# MeCC: Memory Comparison-based Clone Detector\*

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#### **ABSTRACT**

In this paper, we propose a new semantic clone detection technique by comparing programs' abstract memory states, which are computed by a semantic-based static analyzer. Our experimental study using three large-scale open source projects shows that our technique can detect semantic clones that existing syntactic- or semantic-based clone detectors miss. Our technique can help developers identify inconsistent clone changes, find refactoring candidates, and understand software evolution related to semantic clones.

# **Categories and Subject Descriptors**

D.2.7 [Software Engineering]: Distribution, Maintenance, and Enhancement—restructuring, reverse engineering, and reengineering; F.3.2 [Logics and Meanings of Programs]: Semantics of Programming Languages—program analysis

#### **General Terms**

Languages, Algorithms, Experimentation

## **Keywords**

Clone detection, abstract interpretation, static analysis, software maintenance

#### 1. INTRODUCTION

Detecting code clones is useful for software development and maintenance tasks including identifying refactoring candidates [11], finding potential bugs [16, 15], and understanding software evolution [20, 6].

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Most clone detectors [13, 19, 24, 9, 22] are based on textual similarity. For example, CCFinder [19] extracts and compares textual tokens from source code to determine code clones. Deckard [13] compares characteristic vectors extracted from abstract syntax trees (ASTs).

Although these detectors are good at detecting syntactic clones, they are not effective to detect semantic clones that are functionally similar but syntactically different.

A few existing approaches to detect semantic clones (e.g., those based on program dependence graphs (PDGs)[22, 9, 25] or by observing program executions via random testing [14]) have limitations. PDGs can be affected by syntactic changes such as replacing statements with a semantically equivalent procedure call. Hence, the PDG-based clone detectors miss some semantic clones. The clone detectability of random testing-based approaches may depend on the limited test coverage, covering only up to  $60 \sim 70\%$  of software [27, 28, 35].

To detect semantic clones effectively, we propose a new clone detection technique: (1) we first use a path-sensitive semantic-based static analyzer to estimate the memory states at each procedure's exit point; (2) then we compare the memory states to determine clones. Since the abstract memory states have a collection of the memory effects (though approximated) along the execution paths within procedures, our technique can effectively detect semantic clones, and our clone detection ability is independent of syntactic similarity of clone candidates.

We implemented our technique as a clone detection tool, Memory Comparison-based Clone detector (MeCC), by extending a semantic-based static analyzer[18, 17, 12]. The extension is to support path-sensitivity and record abstract memory states. Our experiments with three large-scale open source projects, Python, Apache, and PostgreSQL (Section 4) show that MeCC can identify semantic clones that other existing methods miss.

The semantic clones identified by MeCC can be used for software development and maintenance tasks such as identifying refactoring candidates, detecting inconsistencies for locating potential bugs, and detecting software plagiarism (as discussed in Section 5.1).

This paper makes the following contributions:

- Abstract memory-based clone detection technique: We show that using abstract memory states that are computed by semantic-based static analysis is effective to detect semantic clones.
- Semantic clone detector MeCC: We implemented the proposed technique as a tool, MeCC (http://ropas.

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snu.ac.kr/mecc). We show the effectiveness of the proposed technique by experimentally evaluating MeCC.

• Clone benchmark: For our experimental study, we manually inspect and classify code clones of three open source projects. We make these data publicly available, and it can serve as a benchmark set for other clone related research (http://ropas.snu.ac.kr/mecc).

The rest of this paper is organized as follows: We first revisit and refine code clone definitions in Section 2, and then propose our approach in Section 3. Section 4 evaluates our approach, and Section 5 discusses our limitations and applications of our technique. Section 6 surveys related work, and Section 7 concludes our paper.

## 2. CLONE TYPES

Basically, clones are code pairs or groups that have the same or similar functionality [32, 30]. Some code clones are syntactically similar, but some are different.

Based on syntactic similarity, Roy et al. [30] classify clones into four types:

- Type-1 (Exact clones): Identical code fragments except for variations in whitespace, layout, and comments.
- Type-2 (Renamed clones): Syntactically identical fragments except for variations in identifiers, literals, and variable types in addition to Type-1's variations.
- Type-3 (Gapped clones): Copied fragments with further modifications such as changed, added, or deleted statements in addition to Type-2's variations.
- Type-4 (Semantic clones): Code fragments that perform similar functionality but are implemented by different syntactic variants.

These definitions are widely used in the literature [32, 31, 16], and we also use them in this paper.

The definitions of Type-1 and Type-2 clones are straightforward. Mostly, they are copies (from other code) that remain unchanged (Type-1) or have a small variance (Type-2). These clones can be easily detected by comparing syntactic features such as tokens in source code [19].

On the other hand, Type-4 (semantic) clones are syntactically different. Since there is no clear consensus on Type-4 clones, some researchers define subtypes of Type-4 clones such as statement reordering, control replacement, and unrelated statement insertion [32, 9, 25]. Similarly, we define subtypes of Type-4 clones as follows:

- Control replacement with semantically equivalent control structures (Refer to Figure 5.)
- Statement reordering without modifying the semantics (Refer to Figure 6.)
- $\bullet\,$  Statement insertion without changing computation (Refer to Figure 8.)
- Statement modification with preserving memory behavior (Refer to Figure 7.)

Like Type-4 clones, there is no consensus on Type-3 clones. Stefan Bellon et al. [1] define Type-3 clones as all clones that are neither Type-1 nor Type-2. Similarly, in this paper, we

define Type-3 clones as all clones that are not Type-1, Type-2, and Type-4 clones.

This paper proposes an abstract memory comparison-based clone detector, which can identify all four clones discussed in this section.

## 3. CLONE DETECTION BASED ON MEM-ORY COMPARISON

Our goal is to detect clones by comparing the functionality of code fragments regardless of their syntactic similarity. A naive way to achieve this goal is to perform exhaustive testing on a given set of clone candidates (programs). We may determine semantic similarities of programs by generating all possible inputs for programs, observing all possible executions using the inputs, and comparing their execution results. However, such exhaustive testing is often infeasible, since there might be infinitive many numbers of inputs and/or execution paths.

For this reason, we use semantic-based static analysis [3, 4, 36, 18, 17, 12] to determine semantic similarities of given programs, because static analysis soundly and finitely estimates the dynamic semantics of programs. In our case, we use a path-sensitive semantic-based static analyzer that symbolically estimates the memory effects of procedures.

Our overall approach is shown in Figure 1. We compute abstract memory states from given programs via static analysis. Then we compare the abstract memory states to determine code clones.

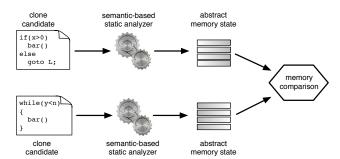


Figure 1: Our clone detection approach: abstract memory states for each clone candidate are computed by a path-sensitive semantic-based static analyzer. These abstract memory states are compared for detecting code clones

We build a semantic-based static analyzer on top of Sparrow [18, 17, 12], which can summarize each procedure after analyzing the procedure based on the abstract interpretation framework [3], and these procedural summaries have been carefully tuned to capture all memory-related behaviors in real-world C programs [18]. However, Sparrow does not support path-sensitive analysis. We extend Sparrow to be path-sensitive like [36] by adding guards and guarded values to the abstract domain.

The path-sensitivity is crucial for semantic code clone detection. A path-insensitive analyzer loses the relation between condition expressions and corresponding statements. For example, a path-insensitive analyzer considers the following two different if-else codes as the same, since it does not know which statements are belonging to which condition expressions. This insensitivity leads to detecting false posi-

```
Mem = Addr \xrightarrow{fin} GV
   \mathcal{M}
                              _{2}Guard×Value
   GV
         \in
                              (Value \times Rel \times Value)
              Guard
                              + Guard \wedge Guard + Guard \vee Guard
                             \mathcal{N} + Addr + (\mathsf{Uop} \times Value)
               Value =
                              +(Value \times Bop \times Value) + \top
x, \alpha, \ell
                Addr
                              Var + Symbol + AllocSite
                              +(Addr \times Field)
                              Global + Param + Local
                  Var
```

Figure 2: Abstract domains: the abstract semantics of procedure is estimated as abstract memory state over domain Mem.

tive clones.

$$\texttt{"if}(a>0)\ A\ \mathtt{else}\ B"\neq \texttt{"if}(a>0)\ B\ \mathtt{else}\ A"$$

## 3.1 Collecting Abstract Memory States

We compute abstract memory states at every program point of a given procedure by the conventional fixpoint iteration over abstract semantics (à la abstract interpretation [3]).

Memory State Representation Our abstract domains for memory states are presented in Figure 2. Our analysis is flow- and path-sensitive; it summarizes possible abstract memory states for each program point and all execution paths to the point. An abstract memory state ( $\mathcal{M}$  in Figure 2) is a finite mapping from abstract (symbolic) addresses to guarded values. A guarded value ( $\mathcal{GV}$  in Figure 2) is a set of pairs of a guard and a symbolic value, where the guard is the accumulated symbolic condition that leads to the accompanying value. The set of all variables (Var) consists of three disjoint sets, all global variables (Global), all parameters (Param), and all local variables (Local) except procedure parameters. This partitioning enables us to define three equivalence classes for variables when defining equivalent addresses in Section 3.2. Symbols (Symbol) are used to indicate symbolic values or symbolic addresses in global input memories of the current procedure. Allocated addresses (AllocSite) denote all addresses allocated (including arrays) at each allocation site (a static call program point for allocations). Field addresses  $(Addr \times Field)$  represent field variables of structures.

A symbolic value can be a number  $(\mathcal{N})$ , an address (Addr), a binary value  $(Value \times \mathsf{Bop} \times Value)$ , or a unary value  $(\mathsf{Uop} \times Value)$ . Bop and  $\mathsf{Uop}$  denote a set of binary and unary operation symbols respectively. A guard (Guard) can be generated from the relations between values  $(Value \times \mathsf{Rel} \times Value)$ , where  $\mathsf{Rel}$  denotes the set of comparison operators (e.g., =,  $\leq$ ). Some guards can also be connected by logical operators (conjunction  $\wedge$  and disjunction  $\vee$ ).

The next step is estimating the semantics of the program as elements in this domain.

**Abstract Semantics** Our analysis starts from the entry point of a procedure without knowing the input memory states. The unknown input memory states are constructed by observing which locations and values are accessed by the

procedure [18]. Abstract memory states are updated by evaluating each statement in the procedure, and the updates are decided by the predefined abstract semantics of each statement. For example, one abstract semantics of the assignment statement is defined as follows:

$$\mathcal{M} \vdash e_1 : \{(g, x)\} \quad \mathcal{M} \vdash e_2 : \{(g_i, v_i)\}_i$$
  
 $\mathcal{M} \vdash *e_1 := e_2 : \mathcal{M}\{x \mapsto \{(g \land g_i, v_i)\}_i\}$ 

 $\mathcal{M} \vdash e: \mathcal{GV}$  denotes that expression e evaluates to a guarded value  $\mathcal{GV}$  given the memory state  $\mathcal{M}$ . This abstract semantics illustrates the destructive update case, in which the previous guarded values of the updated address is overwritten. The rule indicates the destructive update can happen only when the address value of  $e_1$  is a single variable (note that the singleton set for the value in  $\mathcal{M} \vdash e_1 : \{(g, x)\}$ ). As a result, the value of variable x is updated by the value of  $e_2$  in memory  $\mathcal{M}$ . The guards for the new values are the conjunctions of guard g of the address and guards  $g_i$  of the values.

```
int* foo(list *a,
                                    The abstract memory state at line 6
                   int b){
 2
                                               \{\langle \mathsf{true}, \alpha \rangle \}
       int res = 0;
 3
                                              \{\langle \mathtt{true}, \beta \rangle\}
                                    \alpha.\mathtt{len}
       if (a->len > 5)
                                               \{(\mathtt{true}, \gamma)\}
                                    b
            res = bar(b);
 5
                                               \{\langle \beta > 5 \land \gamma > 0, \ell \rangle,
       return res;
 6
                                                 \langle \beta \leq 5 \lor (\beta > 5 \land \gamma \leq 0), 0 \rangle
                                    res
   }
 7
    int* bar(int x){
 8
       int *m = 0;
 9
                                       The procedural summary of bar
       if (x > 0)
10
                                              {\tt x}>0 return alloc
            m = malloc(x);
11
                                              x < 0 return 0
       return m;
12
13 }
```

Figure 3: Procedure bar with its procedural summary and procedure foo with its abstract memory state at the exit point (line 6).

Consider the procedure foo in Figure 3. The abstract memory state at the exit point (line 6) is presented on the right side. At line 3, variable res has guarded value  $\{\langle {\tt true}, 0 \rangle \}$  which means variable res always has the value zero at the program point. Parameter a is accessed in the condition expression at line 4, however the value of parameter a is unknown. Hence a new symbol  $\alpha$  is created to represent the value of parameter a. For the field value of a->len which is also unknown, new symbol  $\beta$  is created. From the condition expression, guards  $\beta > 5$  and  $\beta \leq 5$  are kept for true and false branches respectively.

Inter-procedural Analysis The procedural summary information enables the analyzer to capture the semantics of procedure calls without analyzing the procedures again. At line 5, procedure bar is called. According to the procedural summary, the procedure returns an allocated address  $\ell$  when the value of parameter x is greater than 0, otherwise it returns 0. The procedural summary keeps conditions (as extended from [18]) for memory behaviors of procedure. This procedural summary is instantiated with the abstract memory state at the call site (line 5). At line 5, the value of formal parameter x in procedure bar is instantiated with  $\gamma$  (the value of actual parameter b). With this instantiation of the procedural summary, we obtain the result memory

state of the procedure call. Now, variable res points to the result guarded value,  $\{\langle \beta > 5 \wedge \gamma > 0, \ell \rangle, \langle \beta > 5 \wedge \gamma \leq 0, 0 \rangle\}$ . Here guard  $\beta > 5$  comes from the condition on true branch at line 4 and guards  $\gamma > 0$  and  $\gamma \leq 0$  come from the procedural summary of bar. At line 6, the abstract memory states on both true and false branches are joined. Variable res points to a guarded value  $\{\langle \beta \leq 5, 0 \rangle\}$  in the memory state from the false branch. The joined memory state at the return point of foo (line 6) is shown as the table in Figure 3. The procedural summary of procedure foo is automatically generated from this abstract memory state [18].

**Handling Loops** The termination of the fixpoint iterations is guaranteed by a widening operator [3]. Without the widening operator, fixpoint iterations may diverge because the heights of the number domain  $\mathcal{N}$  and the symbolic-value domain  $Value \times \mathsf{Bop} \times Value$  are infinite. After five iterations (delayed widening [2]), changing values go into the special value  $\top$  (indicating an unknown value). When we compare memory states, the unknown values are considered as not equivalent. Hence our clone detection may miss some clones.

**Example for Comparison** The abstract memory states at the exit point of procedures are compared for code clone detection. As an example, procedure foo2 in Figure 4 is a semantic clone of procedure foo in Figure 3. If we disregard the names of variables, symbols, field variables, and variable types then two memories are equivalent. Note that two guards  $\beta \leq 5 \lor \gamma \leq 0$  and  $\beta \leq 5 \lor (\beta > 5 \land \gamma \leq 0)$  are equivalent. This equivalence is attained by function simplify [5] presented in Section 3.2.

```
The abstract memory state
   int* foo2(list2 *x,
                                                                       at line 6
                    int y){
2
                                                                       \langle \mathtt{true}, \alpha \rangle
       int ret = 0;
3
                                                                       \langle \mathtt{true}, \beta \rangle
                                                        \alpha.\mathtt{val}
       if (x-val > 5 \&\& y > 0)
4
                                                                     \{(\mathtt{true}, \gamma)\}
5
           ret = malloc(y);
                                                                     \{\langle \beta > 5 \land \gamma > 0, \ell \rangle,
       return ret;
6
                                                                       \langle \beta \leq 5 \lor \gamma \leq 0, 0 \rangle
                                                        ret
   }
```

Figure 4: Procedure foo2 with its abstract memory state at the exit point (line 6).

## 3.2 Comparing Abstract Memory States

Given estimated abstract memory states, we need to quantify their similarities. Algorithm 1 presents the quantification steps. First, we calculate the similarities between guarded value pairs of all possible combinations on the given memories  $\mathcal{M}_1$  and  $\mathcal{M}_2$  (line 2 to 8). We compare addresses using the equivalence relation  $\stackrel{\mathcal{L}}{=}$  on addresses (as defined below). If addresses are equivalent, then we calculate the similarity of two guarded values by function  $sim_{\mathcal{GV}}(\mathcal{GV}_1,\mathcal{GV}_2)$ (line 4). If addresses are not equivalent, the similarity is zero (line 5). For all combinations, the similarities of pairs are recorded in map S (line 6). Then function  $find\_best\_matching(S)$ finds a subset of S that exclusively spans the two memories such that the total similarities of matched pairs becomes the biggest (line 9). Finally, the algorithm returns the ratio of similarity to the total size of memories. If both memories are empty (the denominator becomes zero), then the simi-

```
Algorithm 1: sim_{\mathcal{M}}(\mathcal{M}_1, \mathcal{M}_2)
     Input: abstract memory states \mathcal{M}_1 and \mathcal{M}_2
     Output: similarity value of \mathcal{M}_1 and \mathcal{M}_2
 2 foreach address \ a_1 \in dom(\mathcal{M}_1) do
           foreach address \ a_2 \in dom(\mathcal{M}_2) do
                if a_1 \stackrel{\mathcal{L}}{=} a_2 then v := sim_{\mathcal{GV}}(\mathcal{M}_1(a_1), \mathcal{M}(a_2));
 4
                else v := 0;
 5
 6
                S := S\{(a_1, a_2) \mapsto v\};
 7
           \mathbf{end}
 8 end
 9 best = find\_best\_matching(S);
10 if |dom(\mathcal{M}_1)| + |dom(\mathcal{M}_2)| = 0 then return 0;
                   \overline{\mid dom(\mathcal{M}_1) \mid + \mid dom(\mathcal{M}_2) \mid}
```

larity is zero (line 10 to 11).

**Equivalent Addresses** Two addresses are equivalent with the relation  $\stackrel{\mathcal{L}}{=}$  if one of the following conditions is satisfied:

$$x \stackrel{\mathcal{L}}{=} y \qquad \text{if } x, y \in Global \lor x, y \in Param \lor x, y \in Local$$

$$\ell \stackrel{\mathcal{L}}{=} \ell' \qquad \text{if } \ell, \ell' \in AllocSite$$

$$a.f \stackrel{\mathcal{L}}{=} a'.f' \qquad \text{if } a \stackrel{\mathcal{L}}{=} a'$$

$$\alpha \stackrel{\mathcal{L}}{=} \beta \qquad \text{if } origin(\alpha) \stackrel{\mathcal{L}}{=} origin(\beta)$$

When two variables are compared, the names and types of the variables are ignored (Var). We only check if both variables are parameters, global variables, or non-parameter local variables. All dynamically allocated addresses  $\ell$  are considered as equivalent regardless of their allocation sites (AllocSite). For field addresses  $(Addr \times Field)$ , the names of field variables are ignored and only structural equivalences are considered. For example,  $x.val \stackrel{\mathcal{L}}{=} x.len$  holds even if the address uses different field names. However,  $(x.next).len \stackrel{\mathcal{L}}{=} x.len$  is not true because the former one has an additional field dereference. All symbolic addresses are equivalent only when their origins are the same (Symbol). The origin address  $origin(\alpha)$  is the address pointing to symbolic address  $\alpha$ . As an example, the following  $origin(\alpha) = \mathbf{a}$  and  $origin(\beta) = \alpha.len$  hold in Figure 3.

Similarity Between Guarded Values A guarded value  $\mathcal{GV}$  is a set of pairs which consist of a guard and a value. Function  $sim_{\mathcal{GV}}(\mathcal{GV}_1,\mathcal{GV}_2)$  compares all guards and values in  $\mathcal{GV}_1$  with those in  $\mathcal{GV}_2$ , and then counts the number of matched pairs n. Finally, the similarity of two guarded values is computed as follows:

$$sim_{\mathcal{GV}}(\mathcal{GV}_1,\mathcal{GV}_2) = \frac{2 \cdot n}{\mid \mathcal{GV}_1 \mid + \mid \mathcal{GV}_2 \mid}$$

 $n = \text{maximum of } |M| \text{ s.t. } M \subseteq S \text{ and }$  $\forall \langle (g_1, v_1), (g_2, v_2) \rangle \in M, (g_1, v_1) \text{ and } (g_2, v_2) \text{ appear only once }$ 

$$S = \bigcup_{(g_1, v_1) \in \mathcal{GV}_1, (g_2, v_2) \in \mathcal{GV}_2} \{ \langle (g_1, v_1), (g_2, v_2) \rangle \mid g_1 \stackrel{\mathcal{G}}{=} g_2 \wedge v_1 \stackrel{\mathcal{V}}{=} v_2 \}$$

The similarity is the ratio of the number of matched pairs to

the total size of two guarded values. We seek for the maximum number of matched pairs trying to match all possible combinations  $\mathcal{GV}_1 \times \mathcal{GV}_2$ . Equivalent values  $\stackrel{\mathcal{U}}{=}$  and equivalent guards  $\stackrel{\mathcal{G}}{=}$  are defined as the following.

**Equivalent Values** Relation  $\stackrel{\mathcal{V}}{=}$  establishes the equivalence on values:

Equivalence of numbers is determined by numerical equivalence  $(\mathcal{N})$ . Binary values are equivalent when both the pair of values and the operators are equivalent  $(Value \times \text{Bop} \times Value)$ . From our definition of  $\frac{\mathcal{V}}{}$ , we may miss semantically equivalent values due to their syntactic expression differences. For example, x>0 and 0< x should be regarded as equivalent, but it is regarded as not equivalent because of  $x\neq 0, >\neq <$ , and  $0\neq x$ . To address this problem, we canonicalize the symbolic values. The canonicalization gives certain partial orders on both operators and values then sorts the binary values by the orders. Hence all semantically equivalent binary values have their unique representations.

**Equivalent Guards** Relation  $\stackrel{\mathcal{G}}{=}$  determines equivalent guards:

$$v_1 \sim v_2 \stackrel{\mathcal{G}}{=} v_3 \sim' v_4$$
 if  $v_1 \stackrel{\mathcal{V}}{=} v_3 \wedge (\sim = \sim') \wedge v_2 \stackrel{\mathcal{V}}{=} v_4$   $g_1 \stackrel{\mathcal{G}}{=} g_2$  if unify(simplify( $g_1$ ), simplify( $g_2$ )) true  $\stackrel{\mathcal{G}}{=}$  true false  $\stackrel{\mathcal{G}}{=}$  false

Two relation guards  $v_1 \sim v_2$  and  $v_3 \sim' v_4$  in domain ( $Value \times Rel \times Value$ ) are equivalent when their value pairs are the same and their relations (e.g., <, =) are the same. However, one formula can be presented as several different forms. For example, formulas  $x > 5 \land (x < 10 \lor x > 0)$  and x > 5 look different, but are actually equivalent because x > 5 implies x > 0. To remedy this, we use a function simplify [5] that simplifies guards so that they do not contain any redundant sub-formulas using a decision procedure [7]. Furthermore, we want to assume x > 5 and z > 5 are equivalent if  $x \stackrel{\mathcal{L}}{=} z$  holds. This process is done by unification algorithm unify, which is widely used in type systems [26]. The algorithm returns true if there exists a substitution which makes two different structures the same while preserving relations  $\stackrel{\mathcal{L}}{=}$  and  $\stackrel{\mathcal{V}}{=}$ .

Best Matching Function  $find\_best\_matching(S)$  at line 9 in Algorithm 1 finds the best matching (i.e. the matching that maximizes the sum of similarities), and then returns the maximum sum of similarities. Consider this similarity table as an example.

$\mathcal{M}_1$	$(a_1^1,\mathcal{GV}_1^1)$	$(a_1^2,\mathcal{GV}_1^2)$	$(a_1^3,\mathcal{GV}_1^3)$	$(a_1^4,\mathcal{GV}_1^4)$
$(a_2^1,\mathcal{GV}_2^1)$	0.8	0.1	0.5	0.6
$(a_2^2, \mathcal{GV}_2^2)$	0.7	$0.7^{2}$	0.6	0.5
$(a_2^3, \mathcal{GV}_2^3)$	0.6	0.5	0.6	0.4

The boxed ones represent the best matching, since it maximizes the sum of similarities. Suppose our matching function finds this best matching. The value of best at line 9 in Algorithm 1 is the sum of similarities, 2.1 = 0.8 + 0.7 + 0.6 of all matched pairs. Hence the similarity,  $0.6 = 2 \cdot 2.1/(4+3)$  of these two memories is returned at line 11 in Algorithm 1.

We develop a lightweight greedy algorithm to heuristically try finding the best matching which runs in  $O(n^2)$ , where n is the number of elements. After calculating the similarities of all pairs, the pair which has the maximum similarity is chosen as a matched one. Then the algorithm continues to choose another maximum pair among the remaining pairs until all addresses in either  $\mathcal{M}_1$  or  $\mathcal{M}_2$  are matched. The order of choices for the above table is annotated over the boxes. The algorithm is not guaranteed to find the best matching, but has the advantage of the running time. There is a combinatorial optimization algorithm called the Hungarian method [23] which is guaranteed to find the best matching but runs in  $O(n^3)$ , much slower than ours. In our experiments, we found that our algorithm yields the same results as the Hungarian method. This is because similarities of pairs are usually near 1 or 0.

## 3.3 Judgement of Clones

We allow parametrization by MinEntry to filter small clones such as a procedure containing just one line as its body. Though the similarity function  $sim_{\mathcal{M}}(\mathcal{M}_1, \mathcal{M}_2)$  gives high values to similar memories, this function does not reflect the size of memories. So we give a penalty to small size memories. Note that the value of the similarity function ranges over [0, 1].

$$\frac{\log \; \mathtt{MinEntry}}{sim_{\mathcal{M}}(\mathcal{M}_{1}, \mathcal{M}_{2})} \overline{\log(\mid dom(\mathcal{M}_{1}) \mid + \mid dom(\mathcal{M}_{2}) \mid)}$$

The above formula is proportional to the size of memories and inversely proportional to MinEntry. Log function is used to smoothen the amount of the penalty. Here parameter MinEntry is given by users depending on target program size. The parameter is similar to parameter minT which determines the minimum number of tokens for clone candidates in Deckard [13].

We evaluate similarities for all possible pairs of abstract memories. There is a high probability that procedures with high similarity are true clones. Hence we sort all pairs according to their similarities. We allow another parameter Similarity, which determines the threshold of similarities of clones to be reported. If Similarity is set to 80% then pairs with similarity less than 0.8 are not reported.

Sometimes the similarity of two memories  $\mathcal{M}_1$  and  $\mathcal{M}_2$  never exceeds the given Similarity if there are a big difference in the entry numbers of the two memories. Hence we can skip the comparison of two memories where,

$$2 \times \frac{min(\mid dom(\mathcal{M}_1)\mid,\mid dom(\mathcal{M}_2)\mid)}{\mid dom(\mathcal{M}_1)\mid +\mid dom(\mathcal{M}_2)\mid} \leq \texttt{Similarity}.$$

This strategy significantly reduces the memory comparison time.

Users can choose parameters MinEntry and Similarity to pick thresholds to determine clones. One could set MinEntry high, if one wants to ignore small clones. One could set Similarity high, if one wants less false positives.

#### 4. EXPERIMENTS

In this section, we evaluate our code clone detector MeCC. We apply MeCC to detect clones in large-scale open source projects, Python, Apache, and PostgreSQL as shown in Table 1.

Projects	KLOC	Procedures	Application
Python	435	7,657	interpreter
Apache	343	9,483	web server
PostgreSQL	937	10,469	database

Table 1: Properties of the subject projects.

We design our experiments to address the following research questions:

- **RQ1 (detectability)**: How many Type-3 and Type-4 clones can be detected by MeCC?
- RQ2 (accuracy): How accurately (in terms of false positives and false negatives) can MeCC detect clones?
- RQ3 (scalability): How does MeCC scale (in terms of detection time and detectable program size)?
- RQ4 (comparison): How many gapped and semantic clones identified by MeCC can be detected by previous clone detectors, CCFINDER [19], DECKARD [13], and a PDG-based detector [9]?

## 4.1 Detectability

We apply MeCC to detect clones to evaluate the detectability. In our experiments, we set Similarity=80% and MinEntry=50. Then the detected clones by MeCC are manually inspected and categorized into four clone types as discussed in Section 2 by one author who has experience with C/C++ development in industry more than eight years. The other two authors review and confirm the inspected clones.

	Type-1	Type-2	Type-3	Type-4
Python	3	127	82	13
Apache	2	84	71	10
PostgreSQL	9	120	88	14

Table 2: The distribution of detected clone types by MeCC.

The numbers of detected and classified clones are shown in Table 2. MeCC can detect all four types of clones. Type-4 (semantic) and some Type-3 (gapped) clones in Table 2 have noticeable syntactic differences. Nevertheless, MeCC can detect these clones because it only compares abstract memory states. MeCC also detects Type-1 (exact) and Type-2 (renamed) clones since syntactic similarity is usually accompanied by semantic similarity.

Figure 5 shows one Type-4 clone detected by MeCC. This is a typical example of *control replacement*. The **if-else** statements in Figure 5(a) are replaced by semantically equivalent statement using the ternary conditional '?:'operator in Figure 5(b). MeCC detects this clone, since their functionalities are the same and thus the abstract memory states are the same.

A more complex Type-4 clone detected by MeCC is presented in Figure 6. The clone has two syntactic differences.

```
static PyObject *
get_pybool(int istrue)
{
    PyObject *result = istrue? Py_True : Py_False;
    Py_INCREF(result);
    return result;
}

(b)
```

Figure 5: Type-4 clone, control replacement from Python. The statement if-else is changed by using the ternary conditional?: operator. Syntactical differences are underlined.

One difference is statement reordering. Two statements from line 5 to 9 in Figure 6(a) are reordered into the statements from line 4 to 8 in Figure 6(b). The second difference comes from using intermediate variables. The local variable sconf is introduced at line 4 in Figure 6(a) and then used as a parameter of the ap\_get\_module\_config function call at line 6. The local variable proto is introduced at line 10 in Figure 6(b). The return value of the apr\_pstrdup function call at line 16 in Figure 6(b) is assigned to this variable. This value is assigned to a field address at line 18 in Figure 6 via the local variable. These syntactic changes make it difficult for textual-based clone detectors to identify such clones [19].

Understanding the semantics of procedure calls is one advantage of MeCC. An interesting Type-4 clone detected by MeCC in Figure 7 highlights this strength. The major syntactic difference between the two procedures is that the assignment statement at line 8 in Figure 7(a) is substituted by the procedure memcpy call at line 9 Figure 7(b). Most previous clone detection techniques cannot capture this semantic similarity between a procedure call and similar assignment statements.

#### 4.2 Accuracy

The next question is how accurately MeCC can detect clones. We manually inspected the detected clones and identified false positives, which are not real clones, but are detected as clones by MeCC.

	Total	FP	FP ratio
Python	264	39	14.7%
Apache	191	24	12.5%
PostgreSQL	278	47	16.9%

Table 3: Detected clones and false positives. Total: total number of detected clones, FP: number of false positive clones, and FP ratio: false positive ratio.

Table 3 presents the false positive clones and their ratio from three subjects (when Similarity=80% and MinEntry=50).

```
static const char *set_access_name(cmd_parms *cmd, void *dummy,
2
3
4
      void *sconf = cmd->server->module_config;
5
     core_server_config *conf = ap_get_module_config(
                                    sconf, &core_module);
6
8
     const char *err = ap_check_cmd_context(cmd,
9
                   NOT_IN_DIR_LOC_FILE | NOT_IN_LIMIT);
     if (err != NULL) {
10
11
       return err;
12
     conf->access_name = apr_pstrdup(cmd->pool, arg);
13
14
     return NULL;
15
                                   (a)
```

```
static const char *set_protocol(cmd_parms *cmd, void *dummy,
2
                                     const char *arg)
3
4
      const\ char\ *err = ap\_check\_cmd\_context(cmd,
5
                    NOT_IN_DIR_LOC_FILE | NOT_IN_LIMIT);
6
7
      core_server_config *conf = ap_get_module_config(
8
                    cmd->server->module\_config,\ \&core\_module);
10
      char *proto;
12
      if (err != NULL) {
13
       return err;
14
15
16
      proto = apr_pstrdup(cmd->pool, arg);
17
      ap_str_tolower(proto);
18
      conf->protocol = proto;
19
20
     return NULL;
21
```

Figure 6: Type-4 clone,  $statement\ reordering\ from\ Apache$ 

```
void
1
    appendPQExpBufferChar(PQExpBuffer str, char ch)
2
3
4
       /* Make more room if needed */
5
       if (!enlargePQExpBuffer(str. 1))
6
          return:
       /* OK, append the data */
       str->data[str->len] = ch;
9
        str->len++;
10
        str->data[str->len] = '\0';
                                   (a)
```

```
void
    appendBinaryPQExpBuffer(PQExpBuffer str, const char *data;
2
3
                                              size_t datalen)
4
5
       /* Make more room if needed */
       if(!enlargePQExpBuffer(str, datalen))
6
          return:
       /* OK, append the data */
9
       memcpy(str->data + str->len, data, datalen);
10
       str->len += datalen;
11
       str->data[str->len] = '\0';
12
```

Figure 7: Type-4 clone, preserving memory behavior from PostgreSQL  $\,$ 

In Python, the total number of found clones is 264, the number of false positive clones is 39, and hence the false positive ratio is around 14.7%. Similarly, the false positive ratio for Apache is 12.5%, and for PostgreSQL is around 16.9%.

The most common case of false positive clones is data structure initialization. In those clones, a structure is allocated and then field variables are initialized according to the structure type. Some of them can be viewed as clones, but we scrupulously mark these initialization code pairs as false positives.

These false positive ratios look slightly higher than previous approaches [19, 13, 9]. However, one could set Similarity higher to reduce the false positive ratio. As an example, the false positive ratio is only 3% for Python when we set Similarity=90%.

In the next step, we measure the ratio of false negative clones — real clones, but missed by MeCC. For this experiment, since we need an oracle clone set, we use the benchmark provided by Roy et al. [32]. This benchmark includes three Type-1, four Type-2, five Type-3, and four Type-4 clones. We apply MeCC on the benchmark with Similarity=80%. Since the sizes of procedures in the benchmark are small, we set MinEntry=2.

	Type-1	Type-2	Type-3*	Type-4
Benchmark	3	4	5	4
MeCC	3	4	4	4

Table 4: False negatives on the benchmark set [32].
\* MeCC misses only one clone.

Table 4 shows that MeCC has almost no false negatives. MeCC misses only one Type-3 clone, which has an insertion of an if statement that is related to a procedure call, and it changes the memory state. However, if we set Similarity=79%, MeCC detects this clone.

Overall, our experimental results in this section show that MeCC can detect clones accurately, with almost no false negatives and with a reasonable false positive ratio.

## 4.3 Scalability

In this section, we measure scalability of MeCC. We already showed that MeCC can detect clones in large-scale open source projects accurately in Section 4.1 and Section 4.2.

We measure the time spent to detect the clones for three subjects. Our experiments were conducted on an Ubuntu 64-bit machine with a 2.4 GHz Intel Core 2 Quad CPU and 8 GB RAM.

	KLOC	Analysis	Comparison
Python	435	63 m 32 s	1 m 54 s
Apache	343	308 m 58 s	1 m 36 s
PostgreSQL	937	422 m04 s	6m28s

Table 5: Time spent for the detection process.

Table 5 shows the results. Static analysis took about 63 minutes for Python and 422 minutes for PostgreSQL. Since our static analysis includes preprocessing, summarization/instantiation of procedural summaries, and fixpoint iterations for collecting memory states, it is computationally expensive. However, this is usually one-time cost. When

software changes, we can incrementally recompute memory states of the changed parts including impacted parts according to the call relationship. If the changed part in a procedure does not cause observable changes to memory behaviors of the procedure, then callers of the procedure do not need to be re-analyzed. Though the dependency can, in the worst case, expand to all the procedures, such situation (a procedure's change in memory effects, combined with that procedure as a hub in the call-graph) would not be that common.

#### 4.4 Comparison

Section 4.1 shows that MeCC can detect all four types of clones including Type-3 (gapped) and Type-4 (semantic) clones. In this section, we discuss if other clone detectors can also identify these clones.

		Python	Apache	PostgreSQL
	MeCC	82	71	88
Trung 9	Deckard	21	12	25
Type-3	CCFINDER	0	0	0
	PDG-based	10	8	11
	MeCC	13	10	14
/D 4	Deckard	0	0	2
Type-4	CCFINDER	0	0	0
	PDG-based	1	0	1

Table 6: The numbers of detected Type-3 and Type-4 clones by MeCC, Deckard, CCFINDER, and a PDG-based detector [9].

For the comparison, we use two publicly available syntactic clone detectors, DECKARD, a AST-based detector, and CCFINDER, a token-based detector. We also use a result set from a PDG-based semantic clone detector [9].

For DECKARD, we set the options as used in [13], mint=30 (minimum token size), stride=2 (size of the sliding window), and Similarity=0.9. For CCFINDER, we also use the default options, Minimum Clone Length=30, Minimum TKS=12 (token set size), and Shaper Level=Soft shaper. For the PDG-based detector [9], we directly used the clone detection results provided by the authors of the detector, since the tool is not publicly available at the time of this writing.

Table 6 compares Type-3 and Type-4 clone detectability of Deckard, CCFINDER, the PDG-based detector. We assume these detectors can detect all Type-1 and Type-2 clones, since these clones are syntactically almost the same.

CCFINDER is a scalable and fast tool which detects Type-1 and Type-2 clones accurately. However, CCFINDER could not identify any Type-3 and Type-4 clones. The main reason is that CCFINDER extracts and compares syntactic tokens, but usually Type-3 and Type-4 clones are significantly different in the token level.

DECKARD detects about 24% of Type-3 clones. Since DECKARD uses the characteristic vectors of AST, it can detect clones with small syntactic variations. Surprisingly, DECKARD identifies two Type-4 clones in PostgreSQL. The two detected Type-4 clones are classified as the *statement reordering* subtype shown in Figure 6. Since DECKARD extracts characteristic vectors of these reordered ASTs, the vector only captures the number of elements in AST. However, DECKARD still misses a large portion of Type-3 and Type-4 clones.

The PDG-based detector identifies about 12% of Type-3 clones. Only one Type-4 clone is identified in each Python and PostgreSQL. The detected Type-4 clones are statement reordering. Since PDGs capture program semantics using data dependency and control flows, the PDG-based detector can detect some Type-4 clones like statement reordered ones.

However, these PDG-based approaches [9, 22, 25] have some limitations. (1) First, inter-procedural semantics via procedure calls cannot be supported, which means that semantic clones that differ in respect to procedure calls (e.g., function inlining) are missed. MeCC captures memory behavior of procedure calls by procedural summaries as described in Section 3. (2) Second, PDGs cannot be completely free from changes on syntactic structures, while our technique reliably determines the semantic similarity of code because we use purely semantic information (path-sensitive abstract memory effects) of programs.

Overall, the comparison results in this section suggest that MeCC, an abstract memory-based clone detector is effective in detecting all four types (including Type-3 and Type-4) of clones.

## 5. DISCUSSION

We discuss potential applications and limitations of our approach. We also identify threats to validity of our experimental results.

## 5.1 Applications

Detecting code clones is useful for software development and maintenance tasks such as finding inconsistencies [16, 15] and identifying potential bugs or code smells [10].

We used MeCC to identify potential bugs and code smells caused by inconsistencies. Figure 8 shows one example of Type-4 clones identified by MeCC. This clone was not detected by other clone detectors (e.g. [19, 13, 9]). It clearly shows an inconsistency: the procedure PQparameterStatus in Figure 8 (b) checks whether the second parameter paramName is not null, but the procedure GetVariable in (a) does not check. This inconsistency shows an exploitable bug, which manifests when null is passed as the second parameter, name.

	# Type-3	Exploitable	Code
	& Type-4	bugs $(\%)$	smells (%)
Python	95	26 (27.4%)	23 (24.2%)
Apache	81	8 (9.9%)	27 (33.3%)
PostgreSQL	102	21 (20.6%)	20 (19.6%)
Total	278	55 (19.8%)	70 (25.2%)

Table 7: Exploitable bugs and code smells in Type-3 and Type-4 clones found by MeCC.

We manually inspected all Type-3 and Type-4 clones identified by MeCC to check if they were caused by inconsistencies, and if these inconsistencies lead to potential problems. When we identified problems caused by inconsistencies, we classified them in two categories, exploitable bugs and code smells: A bug is exploitable if it causes unexpected behaviors, for example when a particular variable is used as procedure input as shown in Figure 8 (a). Conversely, a code smell occurs when an inconsistency has no demonstrated unexpected behaviors, but refactorings or consistent changes (with other clone pairs) are highly recommended.

```
GetVariable(VariableSpace space, const char *name)
2
3
4
      struct _variable *current;
5
      if (!space)
6
          return NULL;
7
       for (current = space->next: current: current = current->next)
8
         if (strcmp(current->name, name) == 0)
9
10
11
           return current->value;
        }
12
13
      return NULL;
14
15
                                          (a)
```

```
const char *
2
     PQparameterStatus(const PGconn *conn, const char *paramName)
3
4
       const pgParameterStatus *pstatus;
5
      if(!conn || !paramName)
6
         return NULL;
7
      for (pstatus = conn->pstatus; pstatus != NULL; pstatus = pstatus->next)
8
          if (strcmp(pstatus->name, paramName) == 0)
10
             return pstatus->value;
11
12
13
                                         (b)
```

Figure 8: One Type-4 clone, statement insertion without changing computation from PostgreSQL. It includes an exploitable bug due to an inconsistency.

Table 7 shows the manual inspection results<sup>1</sup>. Among 278 Type-3 and Type-4 clones, 55 exploitable bugs and 70 code smells were found. About 45% of Type-3 and Type-4 clones are either exploitable bugs or code smells. These bugs and code smells would be missed by previous approaches (e.g. [19, 13, 9]), since most of these Type-3 and Type-4 clones were not detected by them as discussed in Section 4.4.

Overall, Table 7 implies that MeCC and its identified Type-3 and Type-4 clones are very useful for detecting inconsistencies, exploitable bugs, and code smells.

MeCC can be used for plagiarism detection and common bug pattern identification. Syntactic plagiarism detection tools (e.g. Moss [34] and JPlag [29]) cannot detect plagiarism if code is copied and intentionally changed with some syntactic obfuscations. MeCC is able to detect plagiarism as long as the semantics of the copied code remains similar regardless of its syntactic changes. Similarly, MeCC can help identify common bug patterns. Kim et al. proposed Bug-Mem [21], which identifies common bug fix patterns and locates similar bugs in other code. However, they only capture syntactic bug patterns using tokens of code. MeCC can improve their work by identifying common semantic bug patterns.

## 5.2 Limitations

Since our current implementation compares abstract memory states at the exit points of procedures, MeCC detects only procedure-level clones. However it is possible to extend MeCC to find clones with a finer granularity such as basic

blocks adapting a code fragments generation technique [14] to prepare code clone candidates of finer granularity. Then we can calculate every abstract memory state for each candidate and compare them to identify clones.

Collecting abstract memory states from programs is a computationally expensive task in both time and memory. Analyzing the semantics of programs takes longer than syntactic comparison. However, the current implementation of MeCC showed that MeCC scales to detect clones in PostgreSQL, which is around 1M LOC.

Similar abstract memory states do not always imply similar concrete behaviors, which may cause false positives. In the abstract interpretation framework [3], one element in an abstract domain can represent several concrete elements. Procedural summaries record memory related behaviors [18], but do not capture all concrete procedure behaviors. This limitation is inevitable since determining semantic equivalence between two programs is generally undecidable [8].

## **5.3** Threats to Validity

We identify the following threats to validity to our work:

#### Projects are open source and may not be representative.

The three projects used in this paper are all open source and not representative of all software systems, and hence we cannot currently generalize the results of our study across all projects. However, these projects are chosen because they are commonly used in other code clone related research.

Manually inspected and classified clones. One author manually inspected and classified clones, and they are used to evaluate MeCC. Since there is no consensus about Type-3 and Type-4 clones, there is ambiguity in the classified clones. However, two other authors confirmed the classified clones, and we made these data publicly available.

**Default options are used.** Deckard, CCFINDER, and the PDG-based detector have various options to tune their clone detectability. In this paper, we use their default options. However, careful option tuning may allow these tools to detect more Type-3 or Type-4 clones.

#### 6. RELATED WORK

Most clone detection techniques are syntactic clone detectors ones [32, 30, 13, 19, 24, 33, 9, 31, 1] leveraging line-based [33], token-based [19, 24], or tree-based [13] approaches. These detectors are good at identifying Type-1 and Type-2 clones, but they miss most of the Type-4 and some of the Type-3 clones as discussed in Section 4.4.

Existing semantic clone detectors have limitations. For example, as we discussed in Section 4.4, PDG-based detectors [9, 22, 25] miss some semantic clones due to, for example, ignorance of inter-procedural semantics. A PDG-based technique [9] maps slices of PDGs to syntax subtrees and applies DECKARD [13] to detect similar subtrees. Although slicing enables one to detect more gapped clones, clones in each clone cluster still need to be syntactically similar. Jiang et al. [14] proposed a clone detector using random testing techniques. They conclude two code fragments are clones when their outputs are the same just for a number of randomly generated inputs. Since random testing cannot cover all program paths or inputs - usually around up to 60  $\sim$ 

 $<sup>^1\</sup>mathrm{More}$  detailed data is available at  $\mathtt{http://ropas.snu.ac.}$   $\mathtt{kr/mecc}$ 

70% [27, 28, 35], false positives are inevitable. Furthermore, the inter-procedural behaviors are not considered in their approach.

## 7. CONCLUSIONS AND FUTURE WORK

We proposed an abstract memory-based code clone detection technique, presented its implementation, MeCC, and discussed its applications. Since MeCC compares abstract semantics (as embodied in abstract memory states), its clone detection ability is independent of syntactic similarity. Our empirical study shows that MeCC can accurately detect all four types of code clones. We also show that most of Type-4 and some of Type-3 clones identified by MeCC cannot be detected by previous approaches [19, 13, 9].

We anticipate that MeCC will allow developers to find inconsistencies as shown in Section 5.1, identify refactoring candidates, and understand software evolution related to semantic clones which would be neglected by previous approaches.

Even so, we still see room for improvement. Since MeCC uses static analysis, it requires some time to analyze the entire source code prior to our clone detection process. Our static analyzer can only collect memory states in the procedure level, and thus MeCC can detect only procedure level clones. To detect finer granularity clones, we plan to adapt our static analyzer to collect memory states for each basic block.

Overall, we expect that future clone detection approaches will exploit more deep semantics of code via static analysis program logic, and/or other program verification technologies. MeCC is one step forward in this direction.

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