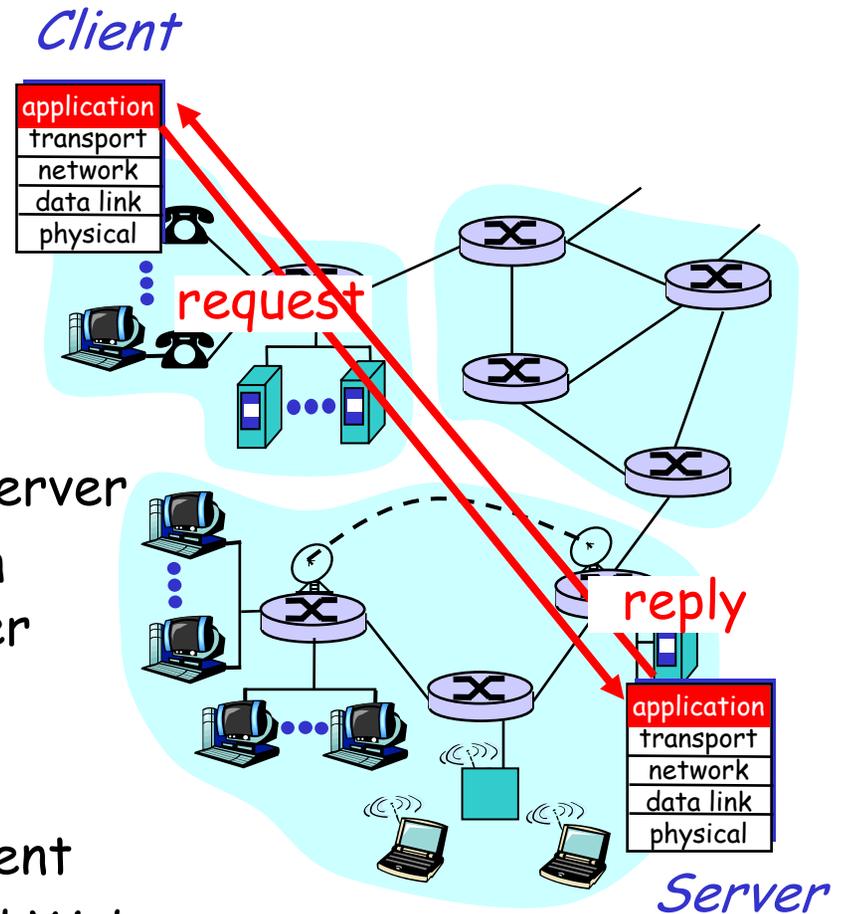
Typical network app has two pieces: *client* and *server*

Client:

- initiates contact with server ("speaks first")
- typically requests service from server
- for Web, client is implemented in browser; for e-mail, in mail reader

Server:

- provides requested service to client
- e.g., Web server sends requested Web page, mail server delivers e-mail



Client-server architecture

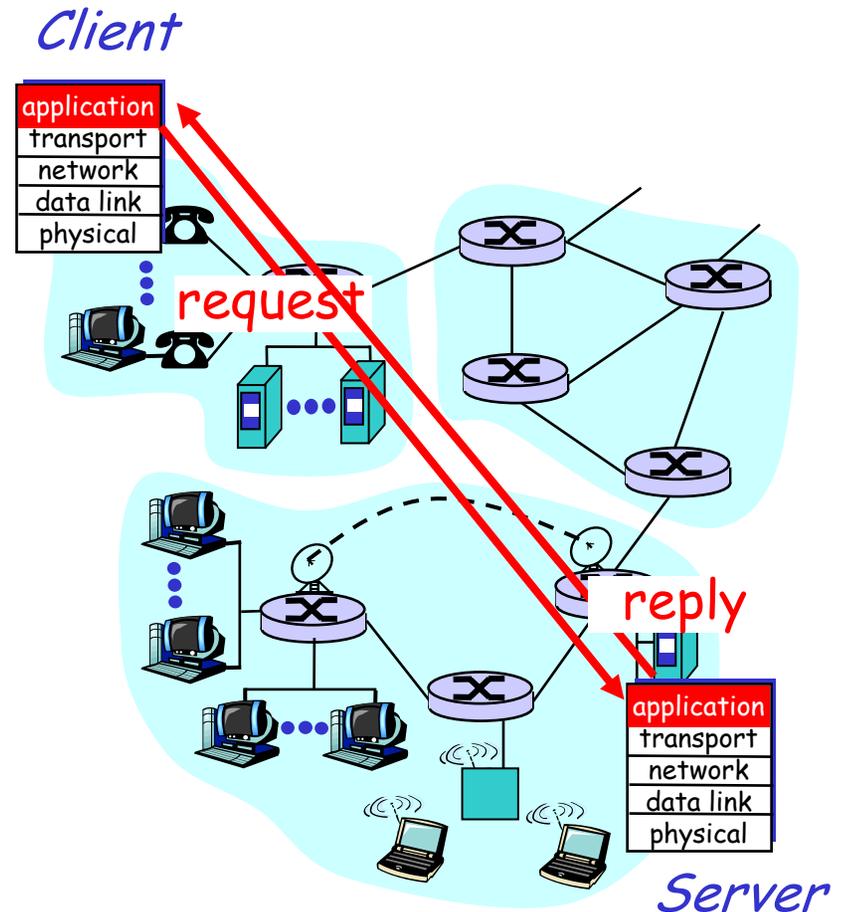
Typical network app has two pieces: *client* and *server*

Client:

- ❑ May have **dynamic** (changing) "IP" address.
- ❑ May not always be on
- ❑ Only speaks with server (and not with other clients)

Server:

- ❑ **Permanent** IP address
- ❑ Always on
- ❑ server farms for scaling, e.g., Akamai

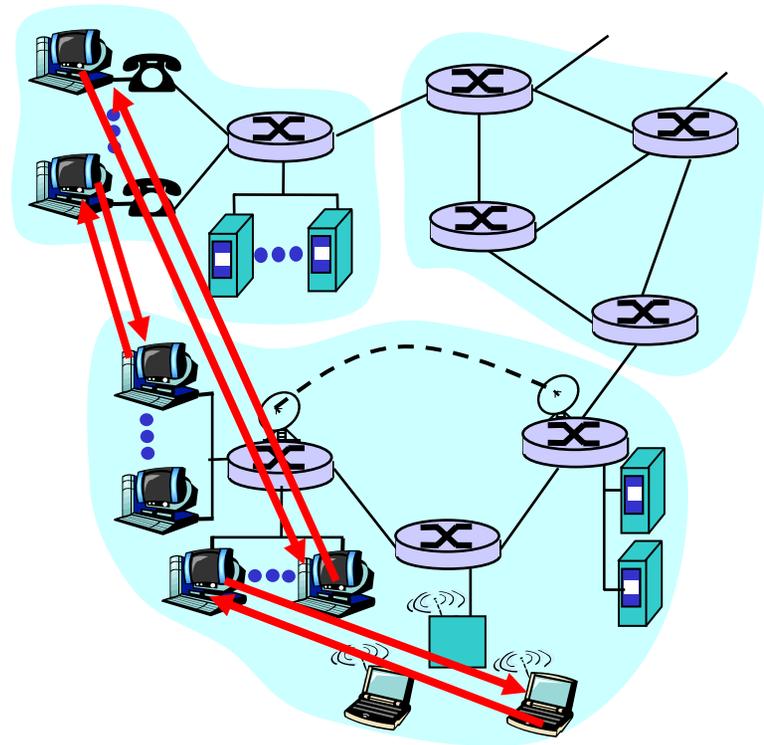


Pure P2P architecture

- ❑ no always on server
- ❑ arbitrary end systems directly communicate
- ❑ peers are intermittently connected and change IP addresses
- ❑ example: Gnutella

Highly scalable

But difficult to manage



Hybrid of client-server and P2P

Napster

- File transfer P2P
- File search centralized:
 - Peers register content at central server
 - Peers query same central server to locate content

Instant messaging

- Chatting between two users is P2P
- Presence detection/location centralized:
 - User registers its IP address with central server when it comes online
 - User contacts central server to find IP addresses of buddies

Processes communicating

- Process:** program running within a host.
- within same host, two processes communicate using **inter-process communication** (defined by OS).
 - processes in different hosts communicate by exchanging **messages**

Client process:

process that initiates communication

Server process:

process that waits to be contacted

- Note: applications with P2P architectures have client processes & server processes

Application-layer Protocols

- ❑ **Network Applications** are applications which involves interactions of processes implemented in multiple hosts connected by a network.
Examples: the web, email, file transfer
- ❑ Within the same host, processes communicate with **interprocess communication** defined by the OS (Operating System).
- ❑ Processes running in different hosts communicate with an **application-layer protocol**

Application-layer protocols

- are a "piece" of **Application (apps)**
- define messages exchanged by apps and actions taken
- use **services provided by lower layer protocols**

Application-layer protocols (cont).

API: application programming interface

- defines interface between application and transport layer
- socket: Internet API
 - two processes communicate by sending data into socket, reading data out of socket

Q: how does a process “identify” the other process with which it wants to communicate?

- IP address of host running other process
- “port number” - allows receiving host to determine to which local process the message should be delivered

... lots more on this later.

App-layer protocol defines

- ❑ Types of messages exchanged, eg, request & response messages
- ❑ Syntax of message types: what fields in messages & how fields are delineated
- ❑ Semantics of the fields, ie, meaning of information in fields
- ❑ Rules for when and how processes send & respond to messages

Public-domain protocols:

- ❑ defined in RFCs
- ❑ allows for interoperability
- ❑ eg, HTTP, SMTP

Proprietary protocols:

- ❑ eg, KaZaA

What transport service does an app need?

Data loss

- ❑ some apps (e.g., audio) can tolerate some loss
- ❑ other apps (e.g., file transfer, telnet) require 100% reliable data transfer

Bandwidth

- ❑ some apps (e.g., multimedia) require minimum amount of bandwidth to be "effective"
- ❑ other apps ("elastic apps") make use of whatever bandwidth they get

Timing

- ❑ some apps (e.g., Internet telephony, interactive games) require low delay to be "effective"

Transport service requirements of common apps

Application	Data loss	Bandwidth	Time Sensitive
file transfer	no loss	elastic	no
e-mail	no loss	elastic	no
Web documents	no loss	elastic	no
real-time audio/video	loss-tolerant	audio: 5kbps-1Mbps video: 10kbps-5Mbps	yes, 100's msec
stored audio/video	loss-tolerant	same as above	yes, few secs
interactive games	loss-tolerant	few kbps up	yes, 100's msec
instant messaging	no loss	elastic	yes and no

Services provided by Internet transport protocols

TCP service:

- ❑ *connection-oriented*: setup required between client, server
- ❑ *reliable transport* between sending and receiving process
- ❑ *flow control*: sender won't overwhelm receiver
- ❑ *congestion control*: throttle sender when network overloaded
- ❑ *does not provide*: timing, minimum bandwidth guarantees

UDP service:

- ❑ unreliable data transfer between sending and receiving process
- ❑ does not provide: connection setup, reliable transport, flow control, congestion control, timing, or bandwidth guarantee

Internet apps: their protocols and transport protocols

Application	Application layer protocol	Underlying transport protocol
e-mail	smtp [RFC 821]	TCP
remote terminal access	telnet [RFC 854]	TCP
Web	http [RFC 2068]	TCP
file transfer	ftp [RFC 959]	TCP
streaming multimedia	proprietary (e.g. RealNetworks)	TCP or UDP
remote file server	NFS	TCP or UDP
Internet telephony	proprietary (e.g., Vocaltec)	typically UDP

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The Web: some jargon

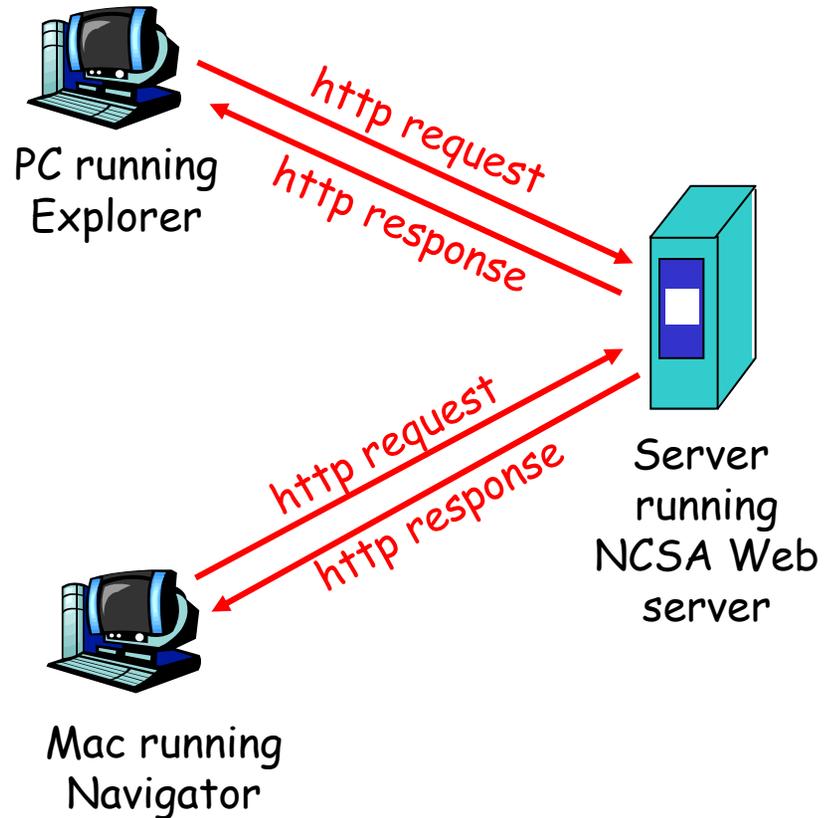
- ❑ **Web page:**
 - consists of "objects"
 - addressed by a URL
- ❑ Most Web pages contain
 - base HTML page, and
 - several referenced objects.
- ❑ URL has two components:
host name and
path name:
- ❑ User agent for Web is called a browser:
 - MS Internet Explorer
 - Netscape Communicator
 - Firefox
- ❑ Server for Web is called Web server:
 - Apache (public domain)
 - MS Internet Information Server

www.someSchool.edu/someDept/pic.gif

The Web: the http protocol

http: hypertext transfer protocol

- ❑ Web's application layer protocol
- ❑ client/server model
 - *client*: browser that requests, receives, "displays" Web objects
 - *server*: Web server sends objects in response to requests
- ❑ http1.0: RFC 1945
- ❑ http1.1: RFC 2068



The http protocol: more

Uses TCP

- ❑ client initiates TCP connection (creates socket) to server, port 80
- ❑ server accepts TCP connection from client
- ❑ http messages (application-layer protocol messages) exchanged between browser (http client) and Web server (http server)
- ❑ TCP connection closed

http is "stateless"

- ❑ server maintains no information about past client requests

Protocols that maintain "state" are complex! aside

- ❑ past history (state) must be maintained
- ❑ if server/client crashes, their views of "state" may be inconsistent, must be reconciled

Non-persistent http example

Suppose user enters URL

www.someSchool.edu/someDepartment/home.index

(contains text,
references to 10
jpeg images)

1a. http client initiates TCP connection to http server (process) at www.someSchool.edu. Port 80 is default for http server.

1b. http server at host www.someSchool.edu waiting for TCP connection at port 80. "accepts" connection, notifying client

2. http client sends http *request message* (containing URL) into TCP connection socket

3. http server receives request message, forms *response message* containing requested object (someDepartment/home.index), sends message into socket

time
↓

Non-persistent http example (cont.)

5. http client receives response message containing html file, displays html. Parsing html file, finds 10 referenced jpeg objects

4. http server closes TCP connection.

6. Steps 1-5 repeated for each of 10 jpeg objects

time ↓

Response time modeling

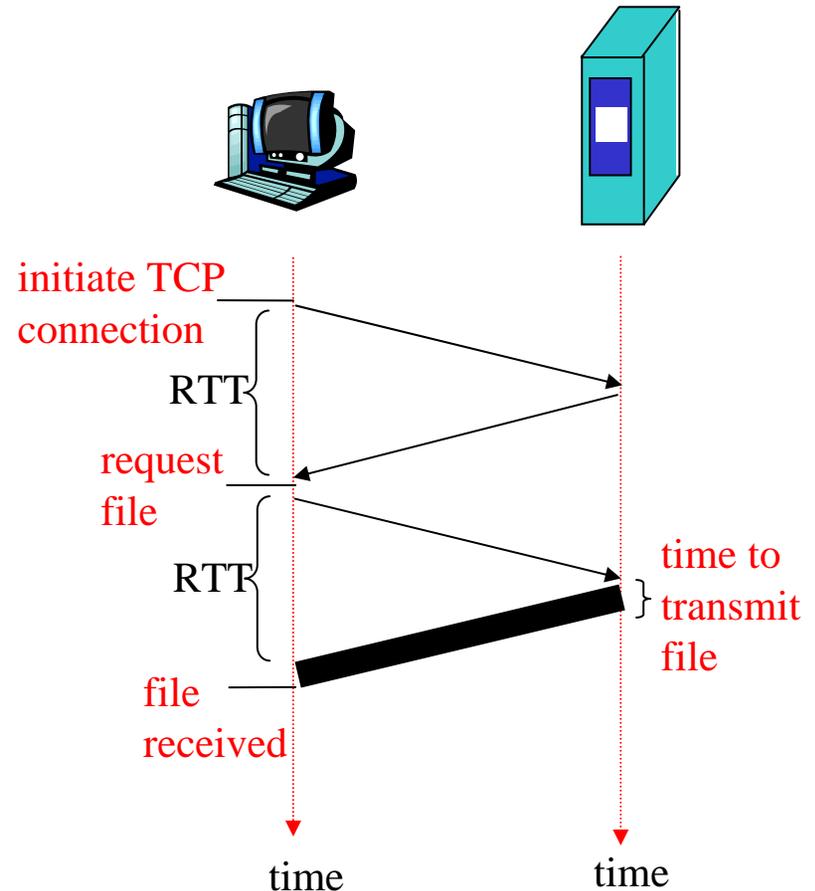
Definition of RRT:

time to send a small packet to travel from client to server and back.

Response time:

- one RTT to initiate TCP connection
- one RTT for HTTP request and first few bytes of HTTP response to return
- file transmission time

total = $2RTT + \text{transmit time}$



Persistent vs. Non-Persistent HTTP

Nonpersistent HTTP (HTTP/1.0)

- ❑ Requires 2 RTTs per object
- ❑ OS must work and allocate host resources for each TCP connection
- ❑ Repeated 10 times for ten objects. Each object suffers from **slow start**
- ❑ but browsers often open **parallel** TCP connections to fetch referenced objects

Persistent HTTP

- ❑ server leaves connection open after sending response
- ❑ subsequent HTTP messages between same client/server are sent over connection

Persistent without pipelining:

- ❑ client issues new request only when previous response has been received
- ❑ one RTT for each referenced object

Persistent with pipelining:

- ❑ default in **HTTP/1.1**
- ❑ client sends requests as soon as it encounters a referenced object
- ❑ as little as one RTT for all the referenced objects

http message format: request

- two types of http messages: *request, response*
- **http request message:**
 - ASCII (human-readable format)

request line
(GET, POST,
HEAD commands)

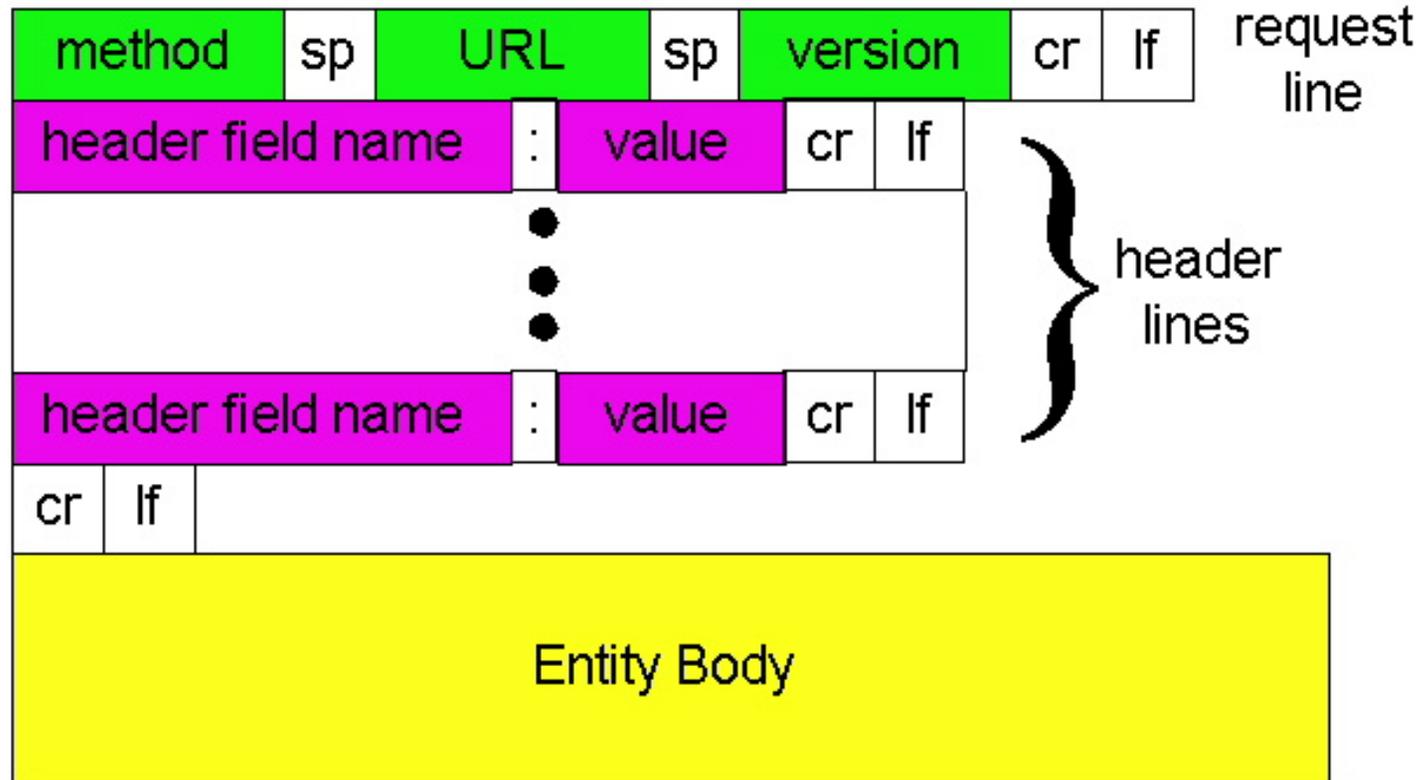
header
lines

```
GET /somedir/page.html HTTP/1.0
User-agent: Mozilla/4.0
Accept: text/html, image/gif, image/jpeg
Accept-language: fr
```

Carriage return
line feed
indicates end
of message

(extra carriage return, line feed)

http request message: general format

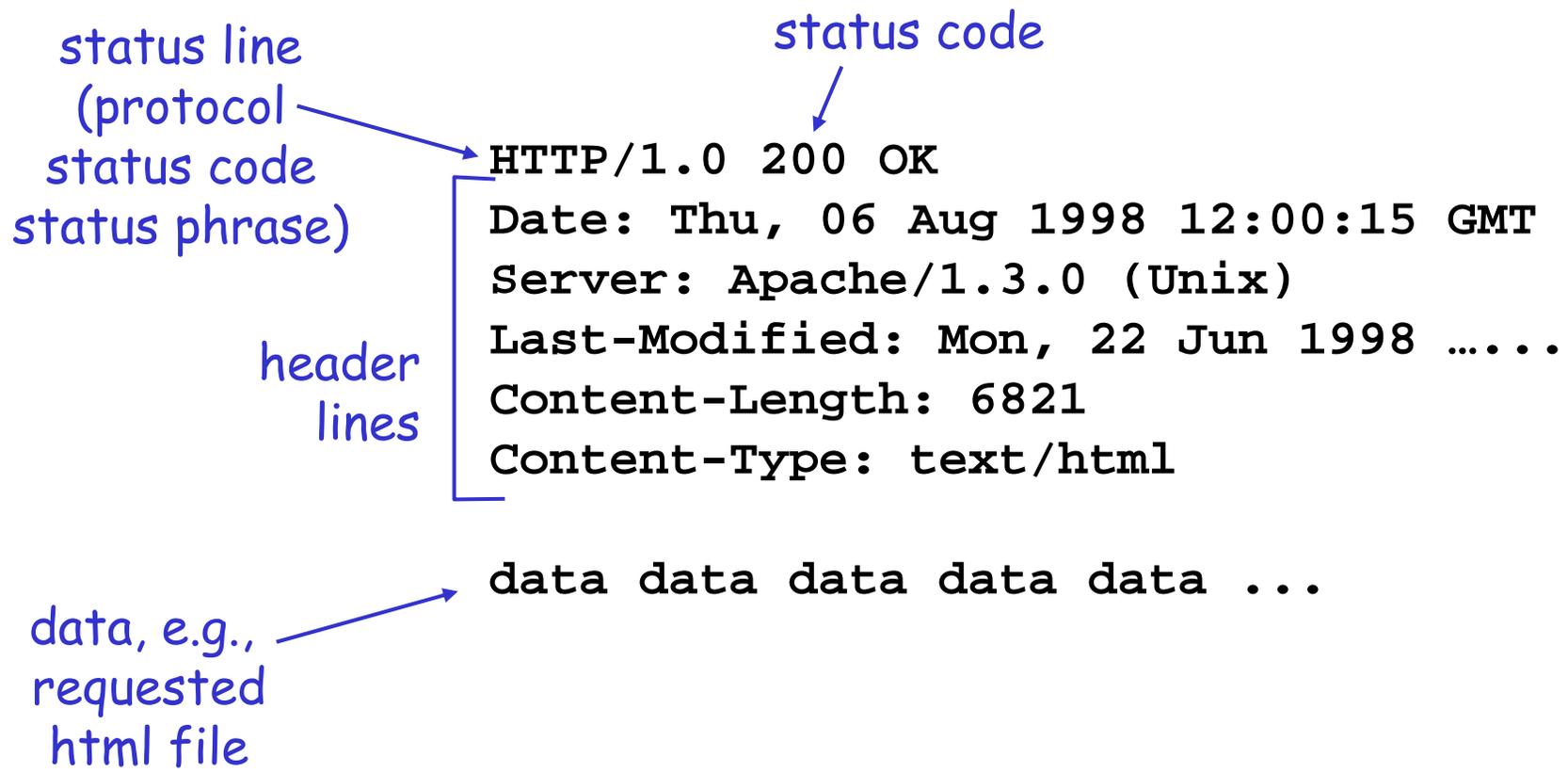


http request message: more info

- http/1.0 has only three request *methods*
 - *GET*:
 - *POST*: for forms. Uses *Entity Body* to transfer form info
 - *HEAD*: Like *GET* but response does not actually return any info. This is used for debugging/test purposes

- http/1.1 has two additional request *methods*
 - *PUT*: Allows uploading object to web server
 - *DELETE*: Allows deleting object from web server

http message format: response



http response status codes

In first line in server->client response message.

A few sample codes:

200 OK

- request succeeded, requested object later in this message

301 Moved Permanently

- requested object moved, new location specified later in this message (Location:)

400 Bad Request

- request message not understood by server

404 Not Found

- requested document not found on this server

505 HTTP Version Not Supported

Trying out http (client side) for yourself

1. Telnet to your favorite Web server:

```
telnet cis.poly.edu 80
```

Opens TCP connection to port 80 (default http server port) at cis.poly.edu. Anything typed in sent to port 80 at cis.poly.edu.

2. Type in a GET http request:

```
GET /~ross/index.html HTTP/1.0
```

By typing this in (hit carriage return twice), you send this minimal (but complete) GET request to http server

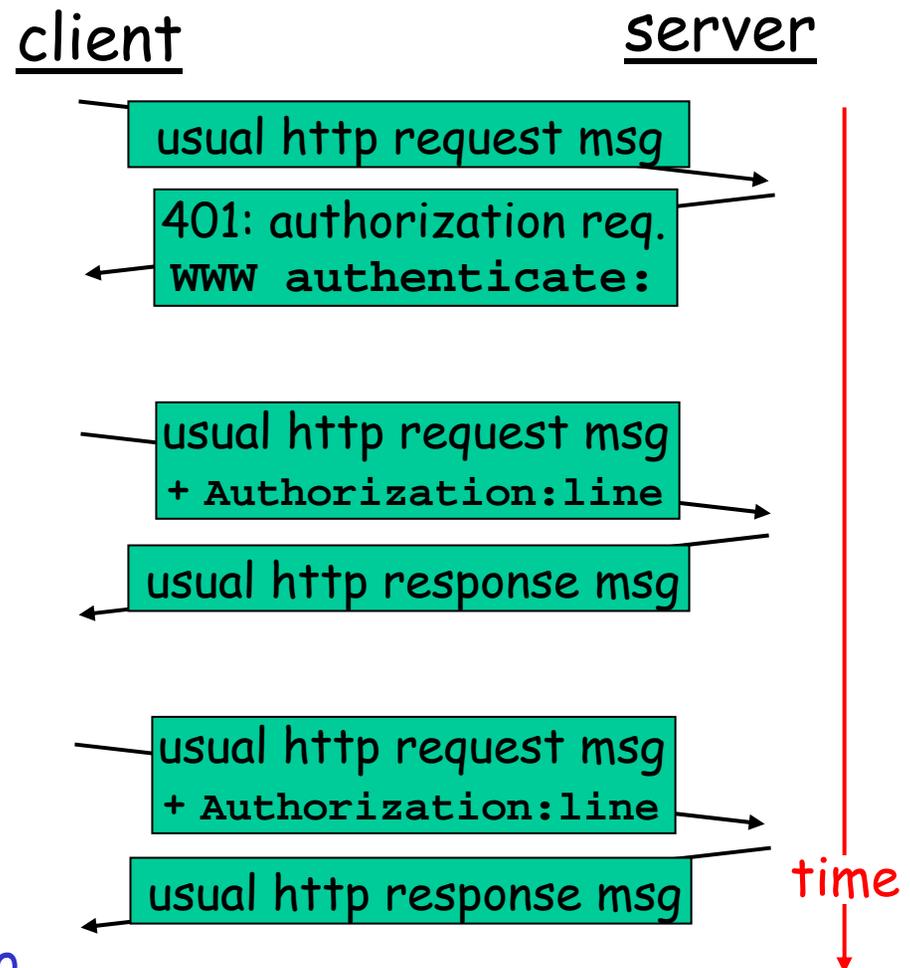
3. Look at response message sent by http server!

```
Try telnet www.cs.ust.hk 80
```

User-server interaction: authentication

- Authentication goal:** control access to server documents
- ❑ **stateless:** client must present authorization in each request
 - ❑ authorization: typically name, password
 - authorization: header line in request
 - if no authorization presented, server refuses access, sends
WWW authenticate:
header line in response

Browser caches name & password so that user does not have to repeatedly enter it.



User-server state: cookies

Many major Web sites use cookies

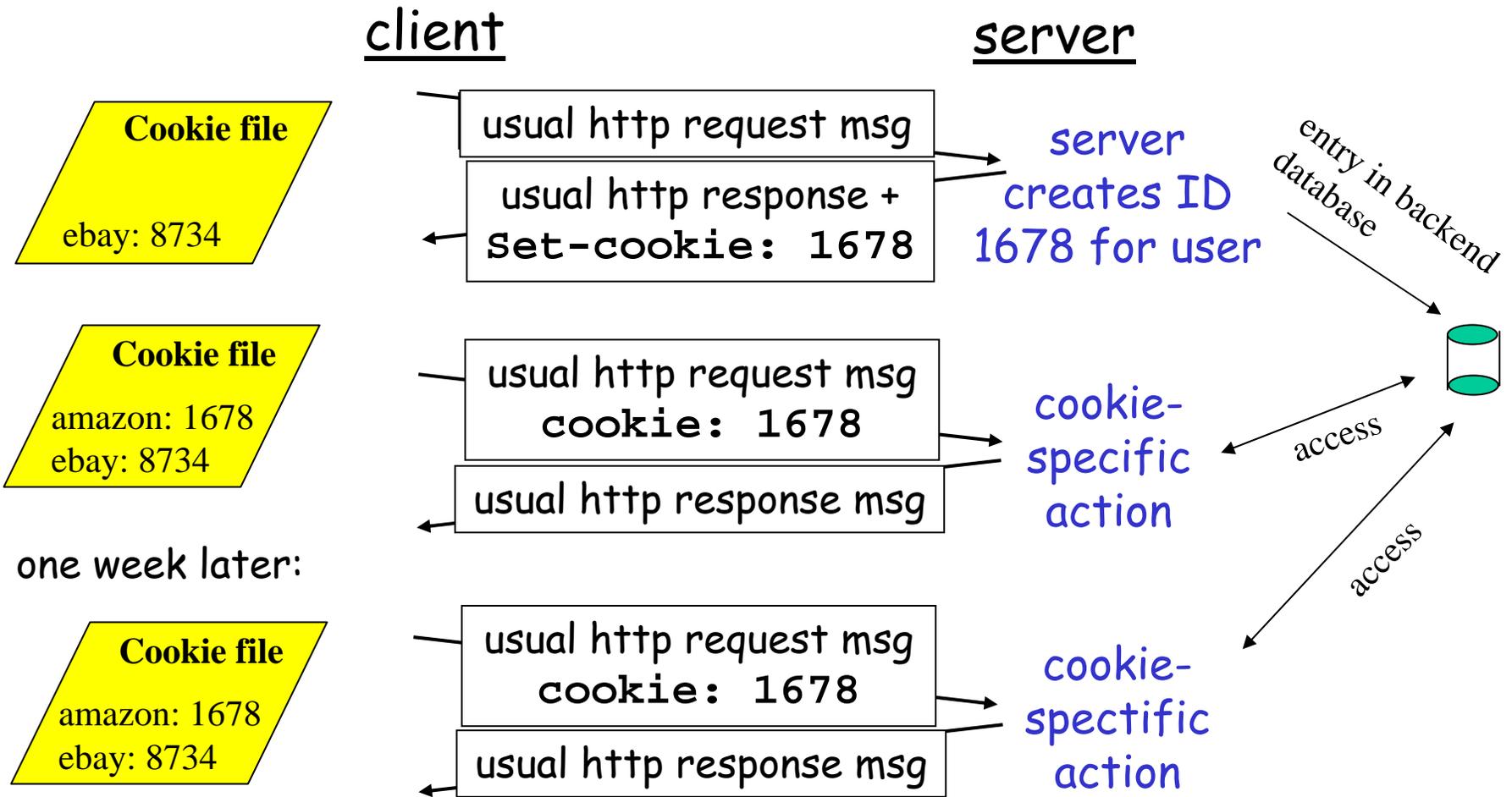
Four components:

- 1) cookie header line in the HTTP response message
- 2) cookie header line in HTTP request message
- 3) cookie file kept on user's host and managed by user's browser
- 4) back-end database at Web site

Example:

- Susan always accesses Internet from same PC
- She visits a specific e-commerce site for first time
- When initial HTTP requests arrives at site, site creates a unique ID and creates an entry in backend database for ID

Cookies: keeping "state" (cont.)



Cookies (continued)

What cookies can bring:

- ❑ authorization
- ❑ shopping carts
- ❑ recommendations
- ❑ user session state (Web e-mail)

— aside —

Cookies and privacy:

- ❑ cookies permit sites to learn a lot about you
- ❑ you may supply name and e-mail to sites
- ❑ search engines use redirection & cookies to learn yet more
- ❑ advertising companies obtain info across sites

Cookie example

```
telnet www.google.com 80
```

```
Trying 216.239.33.99...  
Connected to www.google.com.  
Escape character is '^]'.
```

```
GET /index.html HTTP/1.0
```

```
HTTP/1.0 200 OK
```

```
Date: Wed, 10 Sep 2003 08:58:55 GMT
```

```
Set-Cookie:
```

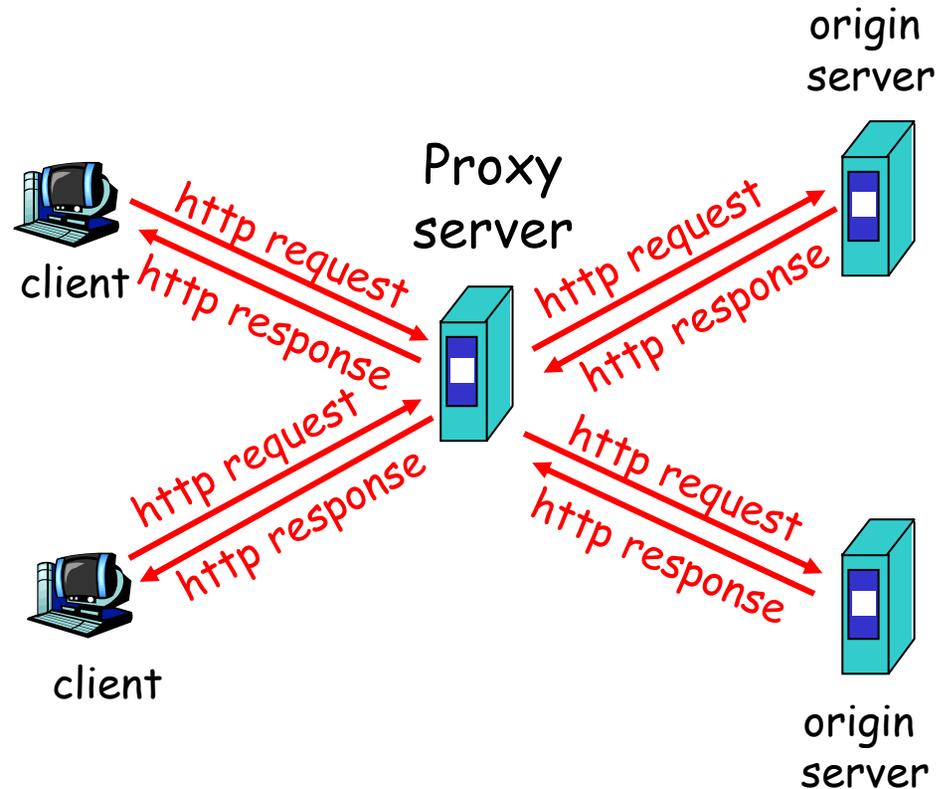
```
PREF=ID=43bd8b0f34818b58:TM=1063184203:LM=1063184203:  
S=DDqPgTb56Za88O2y; expires=Sun, 17-Jan-2038 19:14:07 GMT;  
path=/; domain=.google.com
```

```
.  
.
```


Web Caches (proxy server)

Goal: satisfy client request without involving origin server

- user sets browser: Web accesses via web cache
- client sends all http requests to web cache
 - if object at web cache, web cache immediately returns object in http response
 - else requests object from origin server, then returns http response to client



More about Web caching

- ❑ Cache acts as both client and server
- ❑ Cache can do up-to-date check using
 - `If-modified-since` HTTP header
 - Issue: should cache take risk and deliver cached object without checking?
 - Heuristics are used.
- ❑ Typically cache is installed by ISP (university, company, residential ISP)

Why Web caching?

- ❑ Reduce response time for client request.
- ❑ Reduce traffic on an institution's access link.
- ❑ Internet dense with caches enables "poor" content providers to effectively deliver content

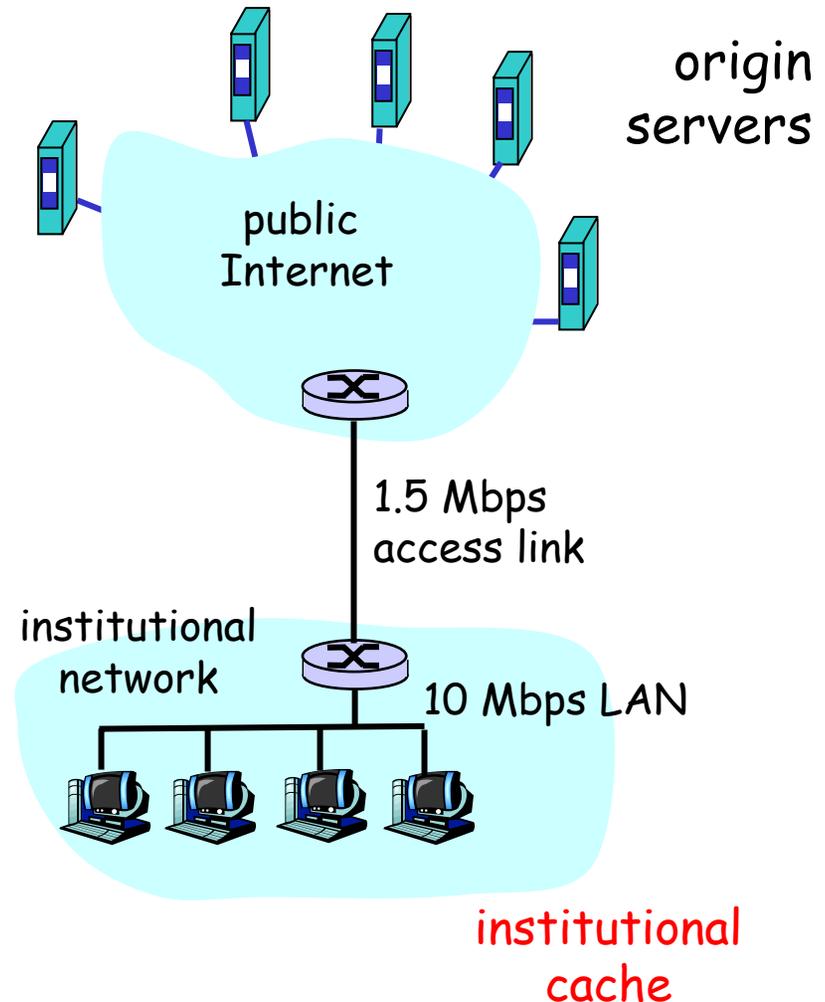
Caching example (1)

Assumptions

- ❑ average object size = 100,000 bits
- ❑ avg. request rate from institution's browser to origin servers = 15/sec
- ❑ delay from institutional router to any origin server and back to router = 2 sec

Consequences

- ❑ utilization on LAN = 15%
- ❑ utilization on access link = 100%
- ❑ total delay = Internet delay + access delay + LAN delay
= 2 sec + minutes + milliseconds



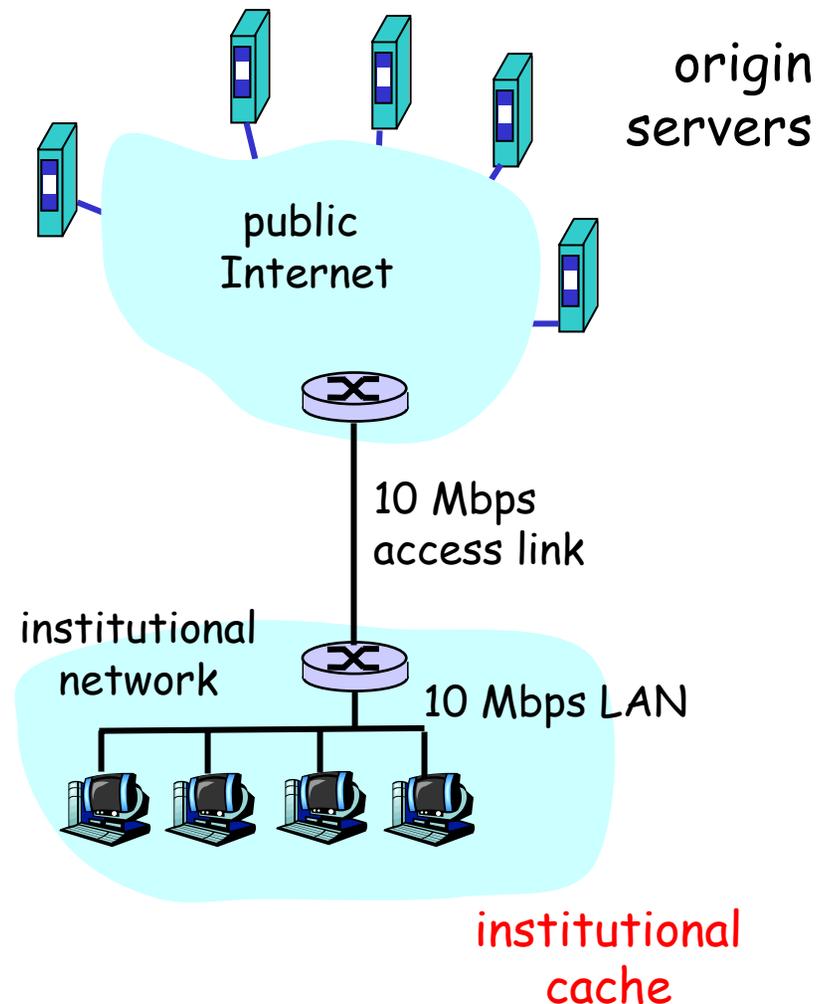
Caching example (2)

Possible solution

- increase bandwidth of access link to, say, 10 Mbps

Consequences

- utilization on LAN = 15%
- utilization on access link = 15%
- Total delay = Internet delay + access delay + LAN delay
= 2 sec + msec + msec
- often a costly upgrade



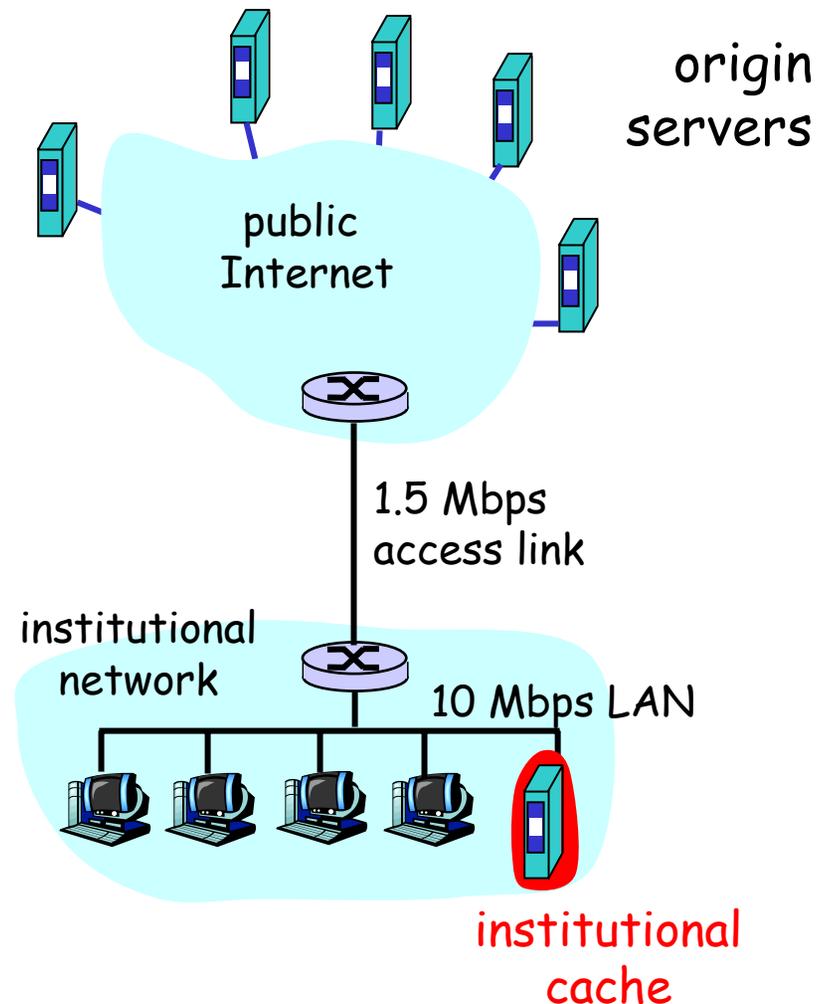
Caching example (3)

Install cache

- suppose hit rate is .4

Consequence

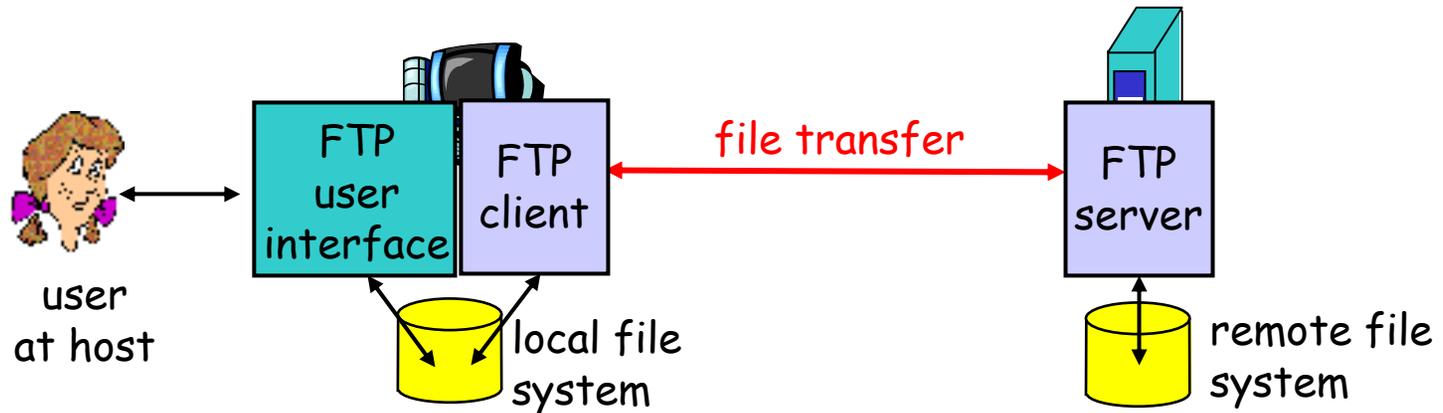
- 40% requests will be satisfied almost immediately
- 60% requests satisfied by origin server
- utilization of access link reduced to 60%, resulting in negligible delays (say 10 msec)
- total delay = Internet delay + access delay + LAN delay
= $.6 * 2 \text{ sec} + .6 * .01 \text{ secs} + \text{milliseconds} < 1.3 \text{ secs}$



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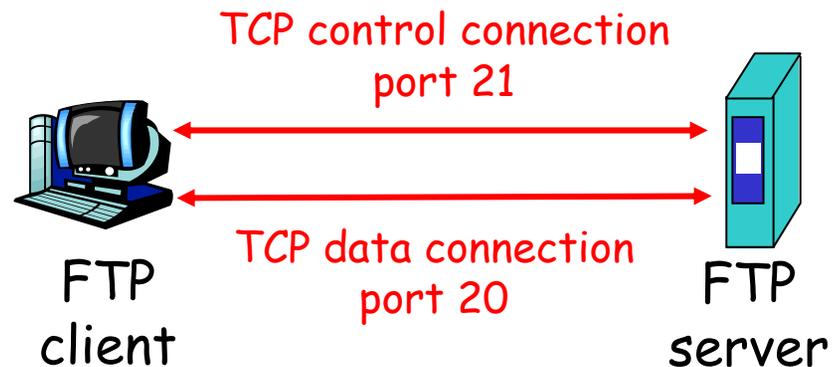
ftp: the file transfer protocol



- ❑ transfer file to/from remote host
- ❑ client/server model
 - *client*: side that initiates transfer (either to/from remote)
 - *server*: remote host
- ❑ ftp: RFC 959
- ❑ ftp server: port 21

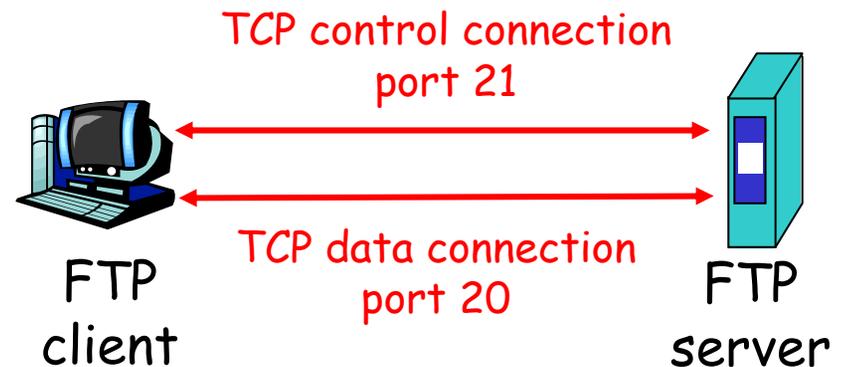
ftp: separate control, data connections

- ❑ ftp client contacts ftp server at port 21, specifying TCP as transport protocol
- ❑ two parallel TCP connections opened:
 - **control**: exchange commands, responses between client, server.
"out of band control"
 - **data**: file data to/from server
- ❑ ftp server maintains "state": current directory, earlier authentication



ftp: separate control, data connections

- ❑ When server receives request for file transfer it **opens** a TCP data connection to client on port 20.
- ❑ After transferring one file, server **closes** connection
- ❑ When next request for file transfer arrives server opens **new** TCP data connection on port 20



ftp commands, responses

Sample commands:

- ❑ sent as ASCII text over control channel
- ❑ USER *username*
- ❑ PASS *password*
- ❑ LIST return list of file in current directory
- ❑ RETR *filename* retrieves (gets) file
- ❑ STOR *filename* stores (puts) file onto remote host

Sample return codes

- ❑ status code and phrase (as in http)
- ❑ 331 Username OK, password required
- ❑ 125 data connection already open; transfer starting
- ❑ 425 Can't open data connection
- ❑ 452 Error writing file

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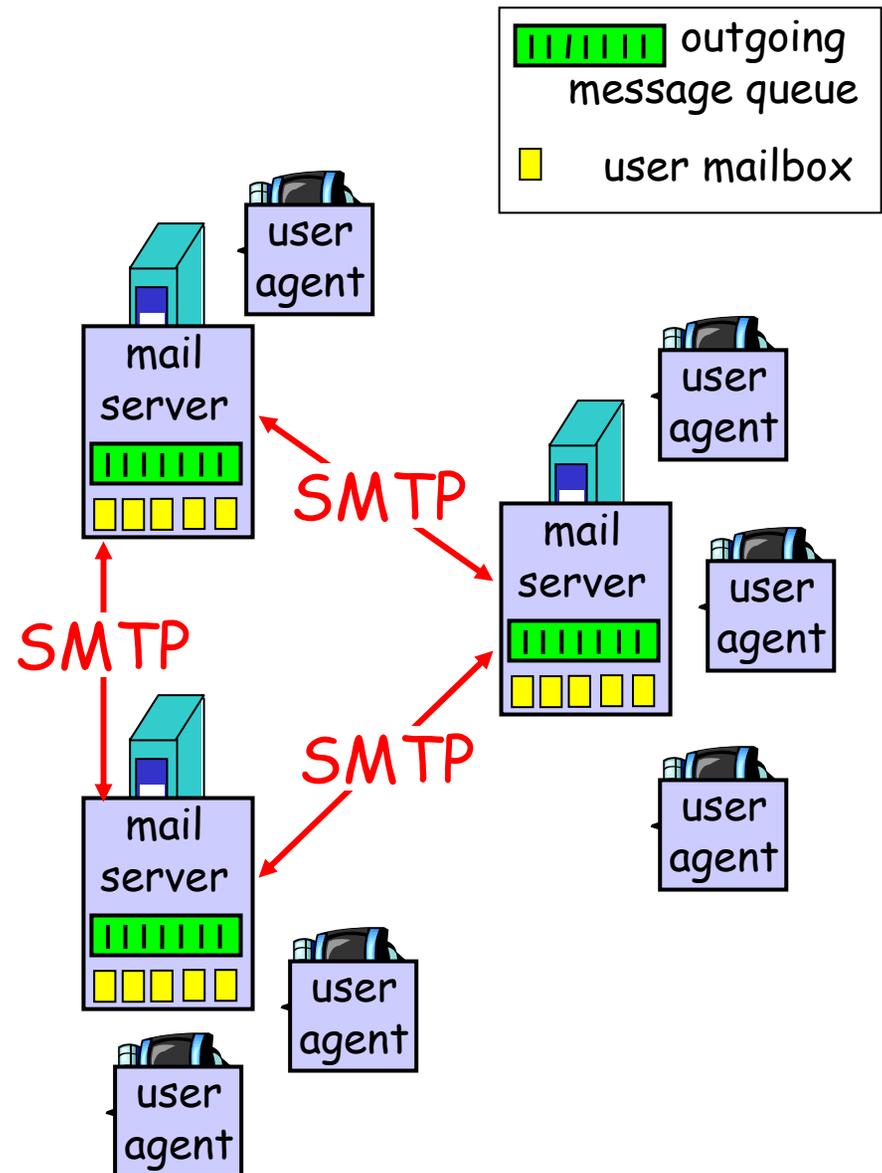
Electronic Mail

Three major components:

- ❑ user agents
- ❑ mail servers
- ❑ simple mail transfer protocol: smtp

User Agent

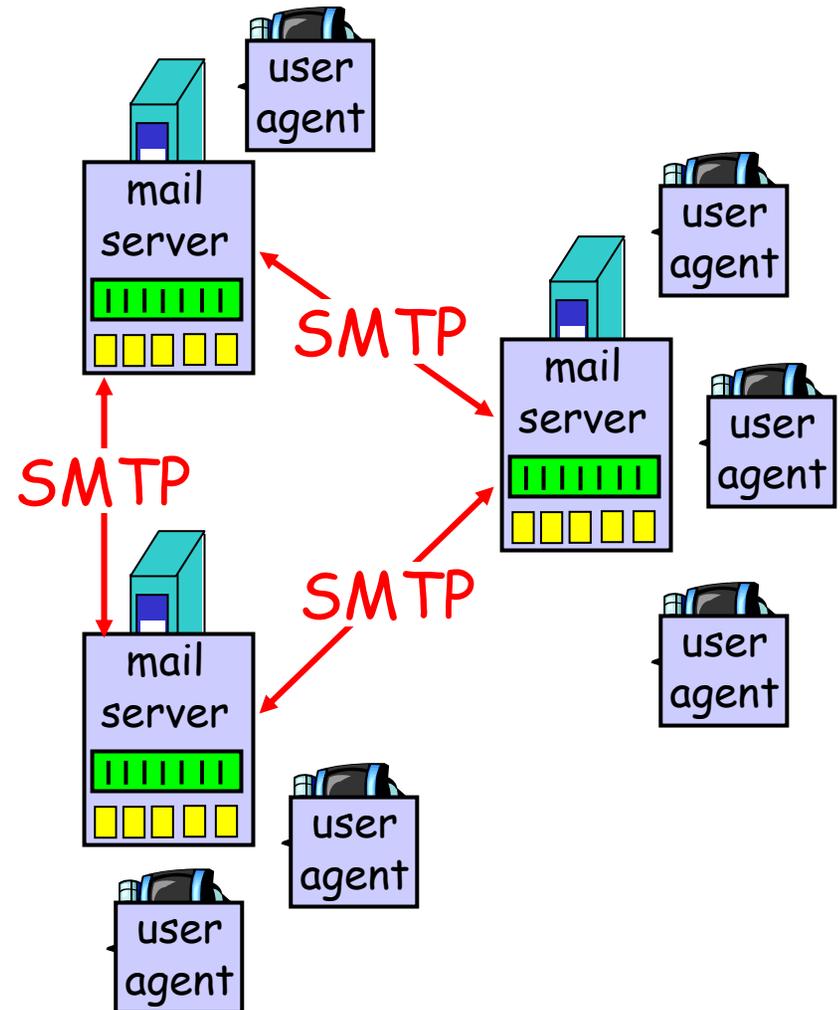
- ❑ a.k.a. "mail reader"
- ❑ composing, editing, reading mail messages
- ❑ e.g., Eudora, Outlook, Netscape Messenger, Thunderbird, elm
- ❑ outgoing, incoming messages stored on server



Electronic Mail: mail servers

Mail "Servers"

- ❑ **mailbox** contains incoming messages (yet to be read) for user
- ❑ **message** queue of outgoing (to be sent) mail messages
- ❑ **smtp protocol** between mail servers to send email messages
 - client: sending mail server
 - "server": receiving mail server

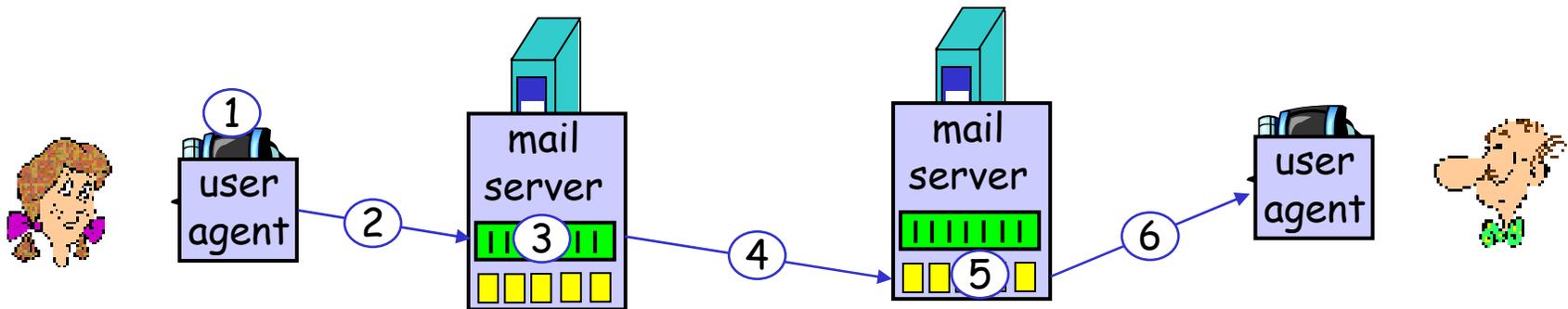


Electronic Mail: smtp [RFC 821]

- ❑ uses tcp to reliably transfer email msg from client to server, port 25
- ❑ direct transfer: sending server to receiving server
- ❑ three phases of transfer
 - handshaking (greeting)
 - transfer of messages
 - closure
- ❑ command/response interaction
 - **commands**: ASCII text
 - **response**: status code and phrase
- ❑ **messages must be in 7-bit ASCII**

Scenario: Alice sends message to Bob

- 1) Alice uses UA to compose message and "to" bob@someschool.edu
- 2) Alice's UA sends message to her mail server; message placed in message queue
- 3) Client side of SMTP opens TCP connection with Bob's mail server
- 4) SMTP client sends Alice's message over the TCP connection
- 5) Bob's mail server places the message in Bob's mailbox
- 6) Bob invokes his user agent to read message



Sample smtp interaction

```
S: 220 hamburger.edu
C: HELO crepes.fr
S: 250 Hello crepes.fr, pleased to meet you
C: MAIL FROM: <alice@crepes.fr>
S: 250 alice@crepes.fr... Sender ok
C: RCPT TO: <bob@hamburger.edu>
S: 250 bob@hamburger.edu ... Recipient ok
C: DATA
S: 354 Enter mail, end with "." on a line by itself
C: Do you like ketchup?
C:   How about pickles?
C: .
S: 250 Message accepted for delivery
C: QUIT
S: 221 hamburger.edu closing connection
```

Try SMTP interaction for yourself:

- ❑ `telnet servername 25`
- ❑ see 220 reply from server
- ❑ enter `HELO`, `MAIL FROM`, `RCPT TO`, `DATA`,
`QUIT` commands

above lets you send email without using email client (reader)

smtp: final words

- ❑ smtp uses persistent connections
- ❑ smtp requires that message (header & body) be in 7-bit ascii
- ❑ certain character strings are not permitted in message (e.g., CRLF.CRLF). Thus message has to be encoded (usually into either base-64 or quoted printable)
- ❑ smtp server uses CRLF.CRLF to determine end of message

Comparison with http

- ❑ http: pull
- ❑ email: push
- ❑ both have ASCII command/response interaction, status codes
- ❑ http: each object is encapsulated in its own response message
- ❑ smtp: multiple objects message sent in a multipart message

□ Mail message format

smtp: protocol for exchanging email msgs

RFC 822: standard for text message format:

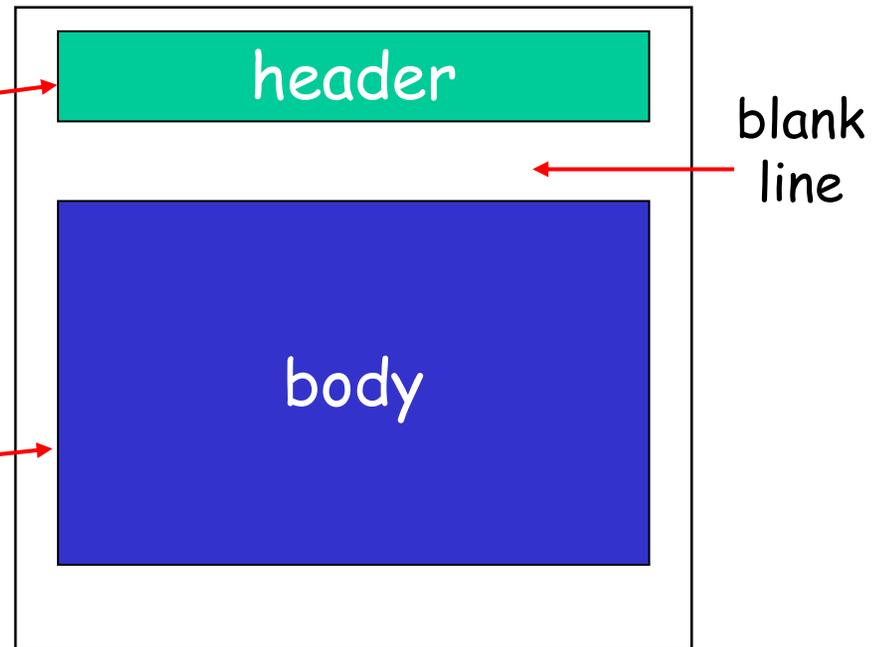
□ header lines, e.g.,

- To:
- From:
- Subject:

different from smtp commands!

□ body

- the "message", ASCII characters only



Message format: multimedia extensions

- ❑ **MIME:** (Multipurpose Internet Mail Extensions)
multimedia mail extension, RFC 2045, 2056
- ❑ additional lines in msg header declare MIME content type

MIME version

method used
to encode data

multimedia data
type, subtype,
parameter declaration

encoded data

```
From: alice@crepes.fr
To: bob@hamburger.edu
Subject: Picture of yummy crepe.
MIME-Version: 1.0
Content-Transfer-Encoding: base64
Content-Type: image/jpeg
base64 encoded data .....
.....
.....base64 encoded data
```

MIME types

Content-Type: type/subtype; parameters

Text

- example subtypes: plain, html

Image

- example subtypes: jpeg, gif

Audio

- example subtypes: basic (8-bit mu-law encoded), 32kadpcm (32 kbps coding)

Video

- example subtypes: mpeg, quicktime

Application

- other data that must be processed by reader before "viewable"
- example subtypes: msword, octet-stream

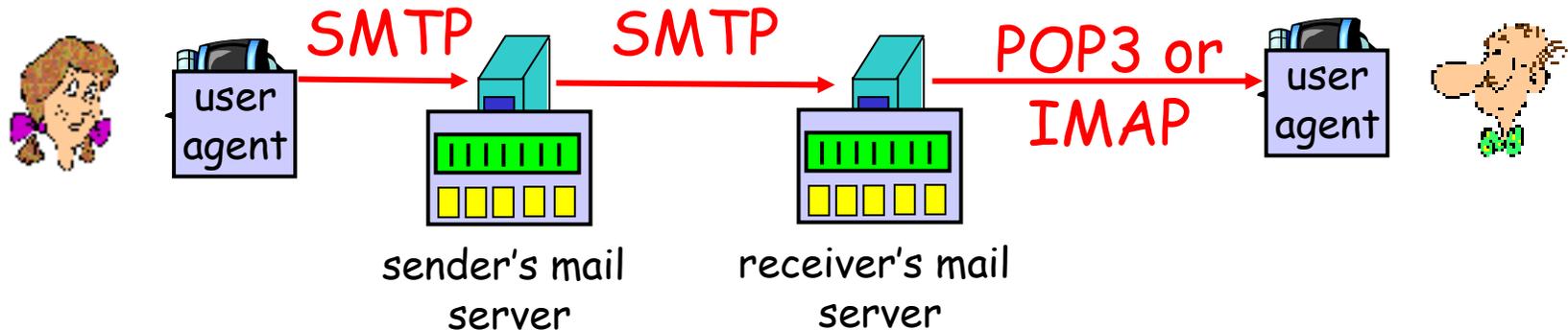
Multipart Type

```
From: alice@crepes.fr
To: bob@hamburger.edu
Subject: Picture of yummy crepe.
MIME-Version: 1.0
Content-Type: multipart/mixed; boundary=StartOfNextPart
```

```
--StartOfNextPart
Dear Bob, Please find a picture of a crepe.
--StartOfNextPart
Content-Transfer-Encoding: base64
Content-Type: image/jpeg
base64 encoded data .....
.....base64 encoded data
--StartOfNextPart
Do you want the recipe?
```



Mail access protocols



- ❑ SMTP: delivery/storage to receiver's server
- ❑ Mail access protocol: retrieval from server
 - POP: Post Office Protocol [RFC 1939]
 - authorization (agent <-->server) and download
 - IMAP: Internet Mail Access Protocol [RFC 1730]
 - more features (more complex)
 - manipulation of stored msgs on server
 - HTTP: Hotmail , Yahoo! Mail, etc.

POP3 protocol

authorization phase

- ❑ client commands:
 - user: declare username
 - pass: password
- ❑ server responses
 - +OK
 - -ERR

transaction phase, client:

- ❑ list: list message numbers
- ❑ retr: retrieve message by number
- ❑ dele: delete
- ❑ quit

```
S: +OK POP3 server ready
C: user alice
S: +OK
C: pass hungry
S: +OK user successfully logged on
```

```
C: list
S: 1 498
S: 2 912
S: .
C: retr 1
S: <message 1 contents>
S: .
C: dele 1
C: retr 2
S: <message 1 contents>
S: .
C: dele 2
C: quit
S: +OK POP3 server signing off
```

POP3 (more) and IMAP

More about POP3

- ❑ Previous example uses “download and delete” mode.
- ❑ Bob cannot re-read e-mail if he changes client
- ❑ “Download-and-keep”: copies of messages on different clients
- ❑ POP3 is stateless across sessions

IMAP

- ❑ Keep all messages in one place: the server
- ❑ Allows user to organize messages in folders
- ❑ IMAP keeps user state across sessions:
 - names of folders and mappings between message IDs and folder name

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 - SMTP, POP3, IMAP
- ❑ **2.5 DNS**
- ❑ 2.6 P2P File Sharing
- ❑ 2.7 Socket programming with TCP
- ❑ 2.8 Socket programming with UDP
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- ❑ 2.10 Content distribution
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DNS: Domain Name System

People: many identifiers:
HKID, name, Passport #

Internet hosts, routers:

- IP address (32 bit) - used for addressing datagrams
- "name", e.g., gaia.cs.umass.edu - used by humans

Q: map between IP addresses and name ?

Domain Name System:

- *distributed database*
implemented in hierarchy of many *name servers*
no server has *all name-IP mappings*
- *application-layer protocol*
host, routers, name servers to communicate to *resolve* names (address/name translation)
 - note: core Internet function implemented as application-layer protocol
 - complexity at network's "edge"

DNS

DNS services

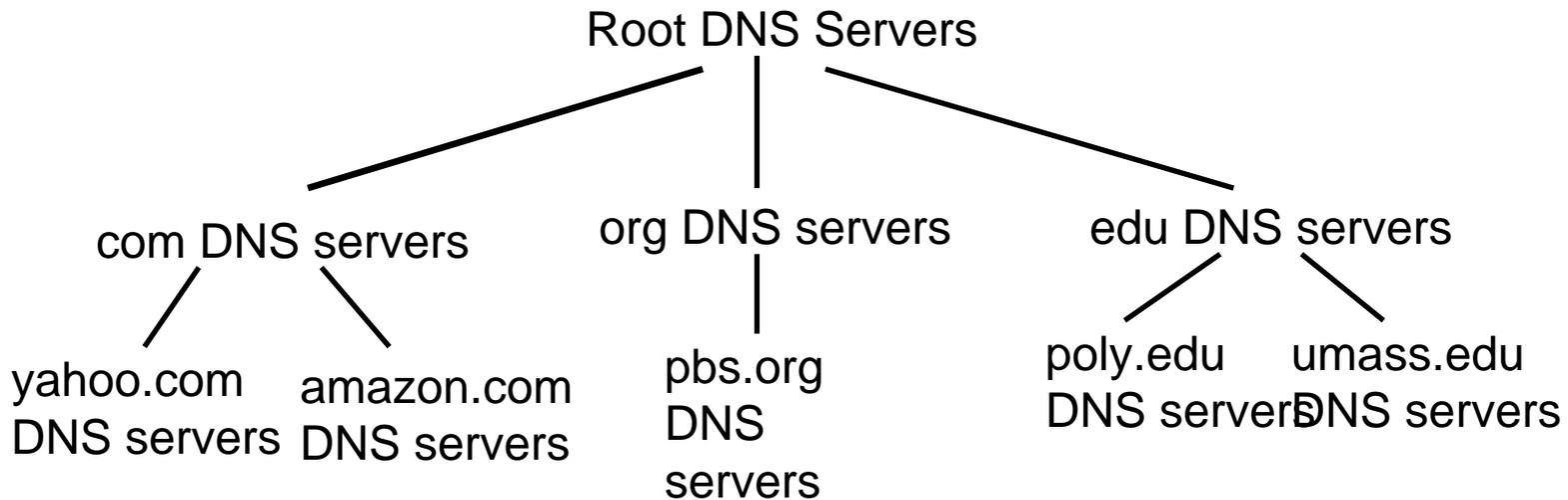
- ❑ Hostname to IP address translation
- ❑ Host aliasing
 - Canonical and alias names
- ❑ Mail server aliasing
- ❑ Load distribution
 - Replicated Web servers: set of IP addresses for one canonical name

Why not centralize DNS?

- ❑ single point of failure
- ❑ traffic volume
- ❑ distant centralized database
- ❑ maintenance

doesn't scale!

Distributed, Hierarchical Database



Client wants IP for www.amazon.com; 1st approx:

- ❑ Client queries a root server to find com DNS server
- ❑ Client queries com DNS server to get amazon.com DNS server
- ❑ Client queries amazon.com DNS server to get IP address for www.amazon.com

DNS: Root name servers

- ❑ contacted by local name server that can not resolve name
- ❑ root name server:
 - contacts authoritative name server if name mapping not known
 - gets mapping
 - returns mapping to local name server



13 root name
servers
worldwide

TLD and Authoritative Servers

- **Top-level domain (TLD) servers:**
responsible for com, org, net, edu, etc,
and all top-level country domains uk, fr,
ca, jp.
 - Network Solutions maintains servers for com TLD
 - Educause for edu TLD

- **Authoritative DNS servers:**
organization's DNS servers, providing
authoritative hostname to IP mappings for
organization's servers (e.g., Web and mail).
 - Can be maintained by organization or service provider

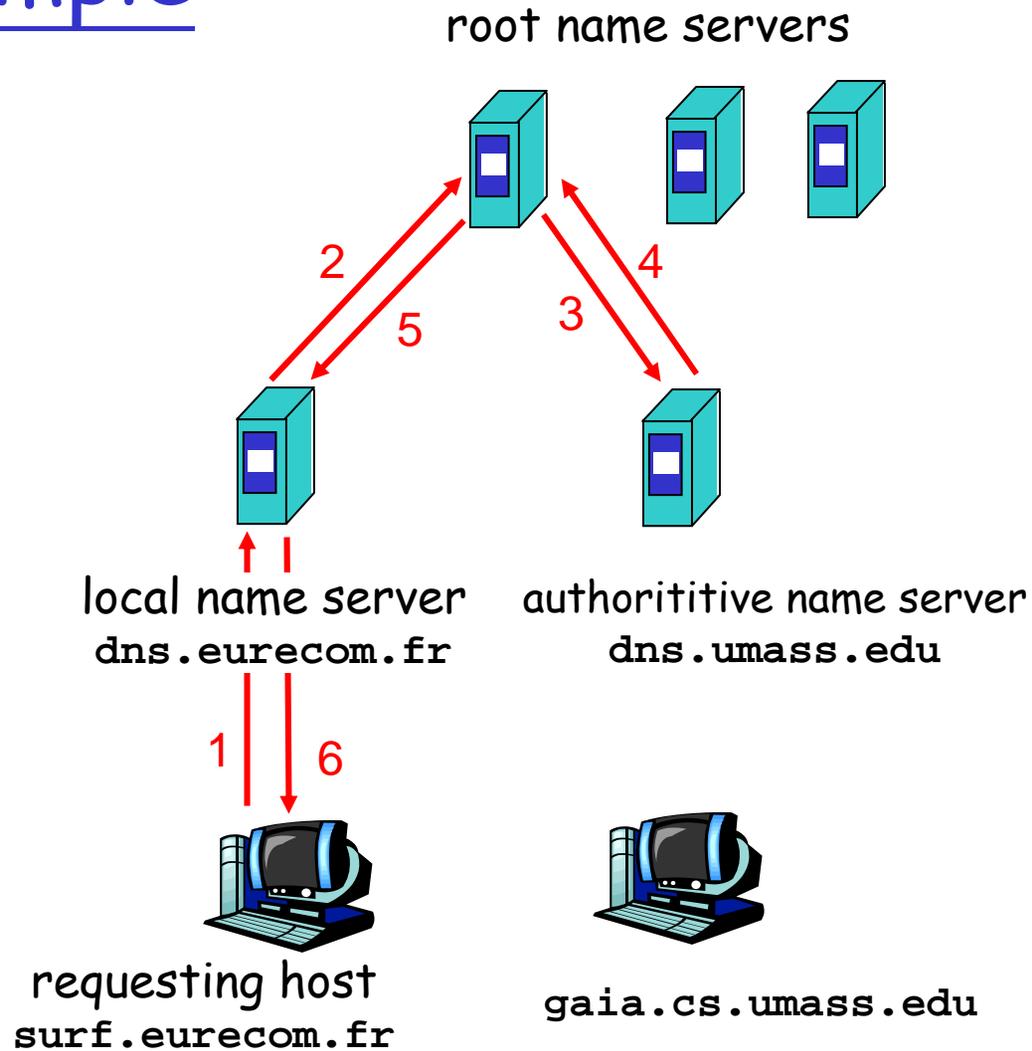
Local Name Server

- ❑ Does not strictly belong to hierarchy
- ❑ Each ISP (residential ISP, company, university) has one.
 - Also called "default name server"
- ❑ When a host makes a DNS query, query is sent to its local DNS server
 - Acts as a proxy, forwards query into hierarchy.

Simple DNS example

host `surf.eurecom.fr`
wants IP address of
`gaia.cs.umass.edu`

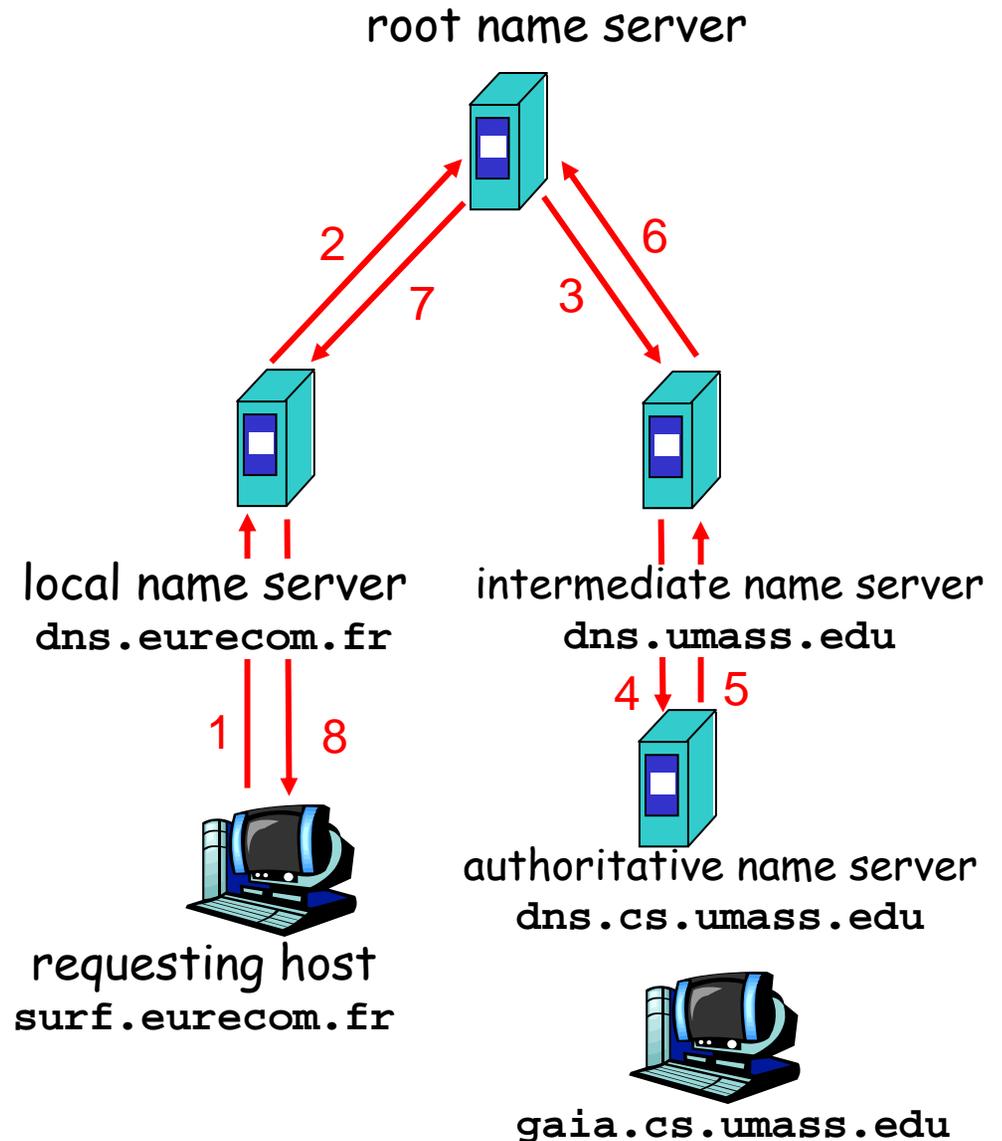
1. Contacts its local DNS server,
`dns.eurecom.fr`
2. `dns.eurecom.fr` contacts root name server, if necessary
3. root name server contacts authoritative name server,
`dns.umass.edu`, if necessary



DNS example

Root name server:

- may not know authoritative name server
- may know *intermediate name server*: who to contact to find authoritative name server



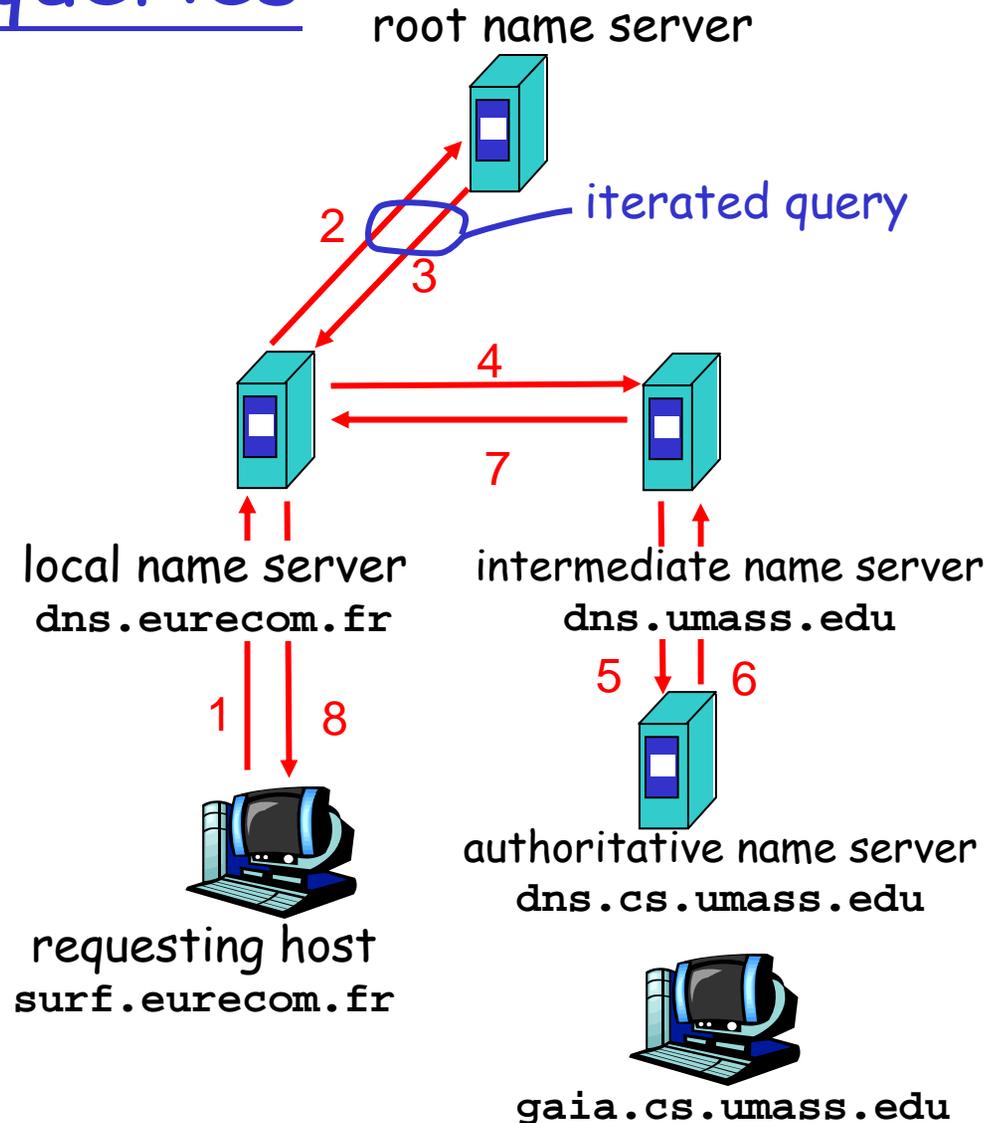
DNS: iterated queries

recursive query:

- ❑ puts burden of name resolution on contacted name server
- ❑ heavy load?

iterated query:

- ❑ contacted server replies with name of server to contact
- ❑ "I don't know this name, but ask this server"



DNS: caching and updating records

- once (any) name server learns mapping, it *caches* mapping
 - cache entries timeout (disappear) after some time
- update/notify mechanisms under design by IETF
 - RFC 2136
 - <http://www.ietf.org/html.charters/dnsind-charter.html>

DNS records

DNS: distributed db storing resource records (RR)

RR format: (name, value, type,ttl)

- Type=A
 - name is hostname
 - value is IP address
- Type=NS
 - name is domain (e.g. foo.com)
 - value is IP address of authoritative name server for this domain
- Type=CNAME
 - name is an alias name for some "canonical" (the real) name
 - value is canonical name
- Type=MX
 - value is hostname of mailserver associated with name

2. Resource Record

From Tanenbaum

```
; Authoritative data for cs.vu.nl
cs.vu.nl.      86400  IN  SOA   star boss (952771,7200,7200,2419200,86400)
cs.vu.nl.      86400  IN  TXT   "Faculteit Wiskunde en Informatica."
cs.vu.nl.      86400  IN  TXT   "Vrije Universiteit Amsterdam."
cs.vu.nl.      86400  IN  MX    1 zephyr.cs.vu.nl.
cs.vu.nl.      86400  IN  MX    2 top.cs.vu.nl.
```

```
flits.cs.vu.nl. 86400  IN  HINFO Sun Unix
flits.cs.vu.nl. 86400  IN  A     130.37.16.112
flits.cs.vu.nl. 86400  IN  A     192.31.231.165
flits.cs.vu.nl. 86400  IN  MX    1 flits.cs.vu.nl.
flits.cs.vu.nl. 86400  IN  MX    2 zephyr.cs.vu.nl.
flits.cs.vu.nl. 86400  IN  MX    3 top.cs.vu.nl.
www.cs.vu.nl.   86400  IN  CNAME star.cs.vu.nl
ftp.cs.vu.nl.   86400  IN  CNAME zephyr.cs.vu.nl
```

```
rowboat        IN  A     130.37.56.201
               IN  MX    1 rowboat
               IN  MX    2 zephyr
               IN  HINFO Sun Unix
```

```
little-sister  IN  A     130.37.62.23
               IN  HINFO Mac MacOS
```

```
laserjet       IN  A     192.31.231.216
               IN  HINFO "HP Laserjet IIISi" Proprietary
```

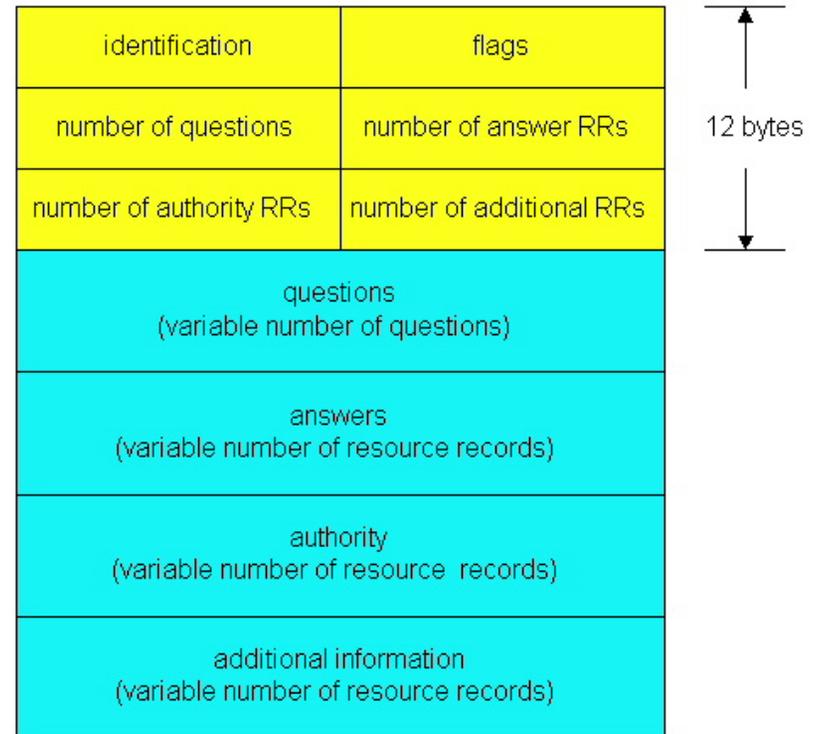
Type	Meaning	Value
SOA	Start of Authority	Parameters for this zone
A	IP address of a host	32-Bit integer
MX	Mail exchange	Priority, domain willing to accept email
NS	Name Server	Name of a server for this domain
CNAME	Canonical name	Domain name
PTR	Pointer	Alias for an IP address
HINFO	Host description	CPU and OS in ASCII
TXT	Text	Uninterpreted ASCII text

DNS protocol, messages

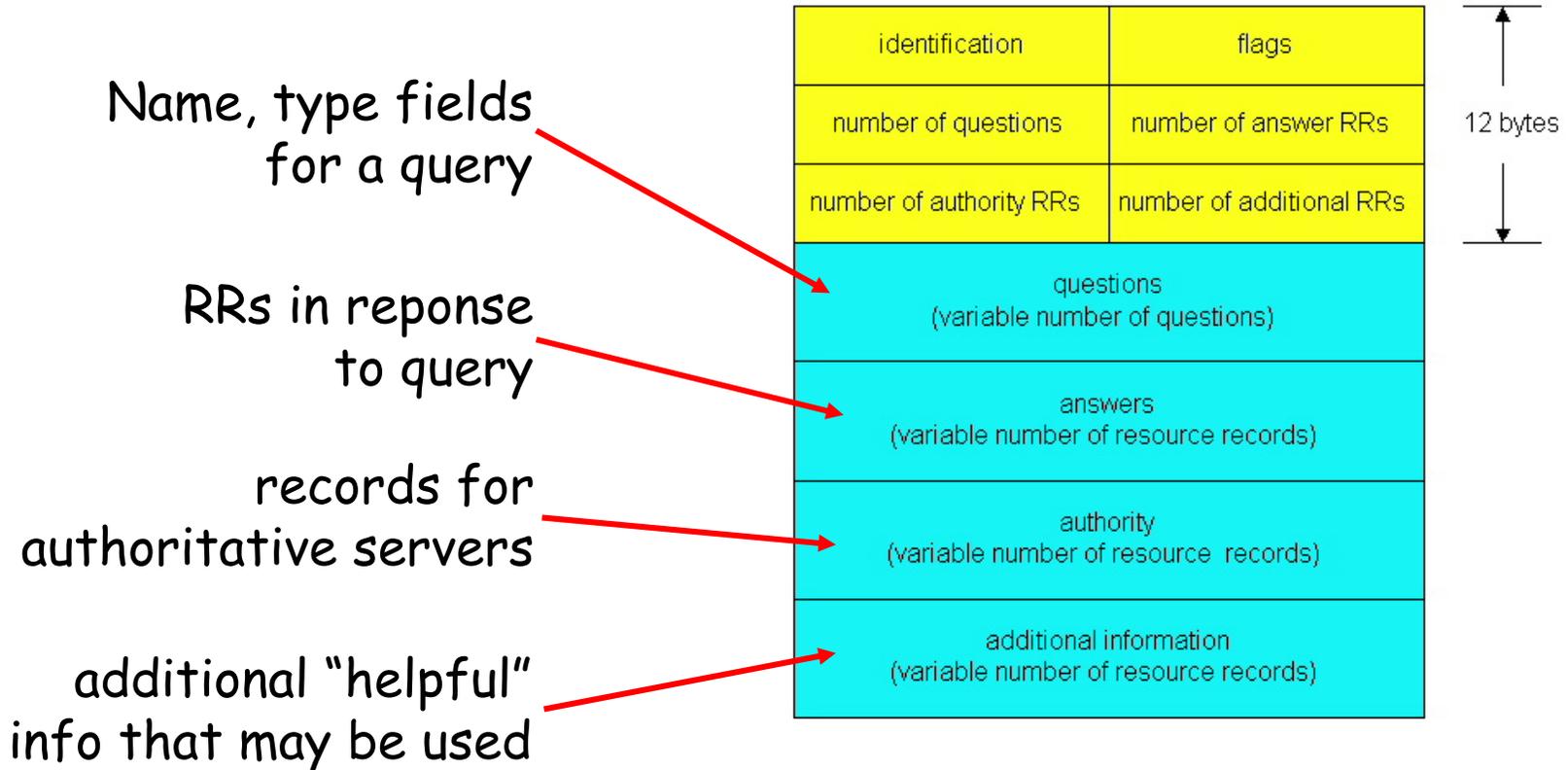
DNS protocol : *query* and *reply* messages, both with same *message format*

msg header

- **identification**: 16 bit # for query, reply to query uses same #
- **flags**:
 - query or reply
 - recursion desired
 - recursion available
 - reply is authoritative



DNS protocol, messages



Inserting records into DNS

- ❑ Example: just created startup "Network Utopia"
- ❑ Register name networkutopia.com at a registrar (e.g., Network Solutions)
 - Need to provide registrar with names and IP addresses of your authoritative name server (primary and secondary)
 - Registrar inserts two RRs into the com TLD server:
(networkutopia.com, dns1.networkutopia.com, NS)
(dns1.networkutopia.com, 212.212.212.1, A)
- ❑ Put in authoritative server Type A record for www.networkutopia.com and Type MX record for networkutopia.com
- ❑ How do people get the IP address of your Web site?

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P2P file sharing

Example

- Alice runs P2P client application on her notebook computer
 - Intermittently connects to Internet; gets new IP address for each connection
 - Asks for "Hey Jude"
 - Application displays other peers that have copy of Hey Jude.
 - Alice chooses one of the peers, Bob.
 - File is copied from Bob's PC to Alice's notebook: HTTP
 - While Alice downloads, other users uploading from Alice.
 - Alice's peer is both a Web client and a transient Web server.
- All peers are servers = highly scalable!

P2P: centralized directory

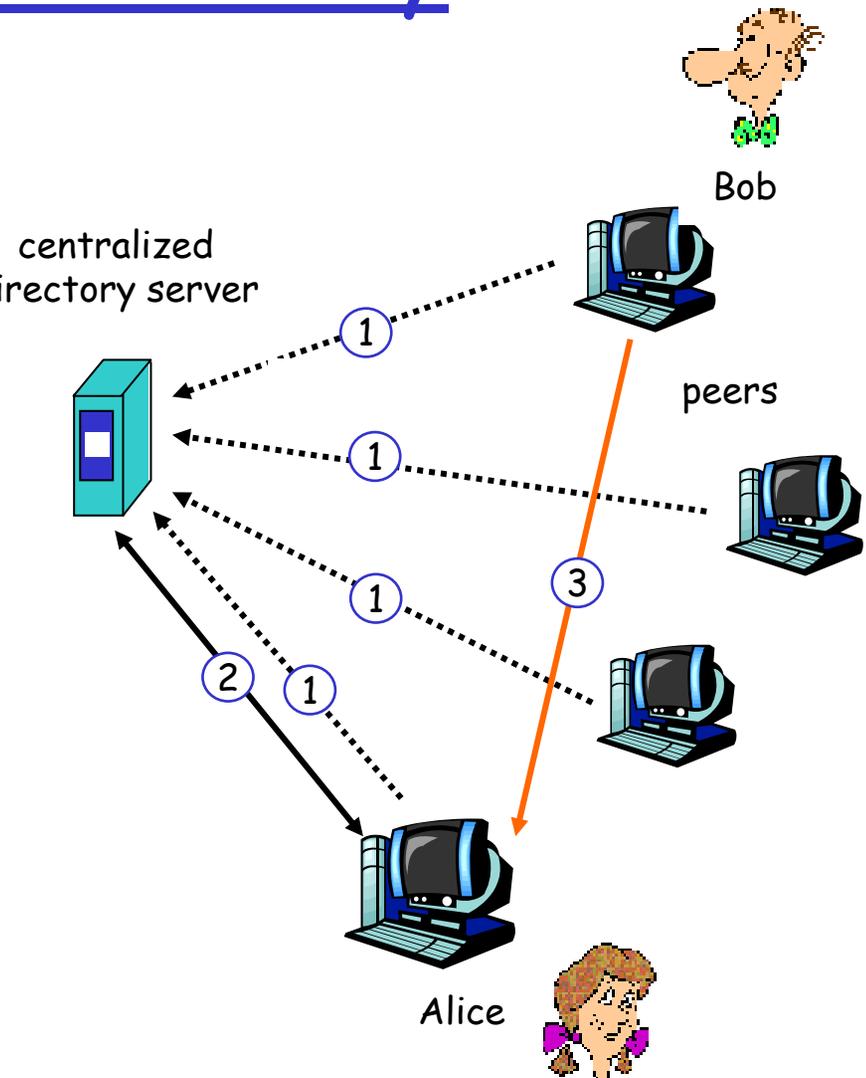
original "Napster" design

1) when peer connects, it informs central server:

- IP address
- content

2) Alice queries for "Hey Jude"

3) Alice requests file from Bob



P2P: problems with centralized directory

- ❑ Single point of failure
- ❑ Performance bottleneck
- ❑ Copyright infringement

file transfer is
decentralized, but
locating content is
highly centralized

Query flooding: Gnutella

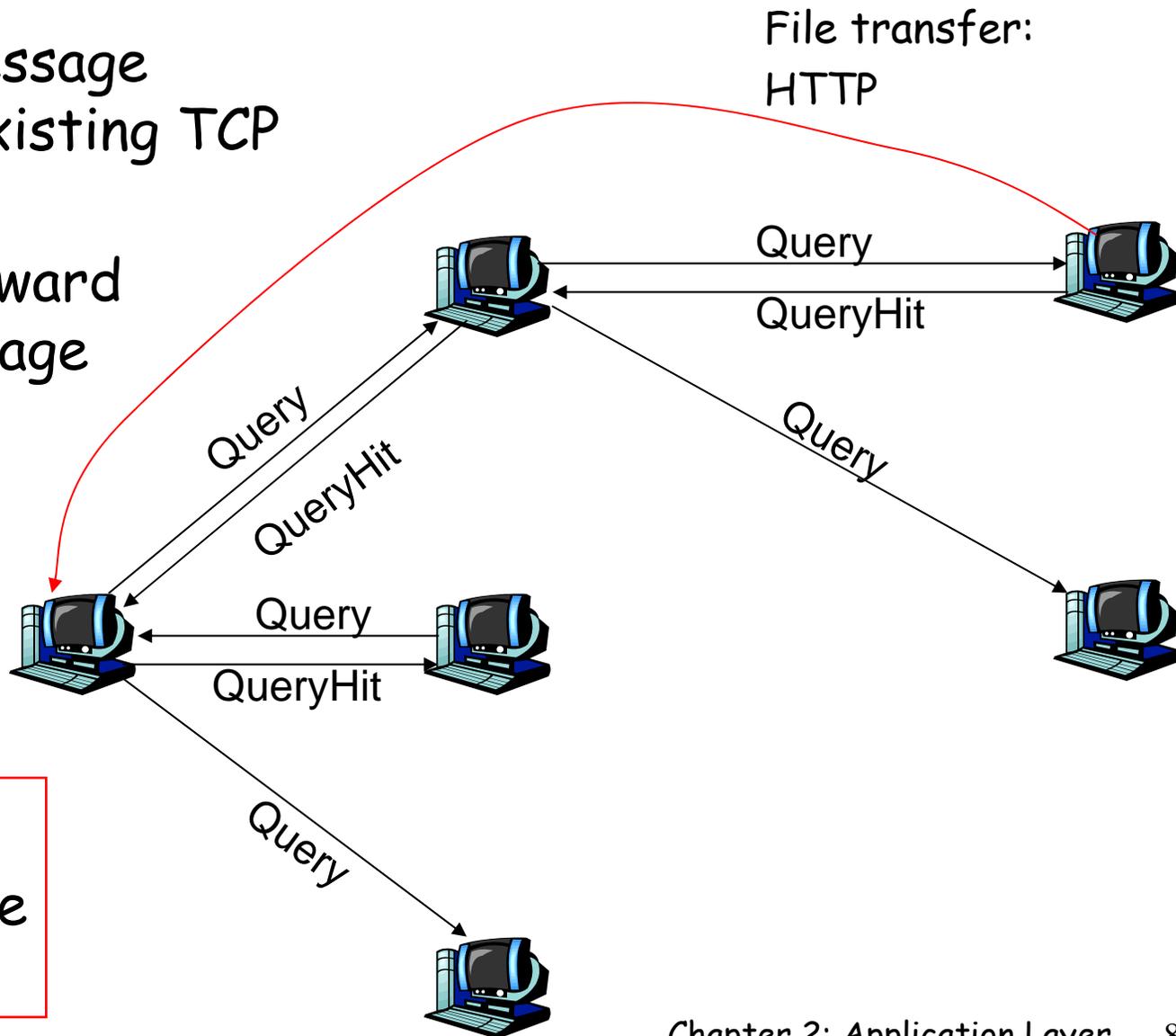
- fully distributed
 - no central server
- public domain protocol
- many Gnutella clients implementing protocol

overlay network: graph

- edge between peer X and Y if there's a TCP connection
- all active peers and edges is overlay net
- Edge is not a physical link
- Given peer will typically be connected with < 10 overlay neighbors

Gnutella: protocol

- ❑ Query message sent over existing TCP connections
- ❑ peers forward Query message
- ❑ QueryHit sent over reverse path



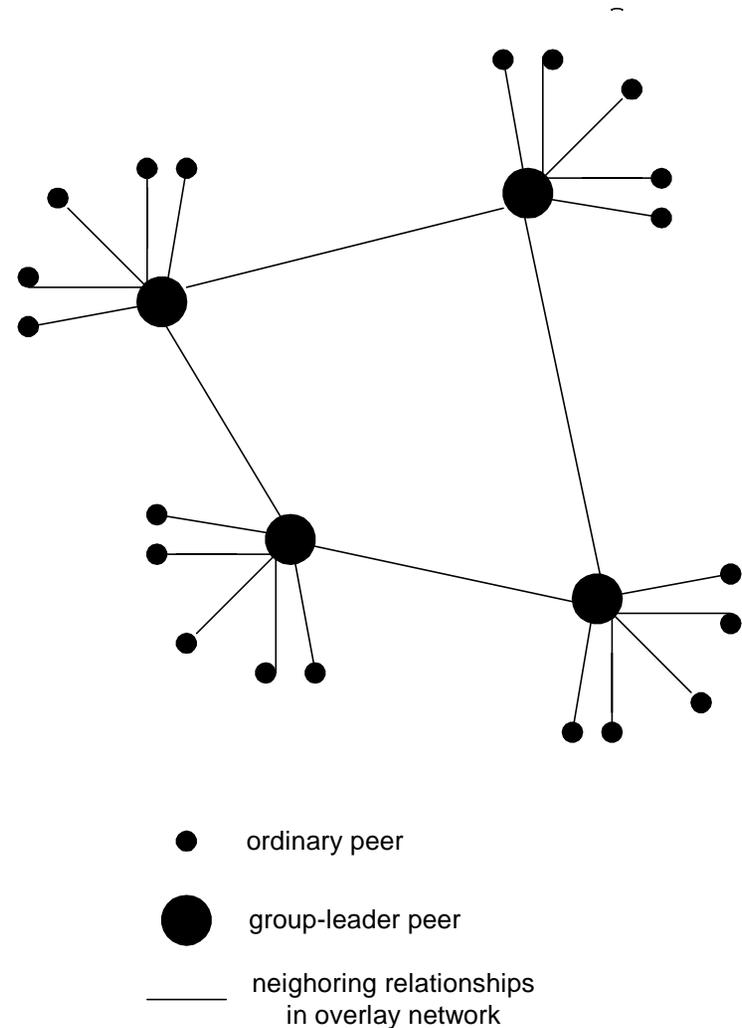
Scalability:
limited scope
flooding

Gnutella: Peer joining

1. Joining peer X must find some other peer in Gnutella network: use list of candidate peers
2. X sequentially attempts to make TCP with peers on list until connection setup with Y
3. X sends Ping message to Y; Y forwards Ping message.
4. All peers receiving Ping message respond with Pong message
5. X receives many Pong messages. It can then setup additional TCP connections

Exploiting heterogeneity: KaZaA

- Each peer is either a group leader or assigned to a group leader.
 - TCP connection between peer and its group leader.
 - TCP connections between some pairs of group leaders.
- Group leader tracks the content in all its children.



KaZaA: Querying

- ❑ Each file has a hash and a descriptor
- ❑ Client sends keyword query to its group leader
- ❑ Group leader responds with matches:
 - For each match: metadata, hash, IP address
- ❑ If group leader forwards query to other group leaders, they respond with matches
- ❑ Client then selects files for downloading
 - HTTP requests using hash as identifier sent to peers holding desired file

Kazaa tricks

- ❑ Limitations on simultaneous uploads
- ❑ Request queuing
- ❑ Incentive priorities
- ❑ Parallel downloading

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Socket programming

Goal: learn how to build client/server application that communicate using sockets

Socket API

- ❑ introduced in BSD4.1 UNIX, 1981
- ❑ explicitly created, used, released by apps
- ❑ client/server paradigm
- ❑ two types of transport service via socket API:
 - unreliable datagram
 - reliable, byte stream-oriented

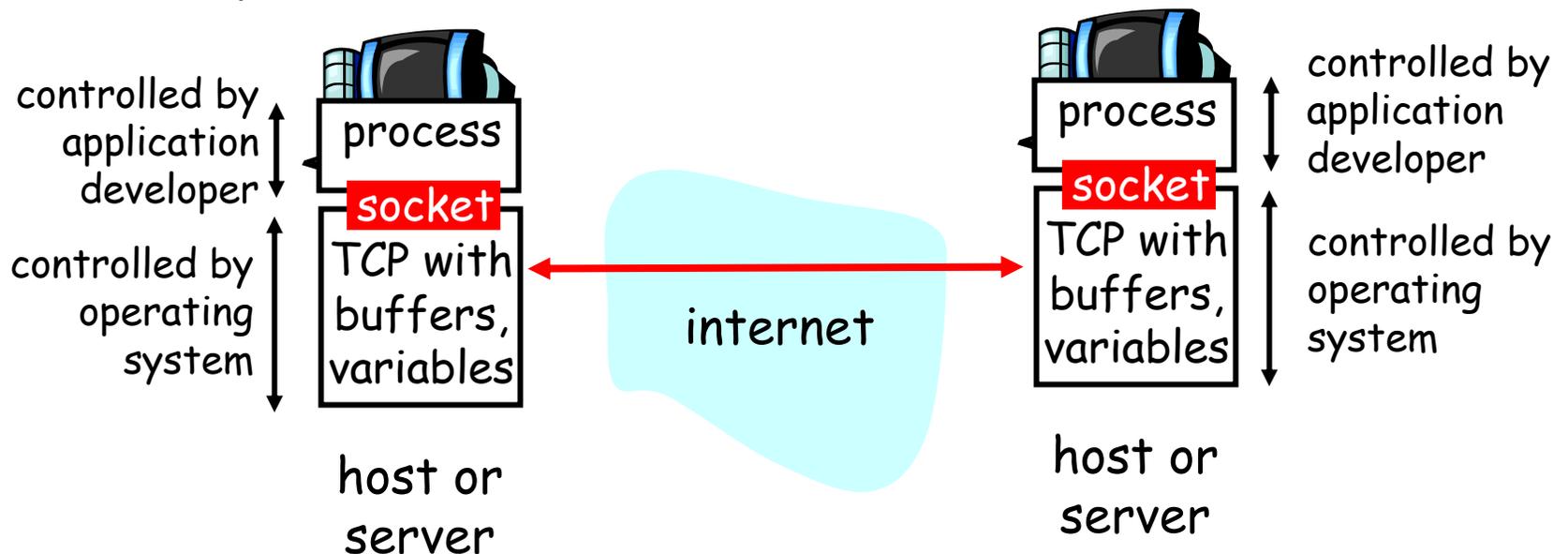
socket

a *host-local, application-created, OS-controlled* interface (a "door") into which application process can **both send and receive** messages to/from another application process

Socket-programming using TCP

Socket: a door between application process and end-end-transport protocol (UCP or TCP)

TCP service: reliable transfer of **bytes** from one process to another



Socket programming *with TCP*

Client must contact server

- ❑ server process must first be running
- ❑ server must have created socket (door) that welcomes client's contact

Client contacts server by:

- ❑ creating client-local TCP socket
- ❑ specifying IP address, port number of server process
- ❑ When **client creates socket**: client TCP establishes connection to server TCP

- ❑ When contacted by client, **server TCP creates new socket** for server process to communicate with client
 - allows server to talk with multiple clients
 - source port numbers used to distinguish clients (*more in Chap 3*)

application viewpoint

TCP provides reliable, in-order transfer of bytes ("pipe") between client and server

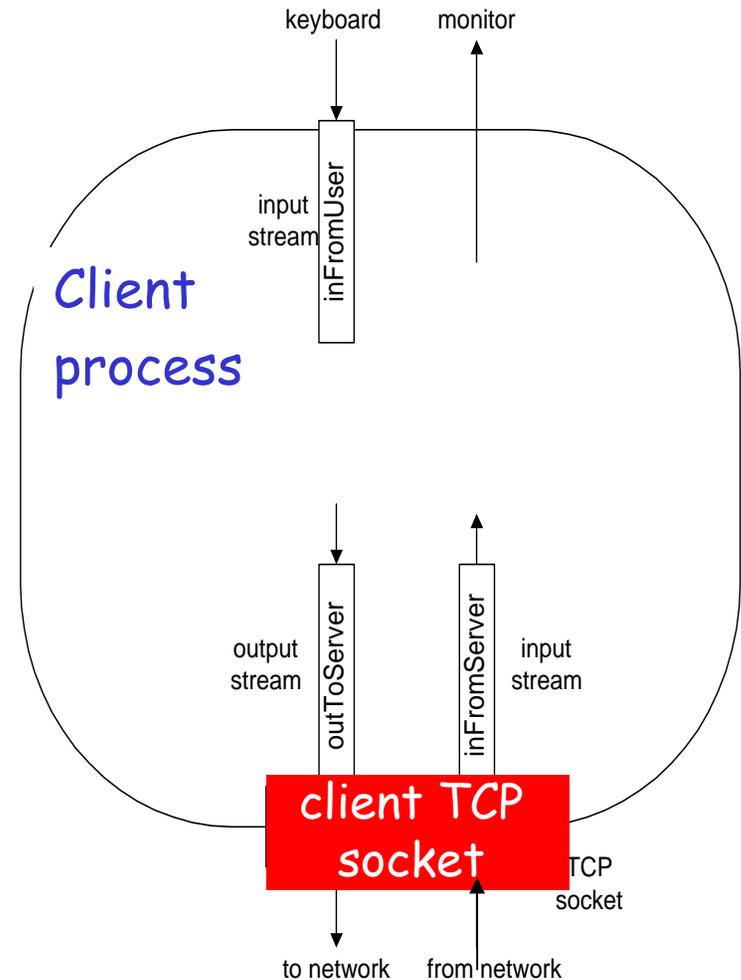
Stream jargon

- ❑ A **stream** is a sequence of characters that flow into or out of a process.
- ❑ An **input stream** is attached to some input source for the process, eg, keyboard or socket.
- ❑ An **output stream** is attached to an output source, eg, monitor or socket.

Socket programming with TCP

Example client-server app:

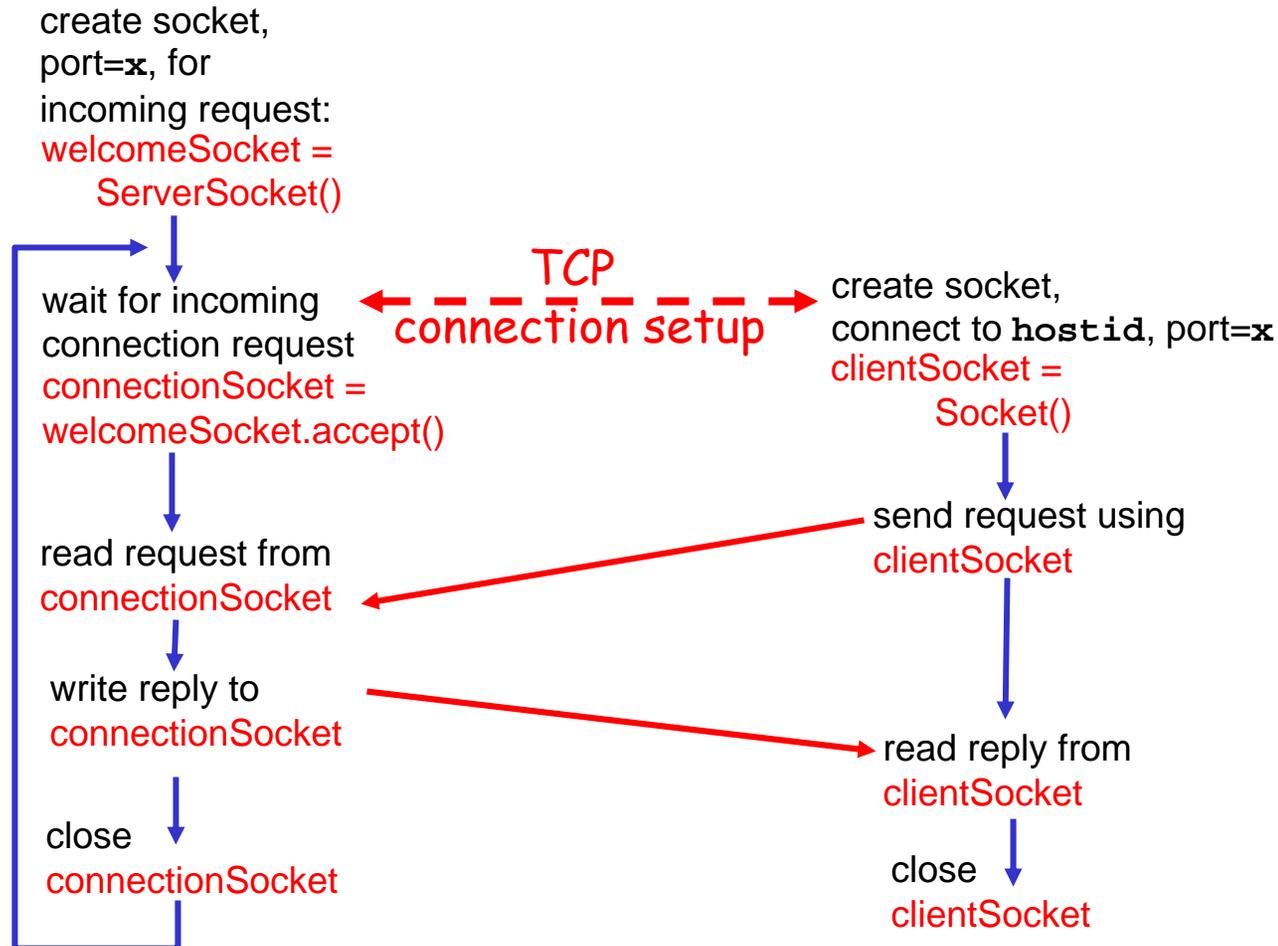
- 1) client reads line from standard input (`inFromUser` stream), sends to server via socket (`outToServer` stream)
- 2) server reads line from socket
- 3) server converts line to uppercase, sends back to client
- 4) client reads, prints modified line from socket (`inFromServer` stream)



Client/server socket interaction: TCP

Server (running on `hostid`)

Client



Example: Java client (TCP)

```
import java.io.*;
import java.net.*;
class TCPClient {
```

```
    public static void main(String argv[]) throws Exception
    {
```

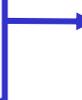
```
        String sentence;
        String modifiedSentence;
```

Create
input stream



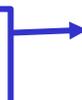
```
        BufferedReader inFromUser =
            new BufferedReader(new InputStreamReader(System.in));
```

Create
client socket,
connect to server



```
        Socket clientSocket = new Socket("hostname", 6789);
```

Create
output stream
attached to socket



```
        DataOutputStream outToServer =
            new DataOutputStream(clientSocket.getOutputStream());
```

Example: Java client (TCP), cont.

Create
input stream
attached to socket

```
BufferedReader inFromServer =  
    new BufferedReader(new  
        InputStreamReader(clientSocket.getInputStream()));
```

Send line
to server

```
sentence = inFromUser.readLine();  
  
outToServer.writeBytes(sentence + '\n');
```

Read line
from server

```
modifiedSentence = inFromServer.readLine();  
  
System.out.println("FROM SERVER: " + modifiedSentence);  
  
clientSocket.close();
```

```
    }  
}
```

Example: Java server (TCP)

```
import java.io.*;  
import java.net.*;
```

```
class TCPServer {
```

```
    public static void main(String argv[]) throws Exception  
    {
```

```
        String clientSentence;  
        String capitalizedSentence;
```

Create
welcoming socket
at port 6789

```
        ServerSocket welcomeSocket = new ServerSocket(6789);
```

Wait, on welcoming
socket for contact
by client

```
        while(true) {
```

```
            Socket connectionSocket = welcomeSocket.accept();
```

Create input
stream, attached
to socket

```
            BufferedReader inFromClient =  
                new BufferedReader(new  
                    InputStreamReader(connectionSocket.getInputStream()));
```

Example: Java server (TCP), cont

Create output stream, attached to socket

```
DataOutputStream outToClient =  
    new DataOutputStream(connectionSocket.getOutputStream());
```

Read in line from socket

```
clientSentence = inFromClient.readLine();
```

```
capitalizedSentence = clientSentence.toUpperCase() + '\n';
```

Write out line to socket

```
outToClient.writeBytes(capitalizedSentence);
```

```
}  
}  
}
```

End of while loop,
loop back and wait for
another client connection

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Socket programming *with UDP*

UDP: no "connection"
between client and
server

- ❑ no handshaking
- ❑ sender explicitly attaches IP address and port of destination to each packet
- ❑ server must extract IP address, port of sender from received packet

UDP: transmitted data may be received out of order, or lost

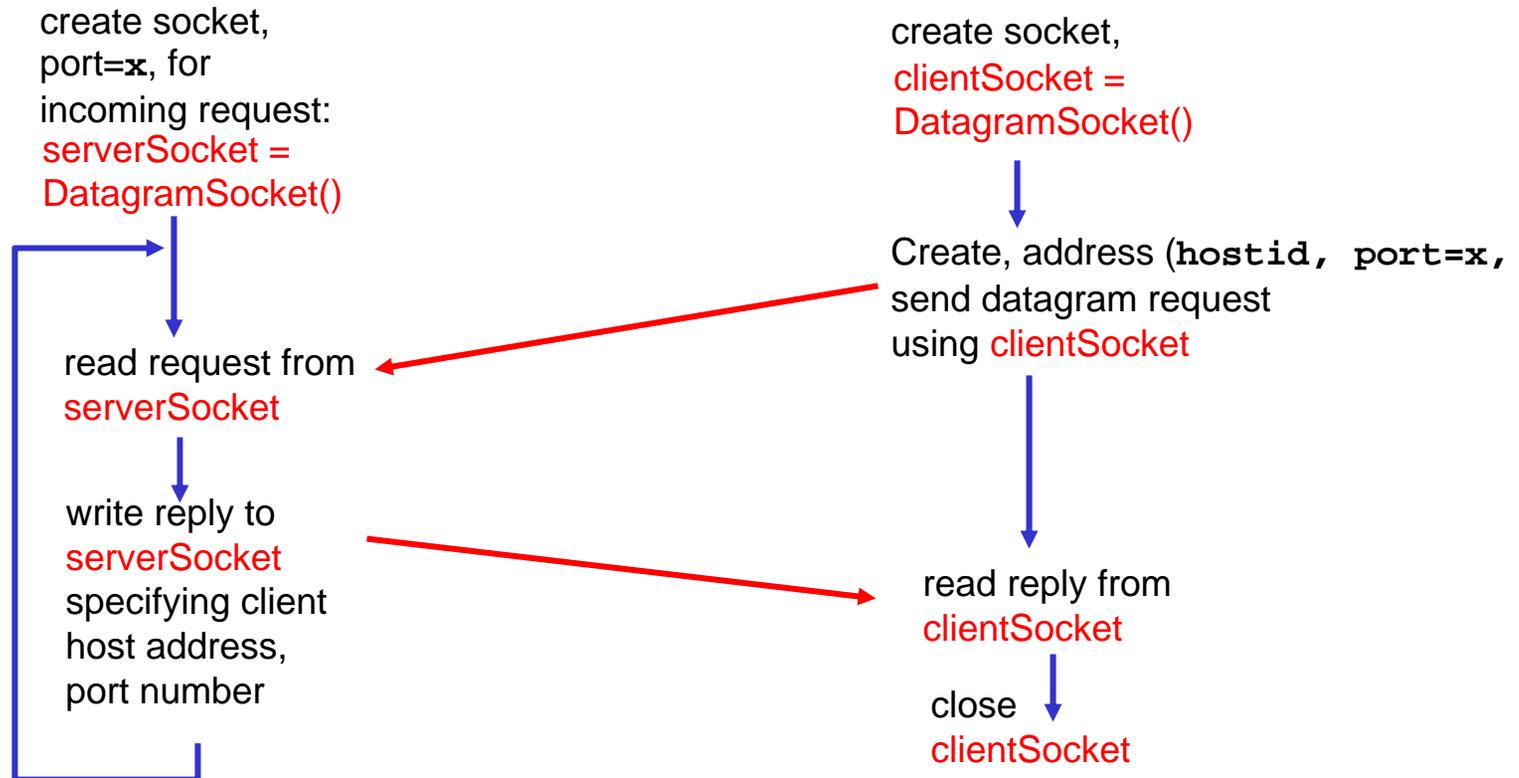
application viewpoint

UDP provides unreliable transfer of groups of bytes ("datagrams") between client and server

Client/server socket interaction: UDP

Server (running on `hostid`)

Client



TCP vs. UDP

TCP

1. **Socket()**

- Connection stream established: Data goes in one end of pipe and out the other. Pipe stays open until it is closed.

2. **ServerSocket()**

- A special type of socket that sits waiting for a knock from a client to open connection. Leads to *handshaking*.

UDP

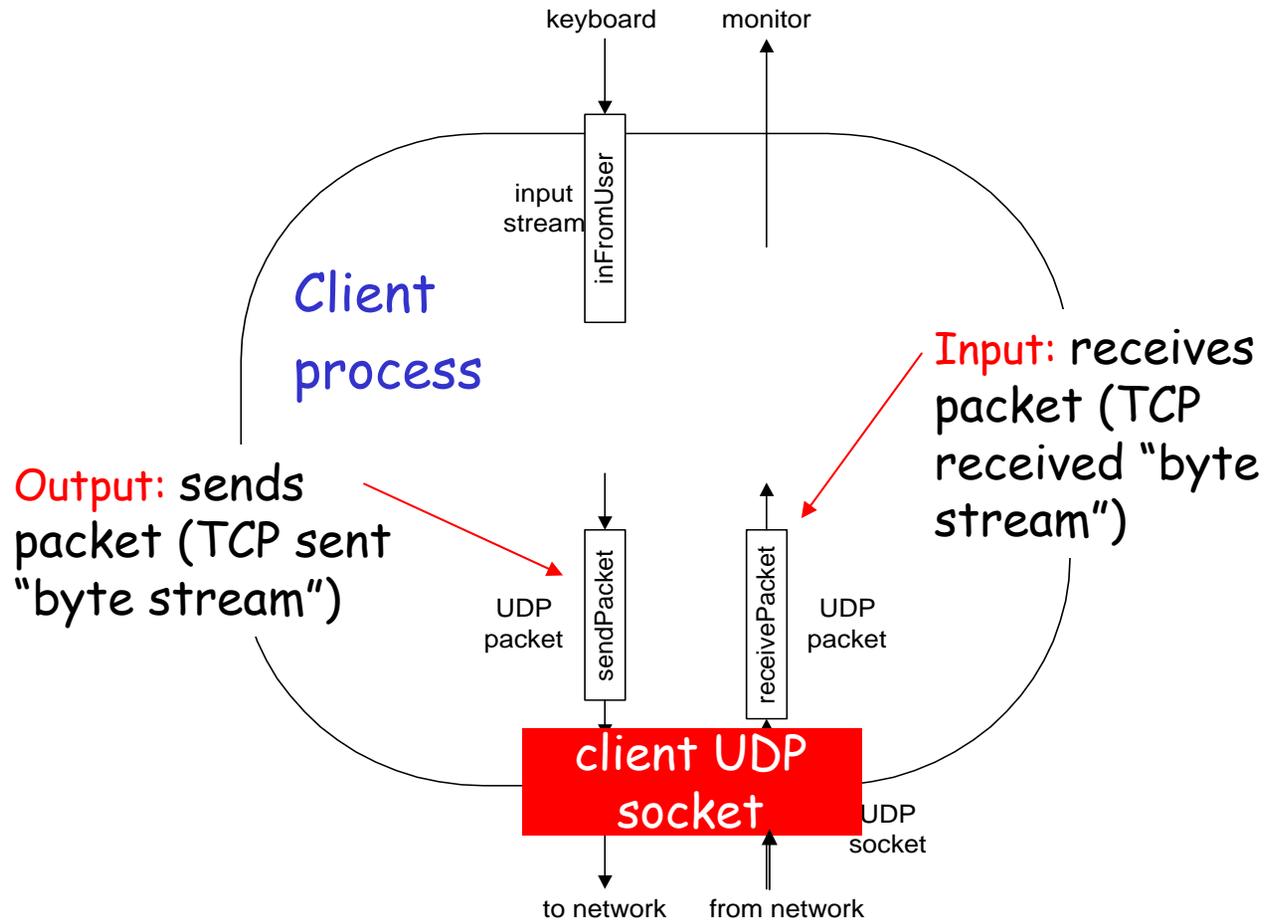
1. **DatagramSocket()**

- Data sent as individual packets of bytes. Each packet contains all addressing info. No concept of open "pipe".

2. **No handshaking!**

- A DatagramSocket waits to receive each packet

Example: Java client (UDP)



Example: Java client (UDP)

```
import java.io.*;  
import java.net.*;
```

```
class UDPClient {  
    public static void main(String args[]) throws Exception  
    {
```

Create
input stream

```
        BufferedReader inFromUser =
```

```
            new BufferedReader(new InputStreamReader(System.in));
```

Create
client socket

```
        DatagramSocket clientSocket = new DatagramSocket();
```

Translate
hostname to IP
address using DNS

```
        InetAddress IPAddress = InetAddress.getByName("hostname");
```

```
        byte[] sendData = new byte[1024];
```

```
        byte[] receiveData = new byte[1024];
```

```
        String sentence = inFromUser.readLine();
```

```
        sendData = sentence.getBytes();
```

Example: Java client (UDP), cont.

```
    Create datagram  
    with data-to-send,  
    length, IP addr, port } DatagramPacket sendPacket =  
                           } new DatagramPacket(sendData, sendData.length, IPAddress, 9876);  
  
    Send datagram  
    to server } clientSocket.send(sendPacket);  
  
              DatagramPacket receivePacket =  
              new DatagramPacket(receiveData, receiveData.length);  
  
    Read datagram  
    from server } clientSocket.receive(receivePacket);  
  
                String modifiedSentence =  
                new String(receivePacket.getData());  
  
                System.out.println("FROM SERVER:" + modifiedSentence);  
                clientSocket.close();  
                }  
            }
```

Example: Java server (UDP)

```
import java.io.*;  
import java.net.*;
```

```
class UDPServer {  
    public static void main(String args[]) throws Exception  
    {
```

Create
datagram socket
at port 9876



```
        DatagramSocket serverSocket = new DatagramSocket(9876);
```

```
        byte[] receiveData = new byte[1024];  
        byte[] sendData = new byte[1024];
```

```
        while(true)  
        {
```

Create space for
received datagram



```
            DatagramPacket receivePacket =  
                new DatagramPacket(receiveData, receiveData.length);
```

Receive
datagram



```
            serverSocket.receive(receivePacket);
```

Example: Java server (UDP), cont

```
String sentence = new String(receivePacket.getData());
```

Get IP addr
port #, of
sender

```
InetAddress IPAddress = receivePacket.getAddress();
```

```
int port = receivePacket.getPort();
```

```
String capitalizedSentence = sentence.toUpperCase();
```

```
sendData = capitalizedSentence.getBytes();
```

Create datagram
to send to client

```
DatagramPacket sendPacket =  
    new DatagramPacket(sendData, sendData.length, IPAddress,  
        port);
```

Write out
datagram
to socket

```
serverSocket.send(sendPacket);
```

```
}  
}  
}
```

End of while loop,
loop back and wait for
another datagram

Chapter 2 outline

- ❑ 2.1 Principles of network applications
- ❑ 2.2 Web and HTTP
- ❑ 2.3 FTP
- ❑ 2.4 Electronic Mail
 - SMTP, POP3, IMAP
- ❑ 2.5 DNS
- ❑ 2.6 P2P File Sharing
- ❑ 2.7 Socket programming with TCP
- ❑ 2.8 Socket programming with UDP
- ❑ 2.9 Building a Web server
- ❑ 2.10 Content distribution
 - Content distribution networks vs. Web Caching (7.5)

Building a simple Web server

- ❑ handles one HTTP request
- ❑ accepts the request
- ❑ parses header
- ❑ obtains requested file from server's file system
- ❑ creates HTTP response message:
 - header lines + file
- ❑ sends response to client
- ❑ after creating server, you can request file using a browser (e.g. IE explorer)
- ❑ see text for details

Chapter 2 outline

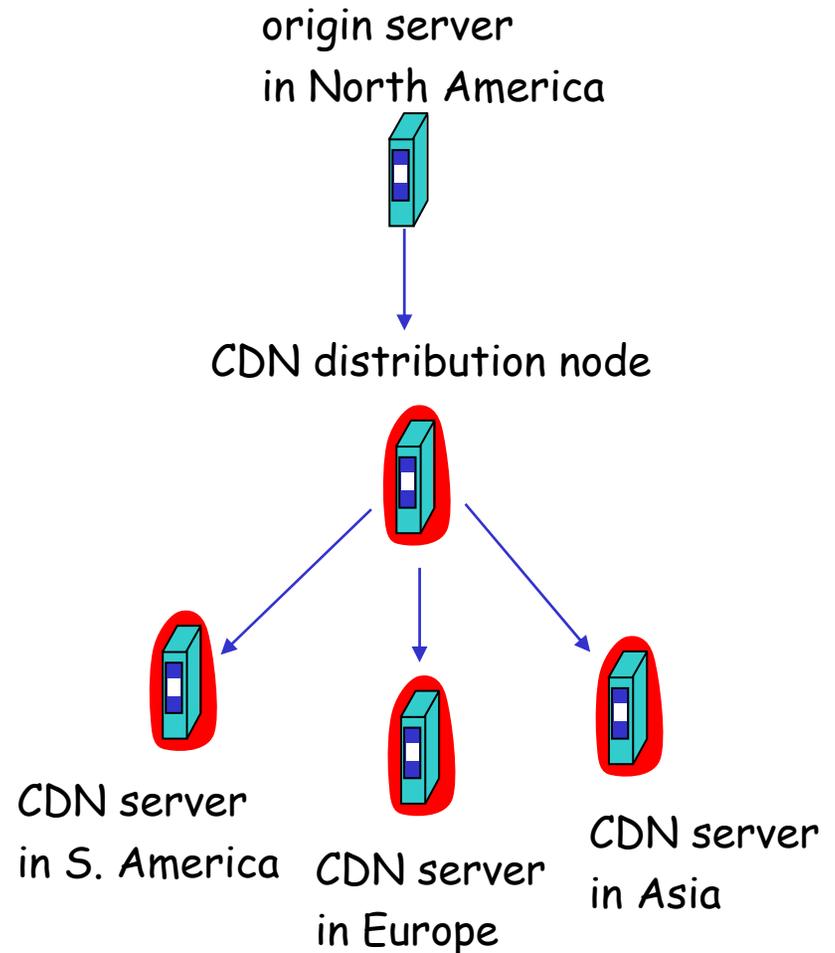
- ❑ 2.1 Principles of network applications
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Content distribution networks (CDNs)

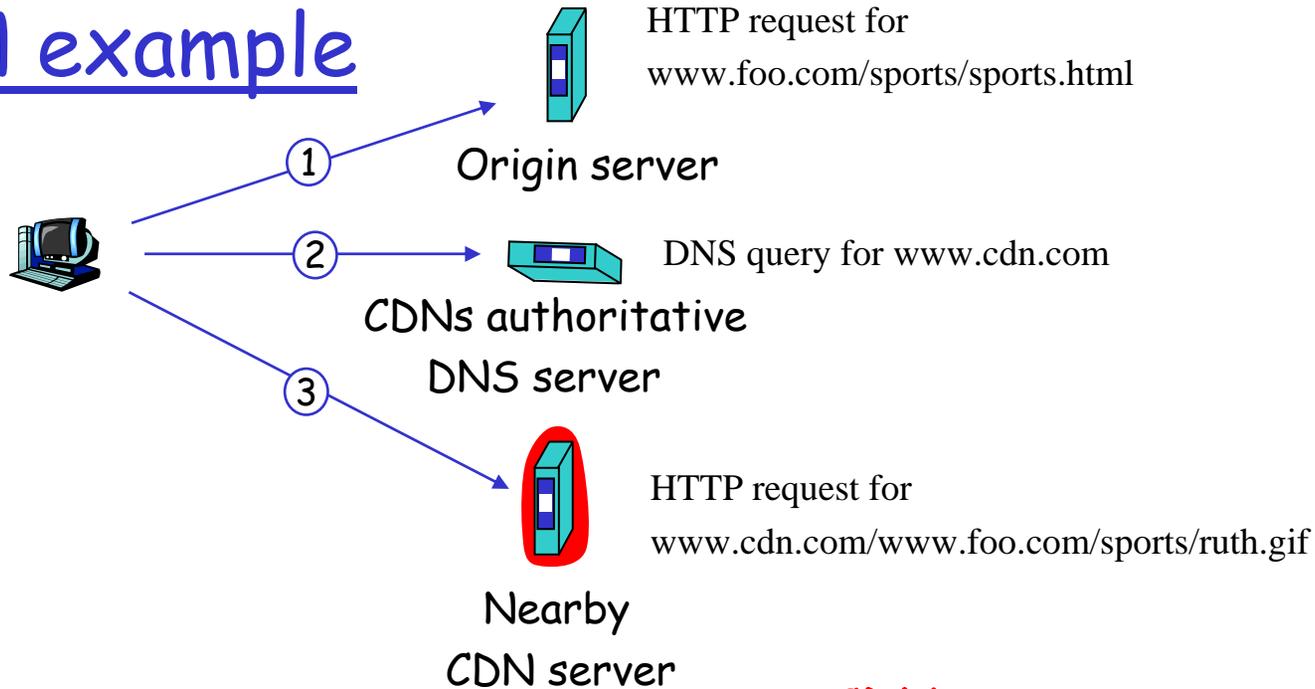
- ❑ The content providers are the CDN customers

Content replication

- ❑ CDN company installs hundreds of CDN servers throughout Internet
 - in lower-tier ISPs, close to users
- ❑ CDN replicates its customers' content in CDN servers. When provider updates content, CDN updates servers



CDN example



origin server

- ❑ www.foo.com
- ❑ distributes HTML
- ❑ Replaces:
<http://www.foo.com/sports.ruth.gif>
with
<http://www.cdn.com/www.foo.com/sports/ruth.gif>

CDN company

- ❑ cdn.com
- ❑ distributes gif files
- ❑ uses its authoritative DNS server to route redirect requests

More about CDNs

routing requests

- ❑ CDN creates a "map", indicating distances from leaf ISPs and CDN nodes
- ❑ when query arrives at authoritative DNS server:
 - server determines ISP from which query originates
 - uses "map" to determine best CDN server

not just Web pages

- ❑ streaming stored audio/video
- ❑ streaming real-time audio/video

Web Caching vs. CDN

Both Web Caching and CDN replicate content

- **Web Caching:** Content replicated on demand as function of user requests
- **CDN:** Content replicated by content provider

Chapter 2: Summary

Our study of network apps now complete!

- application service requirements:
 - reliability, bandwidth, delay
- client-server, P2P, hybrid
- Internet transport service model
 - connection-oriented, reliable: TCP
 - unreliable, datagrams: UDP
- specific protocols:
 - HTTP
 - FTP
 - SMTP, POP, IMAP
 - DNS
- socket programming
- content distribution
 - Caches
 - CDNs

Chapter 2: Summary

Most importantly: learned about *protocols*

- ❑ typical request/reply message exchange:
 - client requests info or service
 - server responds with data, status code
- ❑ message formats:
 - headers: fields giving info about data
 - data: info being communicated
- ❑ control vs. data msgs
 - in-band, out-of-band
- ❑ centralized vs. decentralized
- ❑ stateless vs. stateful
- ❑ reliable vs. unreliable msg transfer
- ❑ "complexity at network edge"
- ❑ security: authentication